

TEMPLATE

# TRANSITION REQUEST FORM - CPA

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VERSION **1.0**

RELATED SUPPORT

- **TEMPLATE GUIDE Key Project Information & VPA Design Document v.1.1**

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## Summary:

The CPA transitioning from **CDM or other Standards to Gold Standard for Global Goals (GS4GG)** shall submit the transition request form and VPA - DD (this document). The **Transition Request Form** is also to be completed for CPA that are already registered with GS4GG for CER labelling and seek to move to GSVER stream to issue Gold Standard VERs.

This document contains the following Sections:

## Section - Transition Request Form

[TRF.1 ELIGIBILITY CHECK FOR TRANSITION](#)

[TRF.2 TRANSITION PROJECT INFORMATION](#)

[TRF.3 TRANSITION CHECKLIST](#)

## Key Project Information

### Section – Voluntary Project Activity Design Document (VPA -DD)

Section A – Description of project

Section B – Application of approved Gold Standard Methodology (ies) and/or demonstration of SDG Contributions

Section C – Duration and crediting period

Section D – Summary of Safeguarding Principles and Gender Sensitive Assessment

Section E – Summary of Local stakeholder consultation

Appendix 1 – Safeguarding Principles Assessment (mandatory)

Q – Contact information of VPA Implementer (mandatory)

Q – Summary of Approved Design Changes (project specific)

The following table summarises how different sections of this document shall be filled to facilitate request for transition from other standard to GS4GG.

Section	Required for	How to complete the section
<b>Transition Request Form</b>		
TRF.1 Eligibility check for transition	All CPAs	Answer the assessment questions and provide supporting information as needed
TRF.2 Transition project information	All CPAs	Provide CPA information pertaining to the standard, the project is transitioning from (e.g. CDM)
TFR.3 Transition checklist	All CPAs	Answer the assessment questions and provide supporting information in the section in the VPA - DD section as needed
<b>VPA – DD</b>		
Key project information	All CPAs	Include VPA details pertaining to GS4GG
Section A to E	All CPAs	Provide information as needed. Any section/subsections <ul style="list-style-type: none"> <li>- that requires information/justification or additional information as per transition checklist, AND</li> <li>- that involves update/revision to the information provided for registration with other standards. In such cases, the project shall copy and paste the information from registered VPA - DD (other standard) and mark the additional information in track changes.</li> </ul>

## SECTION – TRANSITION REQUEST FORM

### TRF.1 ELIGIBILITY CHECK FOR TRANSITION

To be completed for all CPAs seeking transition to GS4GG from other standards.

Requirement	
The CPA must have a crediting period start date with CDM/other standard on or <b>after 01 January 2016</b>	<p>CPA assessment (to be completed by CME)</p> <p>Is the CPA(s) crediting period start date <b>after</b> 01 January 2016?</p> <p><input checked="" type="checkbox"/> Yes</p> <p><input type="checkbox"/> No (go to questions below)</p>
The CPA that has a crediting period start date with CDM/other standard <b>before 01 January 2016</b> shall demonstrate the risk of discontinuation without carbon revenue.	<p><i>Explain the risks/barriers that may cause discontinuation of CPA without carbon revenue.</i></p> <p>NA</p>
The CPA that has a crediting period start date with CDM/other standard <b>before 01 January 2016</b> shall demonstrate how the project has been operational in the absence of carbon revenue, if carbon credits have not been issued to the project in recent years.	<p><i>Explain how the CPA has managed the operation in the absence of carbon revenue in recent years.</i></p> <p>NA</p>
List of supporting documents	<p><i>Please list all supporting documentation.</i></p> <p><i>If any of the supporting documents are confidential, please indicate here to ensure they are omitted from being published</i></p>

## TRF.2 TRANSITION PROJECT INFORMATION

CME shall provide CPA information (in grey rows), pertaining to the standard, the CPA is transitioning from (e.g. CDM) in the table below.

Name of the original standard	<input checked="" type="checkbox"/> <b>CDM</b> <input type="checkbox"/> <b>Other</b> ( <u>Add the standard name here</u> )
CPA status with original standard	<i>The current status of CPA with CDM/other standard at the time of submission of this form.</i> <input checked="" type="checkbox"/> <b>Active</b> (registration status is valid) <input type="checkbox"/> <b>Withdrawn</b> (deregistered) <input type="checkbox"/> <b>Provisional</b> (awaiting guidance from the CMP at CMP 16, CDM CPAs only)
CDM/ other standard reference ID	<i>The reference number/ID allocated to the CPA by CDM/other standard.</i> CPA 9181-P1-0009-CP1
CPA reference weblink	<i>The weblink of the project page of CDM/other standard.</i> <a href="#">CDM: MicroEnergy Credits PoA – CPA 09 (unfccc.int)</a>
PoA reference ID and Title	Reference ID and Title For example 0457: Cooking stoves distribution programme in Uganda 9181: MicroEnergy Credits – Microfinance for Clean Energy Product Lines – India
Title of CPA	<i>The title of the CPA used for registration with CDM/other standard.</i> MicroEnergy Credits PoA – CPA 09
New title of CPA (if applicable)	<i>The title of the CPA if it has been changed for registering with Gold Standard. (Follow GS4GG requirements Section 5, <a href="#">PoA requirements</a>)</i> NA
Methodology used	<i>Methodology title and the version number applied for registration with CDM /other standard.</i> AMS-I.A "Electricity generation by the user" (Version 14) AMS-III.A.V. "Low greenhouse gas emitting water purification systems" (Version 2)
Amount of reductions	<i>Average annual emission reductions (tCO<sub>2</sub>eq/year).</i> 106,719tCO <sub>2</sub> e
Inclusion date	<i>The CPA inclusion date with CDM/other standard.</i> 07/12/2016
Type of crediting period	<input checked="" type="checkbox"/> renewable crediting period <input type="checkbox"/> fixed crediting period
Crediting period	<i>The CPA registered crediting period start date and end date with CDM/other standard.</i>

	Start date: 07/12/2016 End date: 06/12/2023	
Total monitoring periods issued	<i>The total period that has already been issued by CDM/other standard.</i> Start date: 07/12/2016 End date: 26/06/2020	
Latest monitoring period	<i>The latest monitoring period that has already been issued or submitted for issuance to CDM/other standard.</i> Start date: 27/12/2019 End date: 26/06/2020	
	Issuance Status	<input checked="" type="checkbox"/> Issued <input type="checkbox"/> Awaiting issuance
	Date of Issuance, if issued.	28/10/2021
Declaration	Click on the tick box to confirm. The Coordinating/Managing Entity hereby acknowledges that project developer;	
	<input type="checkbox"/> Option 1 - has included information in this document that has not been validated/verified as part of CDM PDD <b>OR</b> <input checked="" type="checkbox"/> Option 2 - has copied all validated information as it appears in the original and then used tracked changes to highlight any information that not been validated/or has changed - <i>Note if option 2 is selected the project developer shall fill all sections in the PDD template of this document.</i>  The Coordinating/Managing Entity hereby acknowledges that project developer;  <input checked="" type="checkbox"/> is aware that for a given vintage, a registered Gold Standard CPA can request the issuance of the emission reductions under only one standard/certification scheme. (applicable to all projects).  <input checked="" type="checkbox"/> is aware that all CPAs that transition to GS4GG shall demonstrate Ongoing Financial Need at the time of renewal of their crediting period following applicable GS4GG requirements. (applicable to all CPAs).  <input checked="" type="checkbox"/> confirms that the project developer/representative will make a declaration, in writing, in the monitoring report submitted to Gold Standard that (applicable to CDM CPAs) <ul style="list-style-type: none"> <li>- CPAs will/has not issue both a CER/other compliance units under Paris Agreement and a GSVER for the same vintage.</li> </ul>	

	- CME agrees to comply with all future UNFCCC COP/CMP decisions <sup>1</sup> including adjustment of GWP values
Coordinating/Managing Entity / authorised signatory	Name and designation of CME/authorised signatory Micro Energy Credits Corporation Private Limited

### TRF.3 TRANSITION CHECKLIST

Coordinating/Managing Entity shall answer all assessment questions listed below and provide additional information/justification in the VPA-DD section, where required. Please note that the checklist is based on the [GHG Emissions Reductions and Sequestration Product Requirements](#).

The checklist also provides relevant requirements applicable to PoA/CPA transitioning to GS4GG for easy referencing. The CME shall refer to relevant GS4GG documents, as applicable, for further details. It is recommended that CME refers to Guidelines in the table below for more information on the requirements and flexibilities provided. This document (in word) shall be submitted to SustainCERT along with other required documents **for preliminary review** as listed below –

- [Cover Letter](#)
- [Terms and Conditions](#)
- [Official Development Assistance declaration](#)
- [Stakeholder Consultation Report](#)
- PoA Design Document (PoA-PDD) final version (CDM/other standard)
- CPA-DD registered with CDM/other standard
- Validation report submitted to CDM/other standard
- Last Monitoring and Verification report submitted to CDM/other standard

<b>1   TRANSITION PATHWAY</b>		
<b>1.1  </b>	<b>Option 1:</b> Is CPA seeking registration with GS4GG <b>to issue GSCERs</b> while maintaining the CDM registration? (Ref: <a href="#">GHG Product Requirements</a> )	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>1.2  </b>	<b>Option 2:</b> Is CPA seeking registration with GS4GG <b>to issue GSVERs</b> only and/or conversion of <b>issued CERs to GSVERs</b> ? (Ref: Annex B, <a href="#">GHG</a> )	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

<sup>1</sup> CDM clarification available on this topic as on date can be referred to [here](#).

<a href="#">Product Requirements</a> Note – for conversion of issued CERs to GSVERs, the project must be registered with GS4GG.		
<b>1.3   Option 3:</b> Is CPA seeking registration with GS4GG <b>to issue GSVERs only</b> and/or <b>conversion of emission reduction to GSVERs</b> issued by <b>standard other than CDM</b> ? (Ref: Annex B, <a href="#">GHG Product Requirements</a> )	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<p><b>Requirement:</b></p> <p>All CPAs submitting request for transition on or after 1/1/2021 must demonstrate compliance with requirements stated in <b>Annex B</b>, <a href="#">GHG Product Requirements</a>.</p> <p>The CPA following <b>option 1</b> above;</p> <ul style="list-style-type: none"> <li>- may seek registration under GS4GG based on provisional CDM EB decision</li> <li>- may seek issuance of GSVERs in exchange of provisional CERs based on CDM EB decision but must transfer issued CERs to the Gold Standard Swiss CDM Registry Account. If there are any implications for issued volume or project eligibility due to CMP decision regarding GWP, additionality or any other decision, the CME must address these issues, as applicable in consultation with SustainCERT/GS.</li> </ul> <p>The CPA transitioning to GS4GG following <b>option 2</b> above,</p> <ul style="list-style-type: none"> <li>- may convert issued CERs to GSVERs</li> <li>- are not required to deregister from CDM but shall not claim emission reductions under both GS4GG and CDM for the same vintage</li> </ul> <p>The CPA transitioning to GS4GG following <b>option 3</b> above,</p> <ul style="list-style-type: none"> <li>- may convert issued emission reductions unit to GSVERs</li> <li>- may issue GSVERs</li> <li>- shall deregister project from other standard before registration with GS4GG</li> </ul> <p><b>Guidelines:</b></p> <p>PoA/CPAs already undergoing design certification for CER labelling can continue with their existing process. <a href="#">SustainCERT</a> shall be notified of the intention to switch to GSVER stream, at the earliest possible opportunity.</p> <p>PoA/CPAs already certified for CER labelling can switch to GSVER stream by completing this form and notifying <a href="#">SustainCERT</a>. Such project may leave the VPA-DD section blank as this information has been captured in GS4GG PDD version submitted earlier.</p>		
<b>2   TRANSITION APPROVAL PROCEDURE</b>		
<b>1.1   Option 1 -</b> Is the project undergoing a preliminary review <b>by sustainCERT</b> , validation <b>by VVB</b> and design review by <b>SustainCERT</b> ?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>1.2   Option 2 -</b> Is the project undergoing a <b>combined preliminary review, validation, and design review</b> by <b>SustainCERT</b> ? (restrictions apply, see 5.3 below)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>1.3   Option 3-</b> Is the project undergoing preliminary review <b>by SustainCERT</b> , combined <b>validation &amp; verification by VVB</b> , followed by combined design and performance review by SustainCERT?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	

**Requirement:**

The PoA certification under GS4GG involves following key steps. Refer to Section 12. Project cycle [Programme of Activity Requirements](#) for details.

**Preliminary review** - Preliminary Review of the PoA is conducted once at the time of first submission to Gold Standard. It involves desk review of the Key Project Information and PoA-DD by SustainCERT. The PoA can only be listed once a preliminary review of PoA and each CPA submitted with PoA has been completed.

**Design certification (validation + design review)** - Design certification involves validation by VVB and design review by SustainCERT. With successful design certification the PoA will obtain 'Certified design' status that is equivalent to registration under CDM and other standard. The real case CPA-DD is required with PoA-DD for design review as per [Programme of Activity Requirements](#).

**Performance certification (verification + performance review)** - Performance certification involves verification by VVB and performance review by SustainCERT. The positive conclusion of the Performance Review period shall result in Gold Standard 'Certified Project status' and CPAs can issue GSVERs. The CME may opt for combined Design Certification, conducting both the first Verification and Performance Review under GS4GG at the same time.

**CPAs/VPA's Inclusion** – Once a real case CPA/VPA fully design certified, the CME may include CPAs/VPA's applying same technology measures following a simplified inclusion process. It involves, VVB's compliance check followed by SustainCERT design review (two weeks) or if selected for spot - check three week design review.

To minimise disruption and keep the transition review time and costs minimum, the PoA is provided with flexibilities as summarised in the table below;

Certification outcome	Certification stage	Option 1 Normal certification pathway	Option 2* Combined Preliminary review + Validation + Design review	Option 3 Combined validation + verification followed by combined design + performance review
PoA+ REAL Case CPA				
Listing	Preliminary review	SustainCERT		SustainCERT
Certified Design = Registration	Validation	VVB	SustainCERT	VVB
	Design review	SustainCERT		SustainCERT
Certified project = Issuance	Verification	VVB	VVB	VVB
	Performance review	SustainCERT	SustainCERT	SustainCERT
CPA/VPA inclusion				
CPA/VPA inclusion	Compliance check	VVB	SustainCERT	VVB
	Design review	SustainCERT		SustainCERT
	Verification	VVB	VVB	VVB
	Performance review	SustainCERT	SustainCERT	SustainCERT

For option 1, a validation/inclusion site visit by VVB is not required for CPAs proposed for inclusion as long as the VVB conducted a site visit as part of validation/verification in last three years (from time of first submission for preliminary review) and new/updated information can be audited based on desk review and/or using remote audit approaches.



For Option 2, SustainCERT conducts PoA/CPAs design elements desk based audit and approve PoA/CPAs transition, without VVB's opinion. **Note that this option will involve additional review fee levied by SustainCERT. The project developer shall confirm the applicable fee and timelines with SustainCERT ([help@sustain-cert.com](mailto:help@sustain-cert.com)) before submitting the request for transition.**

If transition PoA is applying a new/latest version of the methodology which requires full audit but VVB, option 2 cannot be applied.

CMEs may also directly include VPAs/CPAs in the registered PoA, without VVB compliance check

- a. If at least one VPA/CPA of the registered PoA has completed successful performance certification, and
- b. The VPA/CPA that has completed performance certification and the VPAs/CPAs that are included by CME without VVB compliance check shall,
  - involve same technology/measure and apply same methodology in case of single technology POA
  - involve same technologies/measures and apply same methodology(ies) combination in case of multi technology PoA

Refer to VPA/CPA INCLUSION REQUIREMENTS (RU 2020 P&R - PAR V1.2) for further details on applicability conditions and requirements.

This option is not captured in the table above.

This option doesn't involve additional fee levied by SustainCERT as mentioned in option 2 above.

### 3| CPA ELIGIBILITY

<b>1.1   Is the CPA eligible project type under Gold Standard for the Global Goals?</b>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
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**Requirement:** The transitioning project shall be one of the eligible project types for issuance of Gold Standard VERs (Ref: [GHG Product Requirements](#)).

**Guidelines:** Typical eligible activity types are Renewable Energy Supply, End-Use Energy Efficiency Improvement, Waste Handling & Disposal, Land Use and Forests.

- Afforestation/Reforestation project registered with CDM/other standard may transition to GS4GG for issuance of GSVERs only but are not eligible for labelling of issued emission reduction units.
- RE projects shall refer to [Renewable Energy Activity Requirements](#) for eligibility check.
- RE projects for example - · Hydropower · biomass resources · landfill gas and biogas from agro-processing, wastewater and other residues · Waste Heat/Gas recovery · Fossil co-generation · Waste incineration and gasification · Waste handling and disposal are required to demonstrate compliance with the specific eligibility requirements. Refer to Annex – A of [Renewable Energy Activity Requirements](#) for further details.
- Community Services Activities projects for example - Hydropower · biomass resources · landfill gas and biogas from agro-processing, wastewater and other residues · Waste Heat/Gas recovery · Fossil co-generation · Waste incineration and gasification · Waste handling and disposal · Relighting · End-use fossil switching are required to demonstrate compliance with the specific eligibility requirements. Refer to Annex – A of [Community Services Activity Requirements](#) for further details.

COMPLIANCE WITH RELEVANT ACTIVITY REQUIREMENTS	
<b>1.2  </b> Does the CPA conform to the relevant Activity Requirements ( <a href="#">CSA/RE</a> )?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>1.3  </b> Does any specific eligibility criteria/requirement stipulated in Annex A of <a href="#">CSA/RE</a> requirements apply to the CPA?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>1.4  </b> Does specific eligibility criteria/requirement stipulated in Annex A of <a href="#">CSA/RE</a> requirements that apply to the CPA, lead to any change in the registered PoA -DD or VPA -DD? <b>If Yes, please provide a full explanation in section A.1.3. below.</b>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<p><b>Requirement:</b> (Ref: Section 4.1.1 of <a href="#">GHG Product Requirements</a>) CPA shall conform to the relevant Activity Requirements and Gold Standard Approved Methodologies, including <a href="#">eligible CDM Methodologies</a>.</p> <p><b>RE rule update / RE PoA rule update:</b> Grid connected Renewable Energy CPAs seeking to transition from another carbon crediting scheme to GS4GG or labelling of emission reductions under GS4GG are exempted from eligibility requirements listed in para 2.1.3 of the RE Activity Requirements. This exemption is only allowed to projects that started the first crediting period with the original carbon crediting scheme from 01/01/2016 or later but before 24/01/2020. (Ref: Section 2.1.1 and 2.1.2 of <a href="#">RU 2020 AR –RE V1.2</a>)</p> <p>Specific <a href="#">Renewable Energy Activity requirements</a> (refer to Annex A): Hydropower, biomass resources, landfill gas and biogas from agro-processing, wastewater and other residues, Waste Heat/Gas recovery, Fossil co-generation, Waste incineration and gasification, Waste handling and disposal.</p> <p>Specific <a href="#">Community Service Activity requirements</a> (refer to Annex A): Hydropower, biomass resources, landfill gas and biogas from agro-processing, wastewater and other residues, Waste Heat/Gas recovery, Fossil co-generation, Waste incineration and gasification, Waste handling and disposal, Relighting, End-use fossil switching.</p>	
<b>5  APPLICABILITY OF THE METHODOLOGY/TOOL VERSION</b>	
<b>1.5  </b> Does the CPA apply an eligible GS methodology? Refer to list of the eligible methodologies <a href="#">here</a> .	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>1.6  </b> Does the CPA apply the version of the methodology and applicable tools <b>applied for CDM/other standard registration or renewal</b> ?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>1.7  </b> Does the CPA apply the latest version of the methodology and applicable tools applied in registered PoAs for inclusion of new VPAs after transition to GS4GG? <b>If Yes, please provide a full explanation in section B below. And note that the CPA cannot opt for option 2 mentioned transition approval procedure, above.</b>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<p><b>Requirement: (Ref: Annex B of <a href="#">GHG Product Requirements</a>)</b> Transition CPA shall</p> <p>a. conform to the relevant <a href="#">Activity Requirements</a> and Gold Standard Approved <a href="#">Methodologies</a>, including eligible <a href="#">CDM Methodologies</a> referring to the inclusion criteria of registered PoA.</p>	

b. also meet the additional GS4GG methodology eligibility requirements, where applicable. Refer to [CDM Methodologies](#) for Gold Standard Eligibility Requirements, referring to the inclusion criteria of registered PoA.

Transition CPA shall apply the version of GS approved CDM methodology or methodology tool for transition to GS4GG as follows;

- a. version applied for inclusion in the registered PoAs with other standard, OR
- b. latest version applied by the registered PoAs for inclusion of new VPAs after transition to GS4GG.

Note that The Transition PoA may include the latest version of the methodology and applicable tool for inclusion of new VPA(s), at the time of first submission (preliminary review) or at any later stage of certification cycle, but before submitting the request for inclusion for new VPAs. In such cases, VVB shall validate the updated PoA and VPA documents as per applied version of the methodology and or methodology tool before or with the request for inclusion of new VPAs.

## 6| DEMONSTRATION OF ADDITIONALITY

**1.8 |** Are you aware that the transitioning CPA will be required to demonstrate Ongoing Financial Need as per the relevant GS rules and requirements available at the time of renewal of crediting period? (Refer to para 4.1.51 – 4.1.53 of [Principles & Requirements](#).)

☒ Yes  
☐ No

**1.9 |** Does CPA meet the PoA inclusion criteria with respect to the additionality justification?

☒ Yes  
☐ No

### Requirement:

- The CDM PoA/CPAs are not required to carry out additional assessment for demonstration of additionality over and above what has been done for registration/determination with the CDM unless the project falls into a category that is deemed non-additional in an applicable Gold Standard Activity Requirement. In such cases the relevant Activity Requirement shall take precedence. **Ref: Annex B [GHG Product Requirements](#).**
- Transition PoA/CPAs registered with standards other than CDM are required to undergo additionality revalidation to re-establish the validity of the underlying assumptions applied in the demonstration of additionality at the time of registration with the other standard.
- The PoA/CPAs seeking combined transition and renewal of crediting period with GS4GG are not required to demonstrate OFN at the time of transition but must demonstrate OFN at the time of Crediting Period renewal after transitioning to GS4GG.

## 7| SUSTAINABLE DEVELOPMENT ASSESSMENT

**7.1 |** Does the CPA positively contribute towards **minimum three Sustainable Development Goals (SDGs)** - SDG13 (mandatory) + two other SDGs?

☒ Yes  
☐ No

**7.2 |** Have you identified the monitoring parameters linked with selected SDGs and corresponding SDG targets?  
For example – the monitoring parameter Amount of GHGs emissions avoided or sequestered is linked with SDG 13. Climate action, SDG target 13.2  
Integrate climate change measures into national policies, strategies and planning.

☒ Yes  
☐ No

**Fill section [B.6. Sustainable Development Goals \(SDG\) outcomes](#) and [B.7](#)**

**Monitoring plan, below for SDGs monitoring parameters not covered in registered CPA-DD with other standards.**

**Fill Table 1 – Estimated Sustainable Development Contributions below.**

**Requirement:**

The transitioning CPA shall demonstrate a clear, direct contribution to sustainable development, defined as making demonstrable, positive impacts on at least three Sustainable Development Goals (SDGs), one of which must be SDG 13 (Ref: Section 4.(c) of [Principles and Requirements](#))

Refer to Annex B, [GHG Product Requirements](#) for further guidelines for transition projects.

**Guidelines:**

Selected SDG impacts must not result from a one-off from design/construction/distribution/ start-up or decommissioning of the project.

You may refer to /use the [SDG impact Tool](#) (under consultation currently) to identify the relevant monitoring indicator, SDGs and corresponding SDG targets and design monitoring plan for identified indicators.

## 8| START DATE AND DURATION OF THE CREDITING PERIOD

<b>8.1  </b> Has the crediting period of the transitioning CPA registered with other carbon standard/certification scheme changed and/or extended?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>8.2  </b> Is the total duration of the crediting period of CPA (i.e. including period that had been issued under the host standard) less than/equal to the maximum crediting period allowed under relevant GS4GG activity requirements?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

**Complete the section C.2.2 Total length of crediting period below.**

**Requirement:**

- The crediting period of the transitioning CPA registered with other standards or certification schemes cannot be changed/extended.
- Maximum crediting period allowed under GS4GG are as  
CSA – 15 Yrs, RE – 15 Yrs, if not defined in activity requirement or applicable methodology – 10 Yrs.
- The start date of the GS crediting period shall be same as the start date of the CDM crediting period. (Annex B, [GHG Product Requirements](#))
- For a transitioning CPA the total duration of the crediting period, including the period that has been claimed under the host standard, shall not exceed the maximum crediting period allowed under relevant GS4GG activity requirements.

If a given CPA transitioning to GS4GG, was registered under Standard X with -

- fixed crediting period (10 years): The total crediting period (Standard X + GS4GG) must remain 10 years. The CPA can only claim remaining years of its 10-year crediting period after transitioning to GS4GG.
- renewable crediting period (7\*3 year): The total crediting period (Standard X + GS4GG) must be equal to that allowed under relevant GS4GG activity requirements.

The CPA can only claim remaining years of the maximum allowed crediting period after transitioning to GS4GG. For example; the maximum crediting period allowed for renewable energy project is 15 years. A renewable energy CPA that has already claimed 5 years under Standard X can only claim remaining 10 years of the total 15 years of its allowed crediting period after transitioning to GS4GG

- For a transitioning CPA, the start date of the Gold Standard Crediting Period starts with crediting period start date with other standard or maximum two years before the date of first submission (submission for preliminary review), whichever occurs later.. (Ref: [GHG Product Requirements](#))
- All transition CPAs shall be renewed every 5 years. The first crediting period renewal under GS4GG takes into account the crediting years that has already been issued by other standard. For example, if a CPA start date with standard X is 01/01/ 2019, the CPA shall renew its crediting period with GS4GG on or before 1st Jan 2024, irrespective of date of transition approval with GS4GG.

## 9| SAFEGUARDING PRINCIPLES ASSESSMENT

<b>9.1  </b> Does the CPA conform to the Gold Standard Safeguarding Principles and Requirements?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>9.2  </b> Is there any risk and/or likely adverse outcomes of the project?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>9.3  </b> If answer is yes for Q, can the project achieve requirements with regards to the relevant principle through design, management or risk mitigation?	<input type="checkbox"/> Yes <input type="checkbox"/> No
<b>9.4  </b> If answer is yes for Q, have the Mitigation Measures added to the Monitoring Plan (if required)?	<input type="checkbox"/> Yes <input type="checkbox"/> No

Complete the Annex 1 and section D. Summary of Safeguarding Principles below.

**Requirement:** The transitioning project shall conform to the [Gold Standard Safeguarding Principles and Requirements](#). (Ref: Section 4.1.19 of [GS4GG Principles and Requirements](#))

**Guidelines:** The detailed Safeguarding Principles and Requirements checklist is available in Annex 1 of this document.

## 10| STAKEHOLDER CONSULTATION REQUIREMENTS

<b>10.1  </b> Has the CPA conducted a Stakeholder Consultation in accordance with the requirements of <a href="#">Gold Standard Stakeholder Consultation &amp; Engagement Requirements</a> ?  The answer to Q 10.1 is "No", if any of the questions below is answered as "No". The project should take the question(s) into account and address the gap when conducting supplementary stakeholder consultation to comply with GS4GG requirements.  Please answer the below question with regards to the stakeholder consultation conducted to comply with CDM/other standard requirements?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>10.2  </b> Did you conduct the stakeholder consultation before the CPA start date?	<input checked="" type="checkbox"/> Yes

	<input type="checkbox"/> No
<b>10.3</b>   Did you discuss identified direct positive and negative impacts of the CPA with stakeholders?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>10.4</b>   Does the invited stakeholder covers all stakeholder groups (a to g) listed in paragraph 3.1.1. of <a href="#">STAKEHOLDER CONSULTATION AND ENGAGEMENT REQUIREMENTS</a> ?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>10.5</b>   Did the invitation methods solicit input from women and marginalised groups?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>10.6</b>   Were the stakeholders invited at least 30 days before the stakeholder meeting?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>10.7</b>   Did a local language version of the non-technical summary with information required as per paragraph 5.1.1. of <a href="#">STAKEHOLDER CONSULTATION AND ENGAGEMENT REQUIREMENTS</a> , shared with stakeholders?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>10.8</b>   Was a physical meeting conducted?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>10.9</b>   Was a gender lens applied to assessing comments? (for example, if only men provided comments on household device project, was this taken into consideration when assessing the relevance of the comment?)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>10.10</b>   Were any serious, reasonable and proportional concerns raised and taken into account and satisfactorily addressed?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>10.11</b>   Were any points that warrant 'Mitigation measures' marked as such and monitoring plan has been designed and included in the PDD?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>10.12</b>   Is the mandatory Continuous Input / Grievance Expression Process Book's location clearly stated (and therefore usable)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>10.13</b>   Does PDD include a summary report of the comments received from local stakeholders?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

**Complete the section E. Summary of Local Stakeholder Consultation below.**

**Requirement:** Ref: Section 4.1.25 of [GS Principles and Requirements](#).

**Guidelines:** CPA that conducted a stakeholder consultation meeting to comply with CDM/other standard requirements, should conduct, at minimum,

- one round of consultation for identified gaps i.e., gaps due to differences in stakeholder consultation requirements of GS4GG and CDM/other standard. For instance, if original consultations only involve one physical meeting, CME/PD should conduct a stakeholder feedback round covering all the identified gaps. The additional stakeholder consultations may involve a physical meeting or stakeholder feedback round, as necessary.

If COVID interim measures are applicable (currently till 30/06/2021), the physical meeting and stakeholder feedback round may be postponed, and a draft SCR shall be mandatorily submitted to cover the consultation activities carried out till date.

## KEY PROJECT INFORMATION

GS ID of Project	GS11479
Title of Project	MicroEnergy Credits PoA – CPA 09
Time of First Submission Date	04-01-2022
Date of Design Certification	DD/MM/YYYY
Version number of the VPA-DD	1
Completion date of version	30/12/2021
Coordinating/managing entity	Micro Energy Credits Corporation Private Limited
VPA Implementer (s)	Canara Bank Muthoot Microfin Limited
Project Participants and any communities involved	-
Host Country (ies)	India
GS ID and Title of applicable Design Certified VPA	
GS ID and Title of applicable Performance Certified VPA	
Activity Requirements applied	<input checked="" type="checkbox"/> Community Services Activities <input type="checkbox"/> Renewable Energy Activities <input type="checkbox"/> Land Use and Forestry Activities/Risks & Capacities <input type="checkbox"/> N/A
Scale of the project activity	<input type="checkbox"/> Micro scale <input checked="" type="checkbox"/> Small Scale <input type="checkbox"/> Large Scale
Other Requirements applied	-
Methodology (ies) applied and version number	AMS-I.A "Electricity generation by the user" (Version 14) Emission reduction from safe drinking water supply-version 1.0
Product Requirements applied	<input checked="" type="checkbox"/> GHG Emissions Reduction & Sequestration <input type="checkbox"/> Renewable Energy Label <input type="checkbox"/> N/A
Project Cycle:	<input type="checkbox"/> Regular <input checked="" type="checkbox"/> Retroactive



**Table 2 – Estimated Sustainable Development Contributions**

Sustainable Development Goals Targeted	SDG Impact (defined in B.6.)	Estimated Annual Average	Units or Products
13 Climate Action (mandatory)	Number of VERs	232,894	tCO <sub>2</sub>
1 End poverty in all its forms everywhere	Number of households with clean energy products i.e. Solar Lighting Systems (SLS) and Water Purification Systems (WPS)	400,000 36,000	SLS WPS
3 Good Health and Wellbeing	% Households confirming less smoke with the use of WPS instead of traditional cookstoves for boiling water and Solar lighting Systems instead of kerosene lamps	100	%
5 Gender Equality	Average time saving associated with boiling water on traditional stoves and fuel collection	100	% of time saving
6 Clean Water and Sanitation	Number of beneficiaries	36,000	WPS
7 Affordable and Clean Energy	Number of beneficiaries	400,000 36,000	SLS WPS
8 Decent Work and Economic Growth	Quantitative Employment and income generation	20	jobs



12 Responsible Consumption and Production	Reduction in use of non-renewable biomass per household	100	%
15 Life on Land	Reduction in use of non-renewable biomass per household	100	%

## SECTION A. DESCRIPTION OF PROJECT

### A.1. Purpose and general description of project

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In the rural areas of India, the predominant means of drinking water is by boiling using traditional cook stoves that use woody biomass as fuel. The smoke and fumes from these stoves contribute heavily to indoor air pollution. In rural areas of India, households are either not connected to the grid or in households even with grid connectivity, there are frequent power outages and low voltage so rural households must use kerosene for indoor lighting, which also contributes to indoor air pollution and GHG emissions.

The VPA involves marketing, education, distributing, and financing solar lighting systems, and water purification devices for low-income households and microentrepreneurs in India. Micro Energy Credits Corporation Private Limited is the Coordinating and Managing Entity of this PoA and coordinates efforts of VPA implementers to distribute Clean Energy Products in India.

These products provide clean drinking water and renewable energy for lighting. The water purification devices distributed under the proposed VPA replace traditional cookstoves thereby eliminating the use of fuelwood for boiling raw water in the baseline by households and thus reducing GHG emissions corresponding to the fuelwood saving by the project activity. The solar lighting systems replace kerosene-based lamps in households, which would have resulted in GHG emissions due to burning of fossil fuel i.e. kerosene.

Table A.1 Estimated Water purification devices in Operation for entire VPA<sup>2</sup>

Year	Sales
Year 1	36,000
Year 2	36,000
Year 3	36,000
Year 4	36,000
Year 5	36,000

Table A.2 Estimated Solar Lighting system in Operation<sup>3</sup> for entire VPA

Year	Sales
Year 1	400,000
Year 2	400,000
Year 3	400,000
Year 4	400,000
Year 5	400,000

\*

The program is a voluntary initiative coordinated by Micro Energy Credits Corporation Private Limited (MEC), the CME of the PoA, and implemented by several Partner Organizations (PO). The water purification devices included in the proposed VPA are as of now implemented by PO – Muthoot Microfin Limited (MML)<sup>4</sup>. Canara Bank<sup>5</sup> and several other PO's have implemented the solar lighting systems. Several additional PO's may implement water purification devices and the solar lighting systems. The exact identity and details of the PO's and the number of water purification devices and solar lighting systems implemented will be made available at the time of verification as deployment of these systems is done in a phased manner depending on demand from clients.

<sup>2</sup> The sales numbers mentioned in this table are indicative and may vary depending on the demand of the Water purification devices from clients. ERs shall be calculated at actuals complying with relevant methodological requirements. Future sales can happen in any state, but in any case, all sales will be restricted to the geographical boundary of the PoA i.e. India and will be in line with all requirements of the methodology and PoA eligibility criteria.

<sup>3</sup> The actual solar lighting system sales volume might be substantially different than those mentioned in the table depending upon the demand. ERs shall be calculated at actuals complying with relevant methodological requirements. Future sales can happen in any state, but in any case, all sales will be restricted to the geographical boundary of the PoA i.e. India and will be in line with all requirements of the methodology and PoA eligibility criteria.

<sup>4</sup> <http://muthoot.com/>

<sup>5</sup> <https://www.canarabank.in/>

Under the proposed VPA, MEC works with project partners to develop a successful and diversified clean energy-lending program. The clean energy program addresses typical barriers for low-income clients including education, price, finance, and supply and aftersales service. MEC trains project partners to implement the clean energy lending program, as well as a robust and transparent carbon credit monitoring and tracking system to quantify and record the volume of carbon emission reductions created through the clean energy program. The carbon finance is used to expand and sustain the clean energy program through:

1. Client education and marketing
2. Internal training and capacity building
3. On lending funds to local SMEs producing the clean energy products
4. Aftersales service and maintenance
5. Lowering the interest or principal cost to the client

The goal of the VPA is to use carbon finance to enable installations of solar lighting systems, and water purification devices in India.

The CME has approved the inclusion of the proposed VPA in the registered PoA and also confirms that the proposed VPA will not be part of another PoA or any other carbon offset program.

The technologies/measures employed by the VPA - POs offers loans for a suite of Clean Energy Products ("CEP") including water purifiers and solar lighting systems.

The project boundary – The POs included in this CPA will be working in branches located in India (Coordinates: 20.5937°N 78.9629°E)

*The baseline scenario –*

SOLAR: This VPA involves the introduction of solar lighting systems into households in several states in India to replace the main baseline fuel, kerosene.

WATER PURIFIER: The water purifiers distributed under the proposed VPA replace traditional cookstoves thereby reducing the amount of fuelwood used for boiling water in the baseline by households.

A.1.1. Eligibility of the project under approved PoA

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No.	Eligibility criterion	Description/ Required condition	Means of Verification/Supporting evidence for inclusion
1	Boundary and location of the VPA	The VPA is located within India	Location and boundary is specified in section A.2 of the VPA-DD stating that the location is limited to India and supported with GPS coordinates.  Document: Statement of CME that the location and boundary is within India and supported with GPS coordinates.
2	Project technology	VPAs involves use of following technology-  1. distribution of safe drinking water systems (HWT and CWT technologies) to residential area. 2. Distribution of improved cookstoves to households 3. Distribution of Solar lighting systems to households	The VPA-DD specifies the target end-user group and the technology being distributed i.e. safe drinking water systems and Solar Lighting Systems in section A.3  Supporting evidence: Sales database
3	No Double counting of CEPs impacts within this PoA and across other registered or deregistered PoAs	A unique numbering or identification system for the CEP installed is applied. This shall ensure no double counting of CEPs within the same VPA and same PoA and ensure that CEP can be identified as belonging to	A legally binding contract between CME and manufacturer/micro finance institution/POs would be established to ensure that all carbon title is transferred to the CME.

		<p>this PoA and not to a PoA managed by any other CME.</p> <p>A legally binding contract between CME and manufacturer/micro finance institution/POs would be required to ensure that all carbon title is transferred to the CME. This shall ensure that POs, stove/lamp manufacturers and distributors do not claim ERs separately.</p>	<p>Document: Credit Tracker sales receipt showing CME and PO information, end user details including name and address and CEP ID number.</p> <p>In addition to the sales receipt the programme logo shall be displayed on the CEPs and verifiable by DOE.</p> <p>A legally binding contract between CME and manufacturer/micro finance institution/POs would be required to ensure that all carbon title is transferred to the CME.</p>
4	VER ownership	<p>End users receiving CEP under the specific VPA contractually cede their rights to claim and own emission reductions to the CME of the PoA.</p>	<p>The default CEP Booking Record is including the provision that emission reductions generated by the CEP are transferred from the end-user to the PO and ultimately owned by the CME. The receipts will clearly specify that carbon rights are ceded in favour of CME.</p> <p>Documents: 1. Default Booking Record</p>
5	No Double counting of VPA	<p>The VPA is exclusively bound to the PoA. Confirmation that the programme activity has not been and will not be registered either as a single project activity or as a VPA under another registered</p>	<p>A declaration from the CME on its letterhead has been provided that this VPA will not be part of another single CDM/voluntary project activity or CPA/VPA under another PoA nor has it</p>

		<p>PoA in other offset schemes nor the project activities that have been deregistered.</p>	<p>been deregistered. In addition, declaration from VPA operators as part of their contract with the CME, stating that their activities are not registered as part of another single CDM project activity of CPA under another PoA.</p> <p>Evidence: Contract between the CME and MFI.</p>
6	<p>Awareness and Agreement of those operating a VPA on PoA subscription</p>	<p>Contractual provisions to ensure that those operating the VPA are aware and have agreed that their activity is being subscribed to the PoA.</p> <p>In the case that the CME is not responsible for implementing the VPA, the organization responsible for VPA implementation, known as the Partner Organisation (PO), has signed a contractual agreement with the CME to participate in the PoA. This agreement:</p> <ul style="list-style-type: none"> <li>- Defines the ownership of the carbon emission reduction rights</li> <li>- Covers the PO's distribution and monitoring related responsibilities</li> <li>- Confirms that the CEPs to be distributed under the VPA have not and</li> </ul>	<p>Contractual agreement for VPA operators, stating that they are aware and have agreed that their activity is being subscribed to the PoA</p>

		<p>will not be distributed under any other carbon project (CDM project, PoA or voluntary carbon market project)</p> <p>Cedes the PO's rights to the carbon credits generated from VPAs under the PoA to the CME</p>	
7	Non-diversion of ODA in case of Public funding	<p>The CME and the VPA operator (in case of being different from the CME) shall confirm that there is no public funding or in the case of public funding, the Annex 1 party will confirm that funding is not a diversion of Official Development Assistance.</p>	<p>Statement of CME and the VPA operator (in case of being different from the CME) that there is no public funding</p> <p>Or</p> <p>In the case that there is public funding, an Annex 1 party will confirm that funding is not a diversion of ODA.</p> <p>Document: ODA Declaration</p>
8	Specification of the technology such as the level and type of service, as well as performance specification;	<p>The VPAs will include water filter technology which will provide safe drinking water, confirming to WHO International standards and host country norms for safe water for human consumption.</p> <p>The VPAs will include distribution of solar lighting systems which will replace kerosene lamps in baseline</p>	<p>Technological and performance specifications are given in section A.3 of the VPA-DD for the technologies included in the VPA.</p> <p>The project technologies comply with the minimum specification requirements prescribed in the applied methodologies (as applicable).</p>
9	VPA Start Date	The VPA start date is the registration date of the project activity with the UNFCCC-CDM from where	Link of the UNFCCC webpage of the CDM PoA and CPAs to be shared with the VVB.

		the project is transitioning to Gold Standard. The VPA can request issuance of GS-VERs or convert issued GS-CERs to GS-VERs for a retroactive period.	
10	VPA Crediting Period	Crediting period shall be 15 years in line with the Community Service Activity Requirements. The maximum crediting period includes the time that a project or CPA has been issued emission reductions under CDM.  Each VPA shall provide verifiable evidence.	Maximum crediting period under Community Services Activity requirements is 15 years from start date i.e. 07/12/2016 to 07/12/2031.  Details on years in which emission reductions were issued under CDM shall be provided to GS VVB.
11	Approval of VPA by CME	CME approved each VPA to be included into its registered PoA.	A letter by CME giving approval for the VPA to be included into its registered PoA.
12	Target groups of the programme	The VPAs included in the POA will involve distribution of WPS or ICS and/or SLS directly to the domestic end users individually or to community in case of WPS.	Sale invoices and agreement with the end user/community head by CME
13	Additionality of VPAs	Additionality will be demonstrated in accordance with the paragraph 1.1.3 of Annex B (Positive List) of Community Services Activity Requirements, version 1.2 "Project activities solely composed of isolated units where the users of the technology/measure are households or communities	The VPA is in compliance with item 1.1.3 of Annex B – positive list mentioned in the 'Community Services Activity Requirements', Version 1.2. It is solely composed of isolated units (CEPs) where the users of the technology/ measure are households or communities or



		or institutions and where each unit results in $\leq 60$ MWh (1.8 GWhth) of energy savings per year or $\leq 600$ tonnes of emission reductions per year"	institutions and where each unit results in $\leq$ <ul style="list-style-type: none"> <li>600 tCO<sub>2</sub> per year for Water Purification Systems (WPS) and Solar lighting systems (SLS).</li> </ul> <p>Hence, according to paragraph 4.1.9 of the 'Community Services Activity Requirements', the VPA is deemed additional and therefore is not required to prove additionality at the time of Design Certification.</p>
14	Sampling requirements for the PoA	The VPAs will follow the usage survey guidelines of the methodology and UNFCCC guidelines on sampling and survey for Programme of Activities version 4.0	VPA-DD has incorporated the sampling procedure in section B.7.2 and sampled survey forms shall be provided to GS VVB.
15	Application Methodologies of	<p>The methodologies that can be applied to a VPA include:</p> <ul style="list-style-type: none"> <li>AMS-III.AR (version 6) or AMS-1A (version 14.0)</li> <li>TPDDTEC (version 3.1)</li> <li>Emission reduction from Safe Drinking Water Supply (version 1.0)</li> </ul> <p>Each VPA can implement these methodologies in isolation. In addition, the following combinations of</p>	<p>The methodology applied to this VPA are:</p> <ul style="list-style-type: none"> <li>AMS-1A (version 14.0), and</li> <li>Emission Reductions from Safe Drinking Water Supply (version 1.0)</li> </ul> <p>The justification for meeting each of the applicability criterion of the applied methodologies for both Water Purification systems and Solar</p>

		<p>methodologies are eligible under the PoA:</p> <ul style="list-style-type: none"> <li>- AMS-III.AR (Version 6)/AMS-1.A (version 14.0) and TPDDTEC (version 3.1)</li> <li>- AMS-III.AR (Version 6)/AMS-1.A (version 14.0) and Emission reduction from Safe Drinking Water Supply (version 1.0)</li> </ul>	Lighting Systems is given in section B.2.
16	End User Group	The VPA is either aimed at households, community organizations (e.g. schools) or small/medium enterprises.	The VPA-DD describes the target end-user group and the appropriate baseline in subsequent sections of the VPA-DD
17	Baseline parameters to be established at VPA level	Each VPA shall demonstrate how the baseline parameters for baselines not established at the PoA level (that applies for baselines and options not applicable at the first VPA at the time of PoA registration) that are to be calculated at the VPA level have been determined. Parameters to be monitored are listed in VPA-DD.	VPA-DD section B.6.2 and B.7.1 outlines the approach and provide supporting documents including copies of any official government reports, statistics or literature sources used for determining parameters. For local surveys or representative sampling, the copies of questionnaires, sampling design etc. shall be provided to GS VVB.
18	Local Stakeholder Consultation	Local stakeholder consultation for VPA to be conducted prior to the VPA start date.	<p>The summary of LSC has been provided in section E.</p> <ul style="list-style-type: none"> <li>- VPA LSC report</li> <li>- Record of invitations sent to the stakeholders</li> </ul>

			- Attendance sheet of the VPA LSC meeting
19	Unit level threshold check to avoid compliance with the applicable Small Scale thresholds at the aggregate level of the VPA	In accordance with GHG Emission Reduction & Sequestration Product Requirements, if each of the independent subsystems/measures included in the VPA of a PoA is no larger than 1,500 kW that employ distributed renewable energy generation technology (Type 1) or that achieve energy savings at a scale of no more than 600 MWh per year (Type II) or that achieve emission reductions at a scale of no more than 600 tCO <sub>2</sub> per year (TYPE III) for household/community/SME applications, then Small Scale projects and VPAs, solely comprising of such distributed units are not required to demonstrate compliance with the applicable Small Scale thresholds at the aggregate level of the project and VPA, if VPAs are <b>NOT</b> applying suppressed demand baseline. <sup>6</sup>	<p>No suppressed demand baseline is applied in the VPA.</p> <p>Each Solar lighting systems (SLS) are less than 1500 kW.</p> <p>Each WPS results in less than 600 tCO<sub>2</sub>e Emission reductions per year.</p> <p>- Product data sheets or specification or product information sheets from manufacturer are available.</p>

<sup>6</sup> <https://globalgoals.goldstandard.org/ru-2020-ssc-application-of-suppressed-demand/>

		Please note that not all solar lighting system or WPS may have been deployed at VPA inclusion stage, but the threshold however can also be checked during verification, and in case any deployed CEP type will be found not in line with the requirement, those CEPs will not be counted for emission reduction calculation.	
20	Conditions to be met by each VPA regarding SDG outcomes assessment	The CME shall conduct the Sustainable Development Goals (SDGs) impact assessment at the VPA level as per Principles & Requirements	SDG outcome assessment report has been submitted to GS VVB.
21	Conditions to be met by each VPA regarding safeguarding principles	Projects shall conduct a Safeguarding Principles Assessment and conform to Gold Standard Safeguarding Principles and Requirements.	Safeguarding principles assessment report is provided in Appendix 1 of the VPA-DD

Analysis of VCS/CDM/GS Registries:

An analysis of other registries is carried out and the following projects are observed:

<b>Requirement as per CDM project Standard for Programme of Activities V1.0</b>	<b>Water Purification Devices</b>	<b>Solar Lighting system</b>
It utilizes both a different measure and a different technology from those of the former project	A registered CDM project activity (Reference number – 9432) exists, however, the technology type used by this registered PoA (membrane	A registered CDM project activity (Reference number – 2699) exists, however, the technology (specifically the solar lamp models) used in

	based filter) is different from the technology (Eureka Forbes models) used in the proposed CPA (Gravity based filter) in CMEs PoA.	this project are different from the solar lamp models used in the proposed CPA <sup>7</sup> . Also, the solar models mentioned in the registered CDM project have been discontinued by the manufacturer and also have different technical specifications than the models included in the proposed CPA.
It does not share or utilize any of the assets of the former project	The registered existing project activity solely utilizes the network of distributors and retailers to disseminate the products. However, the proposed CPA relies extensively on microfinance channel to disseminate the products.	The registered existing project activity solely utilizes the network of distributors and retailers to disseminate the products. However, the proposed CPA relies extensively on microfinance channel to disseminate the products.
It utilizes a different resource type compared to the former project	While the resource type is water for both, the existing registered CDM project as well as the proposed CPA, however, the resource (solar energy) is available in abundance and hence is not shared.	While the resource type is solar energy for both, the existing registered CDM project as well as the proposed CPA, however, the resource (solar energy) is available in abundance and hence is not shared.

A.1.2. Legal ownership of products generated by the project and legal rights to alter use of resources required to service the project

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<sup>7</sup>Associated evidence (product technical specifications) is submitted to the validating DOE to substantiate that the models are different.

Participation in the VPA is voluntary for every beneficiary. If a household wishes to participate in the VPA, they confirm that MEC has full and uncontested legal ownership of the CO<sub>2</sub> emissions reductions (SDG 13) that are generated from the use of WPS/SLS distributed under the VPA.

MEC has the legal ownership of the Verified Emission Reductions (VERs) that are generated through the Gold Standard Certification. For each CEP distributed under the VPA, the beneficiary agrees at the time of distribution/dissemination of WPS/SLS that, in return for receiving a CEP, the ownership of emissions reductions and VERs lies with MEC. With effect to this, the carbon title for the product is signed off by end user directly to MEC waiving any claim or rights on carbon credits generated under the VPA.

## A.2. Location of project

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The products sold will be restricted to the boundary of the Republic of India. The VPA will involve households in many states of the host country. The location of each clean energy installation as per a GPS location or verified address will be recorded in Micro Energy Credit's Credit Tracker Platform.



The location of each clean energy installation<sup>8</sup> as per a GPS location or verified address will be recorded in Micro Energy Credit's Credit Tracker Platform, which has been designed specifically for accelerating microfinance access to clean and efficient energy. These locations will define the more precise boundary of the project activities.

The Credit Tracker Platform is used to collect and store the information related to the unique identification number, location, installation date, and usage status of each clean energy product in the VPA, making it easy to identify, locate and verify any or all of the installations that pertain to the VPA. The MEC Credit Tracker Platform is a hosted internet service, limiting the risk of loss of data.

### **A.3. Technologies and/or measures**

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#### Water Purification Systems

A variety of gravity filter water purifiers will be offered under the proposed VPA. Some of the models initially distributed under this VPA are mentioned below.

- Eureka Forbes Nakshatra

The Eureka Forbes Limited (EFL)-AquaSure Nakshatra is a medium size purifier with a 16-litre capacity (Top-8.5-litre, Bottom- 7.5-litre). AquaSure Nakshatra Storage Water Purifier is a gravity based purifier and made of food grade material. Halopure disinfection technology used in it

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<sup>8</sup> Location is defined by one of the following sets of information:

- A. Precise GPS location of the household that purchases/installs clean energy product.
- B. GPS location within one mile of the household and credible address for household.
- C. Three of the following identifiers: purchaser name, household address, phone number, bank ID number, national ID number, product serial number, household GPS location, or GPS location within one mile of household.

- ✓ It contains Multi stages Purification process which are physical filtration, carbon block and active disinfectant.
- ✓ Physical Filtration:- Removes suspended impurities
- ✓ Carbon block:- Removes organic and chemical impurities and bad taste of water
- ✓ Active disinfectant:- Destroy bacteria

In the absence of the project activity, the households would have continued to boil water for drinking purposes. The technical specifications of the water purifier are as follows –

Size – 51 cm X 26 cm X 26 cm

Net weight: 2.38 kg

Average life span under standard use conditions: The life span of the cartridge used by the purifier has a capacity of 4000 l after which it must be replaced. This product comes with one additional cartridge of 4000 l capacity. The life of the kit therefore depends on how much water is purified by the user every day.



- HUL Pureit classic

This is a large size purifier with a 23-litre capacity. It includes an activated carbon trap that removes harmful pesticides and undesirable odor. It also has an auto shut-off feature that ensures water purity. In the absence of the project activity, the households would have continued to boil water for drinking purposes. The technical specifications<sup>9</sup> of the water purifier are as follows –

Size – 61 cm X 29 cm X 21 cm

Net weight: 4.1 kg

Average life span under standard use conditions: The life span of the germ kill kit used by the purifier has a capacity of 1500 l after which it must be replaced. The life of the kit therefore depends on how much water is purified by the user every day.



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<sup>9</sup> Manufacturer's certificate on specifications



### Solar Lighting System

There will be a variety of model(s) of solar lighting technologies will be disseminated under this VPA. Households receiving these solar lighting systems are not connected to the grid resulting in use of kerosene for lighting in the baseline scenario. Some of the models that have been distributed have are described below.

Some of the models that will be distributed, including their technical specifications are–

1. Greenlight Planet Sunking Pro 2 (Model Number – Pro 2):

The technical specifications of this product are –

Manufacturer: Greenlight Planet Inc

Luminous intensity (Lumens): 135 Wattage of panel: 3W

Average Lifetime of product (in years) –

Module – 15 years

Battery – 8 years

Electronics – 5 years

2. Barefoot GO 250 (Model Number – GO 250):

The technical specifications of this product are –

Manufacturer: Barefoot Power

Lumens (Lumen): 135

Wattage of panel: 2.7W

Average Lifetime of product (in years) –

Module – 15 years

Battery – 8 years

Electronics – 5 years

All products contain a solar panel, lights as shown in the photograph –



Other models of solar lighting systems may also be offered under the VPA as long as they meet all the requirements of the methodology AMS.I.A. v14. and the PoA eligibility criteria in the registered PoA-DD.

The following table provides information on how the project helps in reducing GHG emissions and contributes to SDGs

Sustainable Development Goals Targeted	How the project contributes to the identified SDG
13 Climate Action (mandatory)	The emissions from the water purifier are less than the water boiled in baseline stove. Similarly, SLS replace kerosene lanterns and reduce emissions. Therefore, GHG emissions are reduced.
1 No Poverty	The water purification systems and SLS provides access to basic services that is efficient and less polluting form.
3 Good Health and Wellbeing	Water Purification systems results in lesser emissions of CO/PM2.5 therefore improving the health of the end-users
5 Gender Equality	The project results in reduced time of wood collection for women otherwise using in boiling water in baseline stoves and freeing up time for them to utilize.
6 Clean Water and Sanitation	By providing safe water, the project reduces the occurrence of water-borne diseases locally. This, in turn, leads to decrease the incidence of waterborne diseases.
7 Affordable and Clean Energy	Project provides access to affordable and cleaner technology for drinking safe water i.e. WPS and Solar lighting Systems for lightning purpose.
8 Decent Work and Economic Growth	Employment is generated in manufacturing, dissemination and maintenance of CEPs by the project
12 Responsible Consumption and Production	Biomass fuel savings due to project activity

#### A.4. Scale of the project

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The VPA is a small-scale project activity in line with the criteria laid out in the Community Services Activity Requirements.

#### A.5. Funding sources of project

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There is no public funding for the PoA or the VPA. No ODA funding will be used, as confirmed by signed ODA Declarations submitted to GS.

## SECTION B. APPLICATION OF APPROVED GOLD STANDARD METHODOLOGY (IES) AND/OR DEMONSTRATION OF SDG CONTRIBUTIONS

### B.1. Reference of approved methodology (ies)

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Emission Reduction from safe drinking water supply version 1.0<sup>10</sup>

AMS-I.A "Electricity generation by the user" (Version 14)<sup>11</sup>

### B.2. Applicability of methodology (ies)

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Water filters- Emissions from Safe Drinking Water Supply, version 1.0			
1	Methodological criteria	This methodology is applicable to project activities that introduce a new, or rehabilitate an existing, zero-emission or low-emission technology to supply safe drinking water.	The project involves introduction of new zero emission technology to supply safe drinking water. The specifications of the water purifiers are detailed out in section A.3 of the VPA-DD. Document:

<sup>10</sup> <https://www.goldstandard.org/project-developers/standard-documents>

<sup>11</sup> [https://cdm.unfccc.int/filestorage/A/R/X/ARX0JK3B48L2Z9M5VNP67QTUDOE1Y/EB54\\_repan08\\_AMS-I.A\\_ver14.pdf?t=ZnB8cjJjczA2fDB22\\_8u7d20CXh001GrJO0V](https://cdm.unfccc.int/filestorage/A/R/X/ARX0JK3B48L2Z9M5VNP67QTUDOE1Y/EB54_repan08_AMS-I.A_ver14.pdf?t=ZnB8cjJjczA2fDB22_8u7d20CXh001GrJO0V)

			Project sheet/manufacturers specification
2	Methodological criteria	As per para 2.2.1a of the methodology, Emission reductions from Safe Drinking Water Supply v.1.0, eligible household water treatment technologies (HWT), institutional water treatment technologies (IWT), and community level water treatment technologies (CWT) include bleach/chlorine, water filter (ceramic, sand, composite, membrane, etc.), UV disinfection, etc.	The project involves dissemination of zero emission gravity water filters under Household water treatment technologies (HWT). Document: Project sheet/manufacturers specification
3	Methodological criteria	This methodology allows for project activities to include safe water treatment and/or supply technologies implemented for end-users in households, and/or commercial premises such as shops or institutional premises including half or full day/boarding schools, prisons, army camps & refugee camps.	The water purifier deployed is the Eureka Forbes Aquasure Nakshatra, Eureka Forbes Asha and Eureka Forbes Aspire, hence is an appliance that are low greenhouse gas emitting water purification for end users in households. Document: Sales database
4	Methodological criteria	Project technology performance level (HWT and IWT): It shall be demonstrated based on report of laboratory testing or official notification that the project technology or equipment achieves either	As mentioned in the specifications provided by the manufacturer's, the Eureka Forbes Aquasure Nakshatra, Eureka Forbes Asha and Hindustan Unilever (HUL)-PureIt water purification devices deployed under the

		(i) the performance target classification 3-star or 2-star level, meaning "Comprehensive Protection," as per the WHO International Scheme to Evaluate Household Water Treatment Technologies (World Health Organization, 2011) or (ii) compliance with the national standard or guideline for household drinking water treatment technology; if no national guideline or standard is available, then the project technology shall comply with the WHO International Scheme requirements as per (i) (parameter SDWS 2).	proposed VPA meet the host country drinking water quality requirements.  Test reports from National accredited labs confirming the compliance of treated water with WHO and host country norms shall be submitted to GS VVB.
5	Methodological criteria	As per para 2.2.1 i, the project must conduct annual water hygiene education campaigns for the end-users.	Annual water hygiene education campaigns will be conducted. During monitoring of households and Institution, CME shall conduct a representative sample survey annually and will be reported as "report of annual hygiene campaign results" and summarized in the monitoring report.
6	Methodological criteria	A project applying this methodology may make SDG claims if relevant monitoring parameter(s) is included in the monitoring plan to demonstrate and confirm	The project developer /CME will capture all the SDG indicators which is relevant to this project through monitoring in Households. The monitoring will be done

		the project's contributions to SDGs 12. See parameter SDWS 19.	using a detailed questionnaire which includes all the SDG indicators. For example, capturing water quality.
7	Methodological criteria	Project shall document the national, regional and local regulatory framework for provision of safe drinking water in the project boundary. The project shall not undermine or conflict with any national, sub-national and local regulations or guidance for safe drinking water supply, operation and maintenance, including any tariff requirements.	The national, regional and local regulatory framework for the safe water has been defined under Bureau of Indian Standards (BIS) and World Health Organization (WHO). The project activity devices confirm the compliance of water from water purifiers with above norms.
8	Methodological criteria	If the expected technical life of project technology (parameter SDWS 7) is shorter than the crediting period, describe measures to ensure that end users are provided replacement systems of comparable quality at the end of the expected technical life (for example, replace with comparable or better technology, retrofit with performance guarantee, etc.). This applies both for new technology and rehabilitated.	The end users in the VPA shall be provided with replacement parts including new filter, and/or access to a new model technology of comparable quality. These filters will be available through the MFI offices or their retailers. Specifically, the PO field staff typically meets with the users of the improved water filters on a weekly or monthly basis, either in group meetings, or when they come to a bank branch. At group meetings the PO will make regular announcements about the availability of replacement filters,

			<p>including where to buy them, and discounts available due to the carbon funds.</p> <p>The project implementer would ensure that maintenance of the project appliances is implemented in accordance with manufacturer's specifications/recommendations, including provisions in regards to replacement or cleansing of the involved filters.</p>
9	Baseline scenario	Each Project or VPA shall determine the applicable baseline scenario for fuel, technology and end-user group as applicable.	The Pre-project practices of boiling water, or drinking unsafe water, Efficiency of water boiling systems and Baseline fuels have been defined in section B.4 of the VPA-DD
<b>Solar Lighting Systems- AMS 1A (version 14.0)</b>			
10	Technical Requirement	<p>The VPA consists of distribution of solar lighting and solar electric/PV systems, product type defined in the VPA-DD, and hence appliances involving the renewable electricity generation that supply individual households/users or groups of households/users as per AMS I. A, ver. 14.</p> <p>Please note that not all solar lighting systems</p>	Product data sheets or specification or product information sheets from manufacturer.

		may have been deployed at VPA inclusion stage, the 'type and number of solar lighting systems deployed' will however also be checked during verification, and in case any deployed solar lighting systems type will be found not in line with the methodology requirement, those solar lighting systems will not be counted for emission reduction calculation	
11	Technical Requirements	<p>The PO must prove that fossil fuel, specifically kerosene, is used in the absence of the project activity as demonstrated by:</p> <p>A representative sample survey (90% confidence interval, <math>\pm 10\%</math> error margin) of target households;</p> <p>Official statistics from the host country government agencies.</p>	<p>As per the official statistics from the host country published National Sample Survey Organization, 2007. "Energy Sources of Indian Households for Cooking and Lighting, 2004-05". Report No. 511(61/1.0/4).</p> <p>The use of kerosene as the primary source of lighting is common in rural areas where nationally 44% of the rural population consumes kerosene for lighting, as compared to 7% in urban areas.</p>
13	Technical Requirement	The VPA consists of distribution of solar lighting and solar electric/PV systems, product type defined in the VPA-DD, and hence appliances involving the renewable electricity generation that supply individual	Product data sheets or specification or product information sheets from manufacturer.



		<p>households/users or groups of households/users as per AMS I. A, ver. 14.</p> <p>Please note that not all solar lighting systems may have been deployed at VPA inclusion stage, the 'type and number of solar lighting systems deployed' will however also be checked during verification, and in case any deployed solar lighting systems type will be found not in line with the methodology requirement, those solar lighting systems will not be counted for emission reduction calculation</p>	
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### B.3. Project boundary

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SOLAR:

Source		GHGs	Included?	Justification/Explanation
Baseline scenario	Combustion of kerosene fuel used for light;	CO <sub>2</sub>	Yes	Primary source of emissions
		CH <sub>4</sub>	No	Minor source
		N <sub>2</sub> O	No	Minor source
Project scenario	Renewable energy source solar lamps used for light	CO <sub>2</sub>	No	Project activity does not involve consumption of fossil fuels or electricity therefore no CO <sub>2</sub> emissions are generated
		CH <sub>4</sub>	No	Minor source
		N <sub>2</sub> O	No	Minor source

# WATER PURIFICATION SYSTEMS

Source		GHGs	Included?	Justification/Explanation
Baseline Project scenario	CO <sub>2</sub> emissions from the wood fuel utilized for boiling water displaced due to project activity.	CO <sub>2</sub>	Yes	Major source of emissions
		CH <sub>4</sub>	Yes	Minor source of emissions
		N <sub>2</sub> O	Yes	Minor source of emissions
	Emissions from electricity/fossil fuel for operating project water supply/treatment technology	CO <sub>2</sub>	No	Project activity does not involve consumption of fossil fuels or electricity therefore no CO <sub>2</sub> emissions are generated
		CH <sub>4</sub>	No	No emissions
		N <sub>2</sub> O	No	No emissions

## **B.4. Establishment and description of baseline scenario**

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### BASELINE DESCRIPTION – SOLAR LIGHTING

The project activity involves the introduction of solar lighting systems into households throughout India. Solar lighting systems replace the main baseline fuel, kerosene. Baseline parameters for this project activity were primarily derived from data presented in India's National Sample Survey Organisation's (NSSO) 2007 report, "Energy Sources of Indian Households for Cooking and Lighting, 2004-05". It reports information from the national Household Consumer Expenditure survey conducted from July 2004 to June 2005, and contains the most recent data on household lighting consumption in India. Other supporting studies were used for non-India specific parameter values, such as luminous efficiency and the net calorific value of kerosene.

Households in India use kerosene, gas, candle, electricity, and other oil for lighting. Among these, kerosene and electricity are most commonly used. At a national level, kerosene and electricity is used by 99% of the households in both rural and urban areas. The use of kerosene as the primary source of lighting is common in rural areas where nationally 44% of the rural population consumes kerosene for lighting, as compared to 7% in urban areas.

According to Methodology AMS-I.A (version 14), the energy baseline is: the fuel consumption of the technology in use or that would have been used in the absence of

the project activity to generate the equivalent quantity of energy, estimated using one of three options. This project activity will use Option 3, a trend-adjusted projection of historic fuel consumption in situations where an existing technology is replaced, to calculate emissions baseline in year  $y$  ( $BE_{CO_2}$ ), as outlined in the methodology.

Data from the 2007 NSSO report is used to calculate this projection. The baseline scenario identified in this PDD will serve to calculate the emission reductions creditable from the installation of renewable energy lighting applications, and the replacement of kerosene lanterns.

### *Objectives and Reliability Requirements*

The 2004-05 Household Consumer Expenditure survey presents the distribution of rural and urban households by primary source of energy used for cooking and lighting in all of the states and UTs of India. The survey sampling design and instruments, as well as the preparation of the 2007 report, were developed by NSSO's Survey Design and Research Division. The field work was conducted by the Field Operations Division and the data processing and table generation by the Data Processing Division.

### *Target Population*

The target population for this project activity consists of households throughout India where the CME's partner Microfinance Institutions (MFIs) operate. NSSO survey sample was collected to represent all Indian states and different socio-economic categories. NSSO data is used to calculate historic consumption rates of kerosene for the baseline of this project activity.

### *Sample Size*

The 2004-05 NSSO survey covered all the States and UTs in India. The data was collected from a sample of 79,298 rural and 45,346 urban households spread over 7,999 villages and 4,602 urban blocks, respectively.

## **BASELINE SAMPLING DESIGN**

### *Sampling Method*

Clustered random sampling was used to select villages and urban blocks included in the survey. Each district within a state or UT was divided into two clusters that were comprised of all rural areas and all urban areas within a district. The number of villages or blocks sampled within a state or UT was determined based on the proportion of population as per the 2001 Census, and was subject to the availability of investigators

to ensure a uniform workload. The allocation of the sample between the rural and urban sectors was determined by the proportion of the population as per the 2001 Census with a 1.5 weighting for the urban sector. Households were selected using simple random sampling without replacement with respect to rural/urban location, income, and monthly per capita expenditure.<sup>12</sup>

### *Sampling Frame*

The sampling frame comprised of two different sources: For households in rural areas, a list of villages from the 2001 National census constituted the sampling frame. For households in the urban sector, the latest available list from the Urban Frame Survey (UFS) blocks was used as the sampling frame.

### *Quality Assurance/Quality Control*

Technical guidance from the governing council NSSO and survey working group was provided at every stage of the survey. Since surveying was conducted over four rounds, an equal number of villages/blocks were sampled in each to ensure a uniform spread.

## **BASELINE DATA ANALYSIS**

According to Methodology AMS-I.A (version 14), the energy baseline is: *the fuel consumption of the technology in use or that would have been used in the absence of the project activity to generate the equivalent quantity of energy*. The technology that would have been used in the absence of the project activity is determined as a simple wick-based kerosene lantern.

PARAMETER:  $BE_{CO_2,y}$

### **Calculation Definitions**

To calculate the energy baseline, this project activity will use Option 3 (which is specifically recommended for lighting devices) listed in AMS.I.A, *a trend-adjusted projection of historic fuel consumption in situations where an existing technology is replaced*. The fuel consumption trend of India shows the average level of kerosene consumption for lighting in the target households over the years. The trend extrapolation is used to ensure that no carbon credits can be claimed for a lighting service which exceeds the general lighting service that people could obtain from their

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<sup>12</sup>See Appendix B of "Energy Sources of Indian Households for Cooking and Lighting, 2004-5" for detailed description of sampling procedures.

average kerosene consumption. The specific equivalent level of lighting service is calculated for each improved lamp model, to ensure that in the end only the actual lighting service which is provided by an improved lamp will be converted into carbon credits.

As defined by AMS.I.A., paragraph 11, emissions in the baseline ( $BE_{CO_2,y}$ ) is calculated using the following equation:

#### Equation 1

$$BE_{CO_2,y} = \sum_j FC_{j,y} * NCV_j * EF_{CO_2,j}$$

Where:

Table 2

Parameter	Unit	Project Calculation
$BE_{CO_2,y}$	tCO <sub>2</sub>	Emissions in the baseline in year y
$FC_{j,y}$	kg	Amount of kerosene consumption in year y
$NCV_j$	GJ/kg	Net calorific value of kerosene
$EF_{CO_2,j}$	tCO <sub>2</sub> /GJ	CO <sub>2</sub> emission factor of kerosene
$J$	Kg	Kerosene

### Step 1: Baseline Technology

Applying a conservative approach we assume the kerosene lamp model in the baseline is a hurricane lamp, which is conservative because it has a glass cover making it more efficient than most homemade lanterns. This baseline lantern has an average efficiency of 0.13 lumen/watt (Louineau et al, 1994)<sup>13</sup>. This again is conservative, as the World Bank has reported an efficiency of 0.1 lumen/Watt for this model.

### Step 2: General Energy Baseline:

The most recent kerosene consumption volume of households that use kerosene for lighting in all of rural India is 6.98 L/month (NSSO data, 2004; see Table 3 below).

<sup>13</sup> Jean-Paul Louineau, Modibo Dicko, Peter Fraenkel, Roy Barlow and Varis Bokalders, "Rural Lighting: A Guide for Development Workers, Intermediate Technology (IT)" publications in association with The Stockholm Environment Institute 1994.

Table 3

Year	Kerosene usage (L/month)
1987	3.85
1993	5.48
1999	8.1
2004	6.98

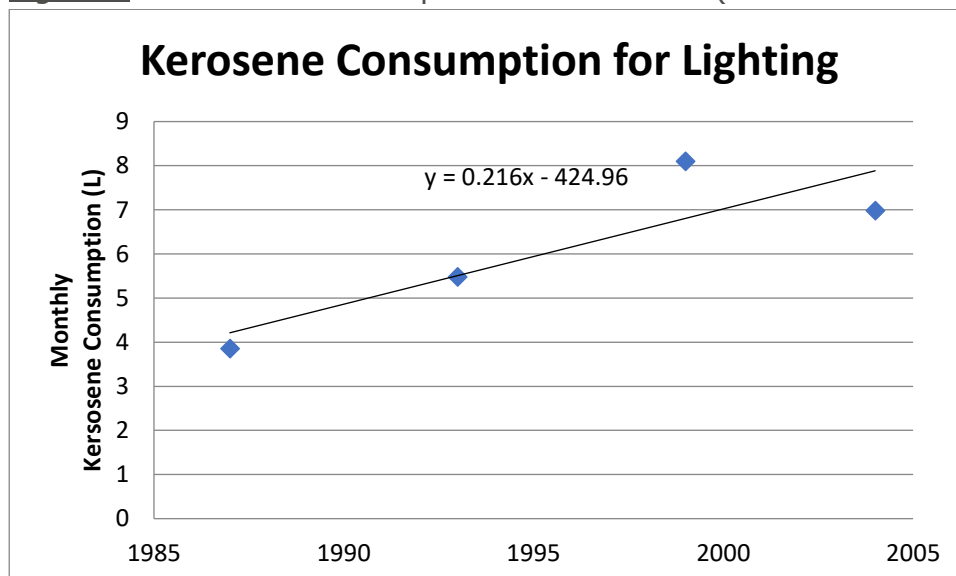
Source: NSSO, 1987, 1993, 1999, and 2004.

The following values were calculated based on the following formula:

Equation 2

$$KChh = KCcapita * HHsize / P(ker \text{ all India})$$

Figure 1: Kerosene Consumption in Rural India (based on historic NSSO data)



### Step 3: Specific equivalent level of lighting service:

As a next step, the energy baseline calculated in Step 2 will be adjusted according to the actual level of lighting service provided by the improved lamps, in lumen\*hours. The units of kerosene consumption per month per household will be adjusted to lumen\*hours per month per household in the following way:

1. Calculate the lighting service provided to a household using the volume of kerosene consumption established in Step 2.
2. Compare the calculated lighting service in the previous step to the lighting service provided by the project lamps
3. Ensure carbon credits for project lamps per household do not surpass the lighting service of the energy baseline
4. Calculate the actual baseline emissions per project lamp based on the actual specific lighting service provided

The above steps are followed with detailed calculations below. As mentioned in Step 1, the luminous efficiency of the baseline technology = .13 lumens / watt (using a conservative value as described above).

Using the parameters below, the equivalent level of lighting service of the kerosene consumed by households in the baseline can be calculated:

Table 3

Parameter	Unit	Description	Value	Source
LS(month)	Lumen*hr/month	Lighting per month	9021.4	Calculated
KC(HH)	Liter/ month	Household Kerosene consumption per month	6.98	NSS0 511
LE(ker)	Lumen / W	Luminous efficiency of kerosene with baseline lantern	0.13	Louineau et al, 1994
NCV(ker)	TJ/Gg	Net calorific value of kerosene	43.8	IPCC 2006
Dens (ker)	KG/L	Density of kerosene	0.81715	www.simetric.co.u

1. Methodology AMS-I.A. allows for a default daily lighting usage of 3.5 hours in the baseline. The calculation below represents the average light output that households get from the kerosene consumed. This value will be used to compare the lighting output of the new technology from this project.

The Lighting per month can be calculated using the following formula:  
Equation 3

$$LS_{month} = KC_{HH} * LE_{ker} * NCV_{ker} * \frac{10^3}{3.6} * dens_{ker}$$

The lighting per month based on 2004 kerosene usage = 9021.4 Lumen hr / month. The reference cap can then be calculated using the formula:

Equation 4

$$RC = LS (\text{month}) * 12 / 365 * h$$

The reference cap equals 84.7, based on 2004 data, and will be extrapolated to future years as new data arises on usage. The reference cap for possible carbon savings is defined such that in a single household it shall not be allowed more emission reductions claimed than those that arise from the general baseline lighting service.

2. The possible carbon savings in a single household has a reference cap as defined by the baseline light output. According to AMS-I.A, it shall not be allowed that for a single household more emission reductions are claimed than those that arise from the general baseline lighting service. The reference cap values for all years of the crediting period are presented in the table below:

Table 4: Extrapolated monthly kerosene consumption per household, equivalent lighting service and reference cap values

Year	Extrapolation of Kerosene Consumption (L/month)	Equivalent lighting service (lumen*hours/month)	Reference Cap (lumens)
2012	9.632	12448.96	116.9374
2013	9.848	12728.14	119.5598
2014	10.064	13007.31	122.1821
2015	10.28	13286.48	124.8045
2016	10.496	13565.65	127.4268
2017	10.712	13844.82	130.0492
2018	10.928	14123.99	132.6715
2019	11.144	14403.16	135.2939
2020	11.36	14682.33	137.9162
2021	11.576	14961.5	140.5386
2022	11.792	15240.68	143.1609

3. The baseline emissions for the lighting systems that are being distributed under this project are calculated as the emissions corresponding to the specific equivalent level of lighting service in the baseline.



The following equation is used to calculate baseline emissions for a solar lamp (n) in period (v); the emissions that would have been generated by the burning of kerosene in the baseline to generate that same lighting as provided by n lamp over period v:

Equation 5

$$BE_{n,v} = l_n * d_v * h * \frac{1}{LE_{ker}} * EF_{ker} * 10^{-6} * 3.6$$

The values are defined as follows:

Table 5

Parameter	Unit	Description	Value	Source
l(n)	Lumen	Lumen output of solar lamp, n	Variable (see table)	Technical specs (see references)
D	Days	Number of days in period v	365	-
h	Hours / day	Average operating hours of kerosene lamps in the baseline	3.5	Meth AMS I.A. Default value
LE(ker)	Lumen/W	Specific luminous efficiency of kerosene when burnt in kerosene lantern	.13	Louineau et al 1994
EF(ker)	TCO2/GJ	Specific CO2 emissions of kerosene	.0719	IPCC 2006

For the solar lighting component, baseline scenario is the use of fossil fuel to provide lighting in the households in the project boundary as per AMS-I.A. "Electricity generation by the user" (Version 14).

Rural households in India rely on kerosene for lighting. As per the "Energy sources of Indian Households for cooking and lighting" report (dated September 2012) of the Government of India's National Sample Survey Office, 44% of households in rural India use kerosene for lighting. Since, the solar lighting systems are implemented in a phased

manner, the baseline scenario for individual solar lighting system will be identified in line with the guidelines given in AMS-I.A. version 14.

To ensure that the baseline requirements of the methodology and the registered PoA-DD are complied with by the VPA, the CME also carried out a baseline survey to determine the baseline at time of VPA inclusion. This survey was carried out through a random representative approach by considering end-users in all states where sales were made till time of VPA inclusion.

A representative sample survey (90% confidence interval, +/- 10% error margin) was carried out in the project population to determine their pre-project fuel. All respondents said that they used kerosene in wick lamps in the baseline scenario and are not connected to the grid.

A survey was conducted in October 2017 in the project boundary using 90/10-confidence precision for sampling.

Methodology for the sample survey:

1. The total sample size required to meet (90% confidence interval, +/- 10% error margin) was calculated using <http://www.raosoft.com/samplesize.html>.
2. The number of final samples taken will be more than the sample size required to meet 90% confidence interval, +/- 10% error margin to cover for contingencies like residents not being in the house, residents not willing to talk etc.
3. A questionnaire will be prepared in consultation with PO's for conducting the survey. The questionnaire will include the name of the product owner, address and ask questions on what their baseline fuel was. The questions are designed to make sure that they are not leading and ensure that the respondents are not asked questions with bias.
4. MEC enumerators will visit the selected households during the day (between 9 AM and 6 PM) to ask them the questions and collect the answers

As an additional measure, since solar sales in this VPA will be made in a phased manner across several states in India, and to ensure that the baseline requirements of the applied methodology AMS.I.A. v14 and registered PoA-DD are met, the baseline is also, one of the monitoring parameters in Section B.5.1 of the VPA-DD. As part of the monitoring, it will be recorded whether or not households being given the solar lighting system used kerosene in the pre-project scenario. Only those households that used kerosene for lighting in the baseline scenario are included in the VPA for crediting.

Hence, it can be established that for households with solar lighting systems in the proposed VPA, the baseline is use of kerosene.

### **BASELINE DESCRIPTION – Water purification systems**

Boiling is the most common treatment method for drinking water in India. Households across rural India, use woody biomass or fossil fuel to boil water to make it fit for consumption. As per the applied methodology, a weighted average emission factor is used to calculate baseline emissions.

To ensure that the baseline requirements of the methodology and the registered PoA-DD are complied with by the VPA, the CME also carried out a baseline survey to determine the baseline at time of VPA inclusion. This survey was carried out through a random representative approach by considering end-users in all states where sales were made till time of VPA inclusion.

#### *Assessment of baseline technology –*

A survey was conducted in October 2017 in the project boundary using 90/10-confidence precision for sampling.

#### *Methodology for the sample survey:*

1. The total sample size required to meet (90% confidence interval, +/- 10% error margin) was calculated using <http://www.raosoft.com/samplesize.html>.
2. The number of final samples taken i.e. 200 was more than the sample size required to meet 90% confidence interval, +/- 10% error margin to cover for contingencies like residents not being in the house, residents not willing to talk etc.
3. A questionnaire was prepared in consultation with PO's for conducting the survey. The questionnaire includes the name of the product owner, address and ask questions on what their baseline technology and fuel was and if the baseline technology was boiling then how much water was consumed per day. The questions are designed to make sure that they are not leading and ensure that the respondents are not asked questions with bias.
4. MEC enumerators visited the selected households during the day (between 9 AM and 6 PM) to ask them the questions and collect the answers

All households confirmed boiling water using fuelwood on inefficient/traditional stoves in the baseline.

Hence, it can be established that for water purification systems, the baseline is use of non-renewable wood-based stoves like the three-stone fired for boiling water.

## B.5. Demonstration of additionality

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<p>Specify the methodology, activity requirement or product requirement that establishes deemed additionality for the proposed project (including the version number and the specific paragraph, if applicable).</p>	<p>Community Services Activity Requirements (Version 1.2), paragraph 4.1.9: "Projects that meet any of the following criteria are considered as deemed additional and therefore are not required to prove Financial Additionality at the time of Design Certification:</p> <p>(a) Positive list (Annex B) i.e. All VPAs will be solely composed of isolated units (CEPs) where the users of the technology/measure are households or communities or institutions and where each unit results in <math>\leq</math></p> <ul style="list-style-type: none"> <li>a. 600 MWh of thermal energy savings per year for ICS.</li> <li>b. 600 tCO<sub>2</sub> per year for HWT and IWT technologies</li> </ul> <p>(b) Projects located in LDC, SIDS, LLDC</p> <p>(c) Micro-scale projects"</p>
<p>Describe how the proposed project meets the criteria for deemed additionality.</p>	<p>Project activities under the VPA are solely composed of isolated units where the users are households or institutions and where each unit results in GHG emission not exceeding 600 ton of CO<sub>2</sub>e in any year of the crediting period for SLS and 600 MWh for ICS.</p> <p>Thus, the project activity meets the criteria (a) and is therefore deemed additional.</p>

The VPA meets the requirements of the Community Services Activity Requirements, as follows –

Eligibility Criteria Category	Eligibility criterion - Required condition	Justification
<b>1. Eligible Project Types</b>	All CSA Projects shall lead to climate change mitigation and/or adaptation by providing or improving access to services/resources at the household or community or institution level. Eligible services include electricity and energy, water and sanitation, waste management, housing, etc.	The goal of the VPA is to distribute Water Purification Systems (WPS), and Solar lighting systems (SLS) in the households/SMEs of the host country of India
<b>GENERAL ELIGIBILITY CRITERIA</b>		
<b>2. Type of project</b>	(b) End-use energy efficiency: Project activities that reduce energy requirements as compared to baseline scenario without affecting the level and quality of services or products, where the end-user of the products and services are clearly identified and when the physical intervention is required at the user end. For example, efficient cooking, heating, lighting, etc.	The PoA involves distribution of energy efficient WPS and SLS.
<b>3. Project Area, Boundary and scale</b>	Project Area and Boundary shall be defined in line with the applicable Impact Quantification Methodologies and Product Requirements.	<p>The project area is point location of CEP beneficiaries in the host country of the VPA. The project boundary will be limited to the geographical boundary of the host country of India.</p> <p>For the purpose of applying UNFCCC methodologies for quantification of GHG reductions, 'small scale' is:</p> <p>a. Type I: Renewable energy project activities with a maximum output capacity of 15 MW (or an appropriate equivalent)</p>

Eligibility Criteria Category	Eligibility criterion - Required condition	Justification
		<p>b. Type II: Energy-efficiency improvement project activities &lt; = 60 GWh(e) or 180 GWh(th) energy savings per year</p> <p>c. Type III: Other project activities not included in Type I or Type II &lt; = 60,000 tCO<sub>2</sub>eq per year</p> <p>The VPA involves both Type II and type III which shall not cross the above small-scale limits due to use of suppressed demand baseline. Scale is no limit for Water Purification Systems as a Gold Standard methodology is followed and there is no suppressed demand element. Also, scale is no limit for Solar lighting systems, since each unit will be less than 1,500 kW.<sup>14</sup></p>
<p><b>4. Legal Ownership</b></p>	<p>(a) Projects involving the distribution of a large number of devices for services such as heating, cooking, lighting, electricity generation, water treatment technology such as water filter, etc. shall provide a clear description of the ownership of the Products that are generated under Gold Standard Certification all along the investment chain. In line with the FPIC requirement, the proofs that end-users are aware of and willing to give up</p>	<p>The CEP owners confirm that rights to the ownership of carbon credits reside with the CME according to the end user agreement /declaration form signed via monitoring app etc (refer Eligibility under GS4GG section above).</p> <p>This shall again be explained in future VPA-level LSC meetings.</p>

<sup>14</sup>See footnote #2 at <https://globalgoals.goldstandard.org/ru-2020-ssc-application-of-suppressed-demand/>

Eligibility Criteria Category	Eligibility criterion - Required condition	Justification
	<p>their rights on Products shall be provided.</p> <p>(b)The transfer of Product ownership shall be discussed during local stakeholder consultations for projects.</p>	

**B.5.1. Prior Consideration**

>> N/A as the VPA is submitted for transition from CDM and there is no Design Change involved.

**B.5.2. Ongoing Financial Need**

>>

NA as the VPA is not renewing its crediting period with transition.

**B.6. Sustainable Development Goals (SDG) outcomes**

Relevant Target/Indicator for each of the three SDGs

Sustainable Development Goals Targeted	Most relevant SDG Target	SDG Impact Indicator (Proposed or SDG Indicator)
13 Climate Action (mandatory)	N/A	Emission Reductions
1 End poverty in all its forms everywhere	1.4 By 2030, ensure that all men and women, in particular the poor and the vulnerable, have equal rights to economic resources, as well as access to basic services, ownership and control over land and other forms of	Number of households with clean energy products

	property, inheritance, natural resources, appropriate new technology and financial services, including microfinance	
3 Good Health and Well-being	3.9 By 2030, substantially reduce the number of deaths and illnesses from hazardous chemicals and air, water and soil pollution and contamination	Number of households confirming less smoke with the use of water purifiers instead of traditional cookstoves for boiling water
3 Good Health and Well-being	3.3 By 2030, end the epidemics of AIDS, tuberculosis, malaria and neglected tropical diseases and combat hepatitis, water-borne diseases and other communicable diseases	Increased access to improved source of water
5: Gender Equality	5.4 Recognize and value unpaid care and domestic work through the provision of public services, infrastructure and social protection policies and the promotion of shared responsibility within the household and the family as nationally appropriate	Average time saving associated with boiling and fuel collection
6: Clean Water and Sanitation	6.1 By 2030, achieve universal and equitable access to safe and affordable drinking water for all	Number of beneficiaries



7: Affordable and Clean Energy	7.1 By 2030, ensure universal access to affordable, reliable and modern energy services	Number of beneficiaries
8: Decent Work and Economic Growth	8.3 Promote development-oriented policies that support productive activities, decent job creation, entrepreneurship, creativity and innovation, and encourage the formalization and growth of micro-, small- and medium-sized enterprises, including through access to financial services	Total no of jobs created
12: Responsible Consumption and Production	12.2 By 2030, achieve the sustainable management and efficient use of natural resources	Reduction in use of non-renewable biomass per household
15: Life on Land	15.2 By 2020, promote the implementation of sustainable management of all types of forests, halt deforestation, restore degraded forests and substantially increase afforestation and reforestation globally	Reduction in use of non-renewable biomass per household

B.6.1. Explanation of methodological choices/approaches for estimating the SDG Impact

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### SDG 1: No Poverty

Applied methodology/approach	Equation/calculation
<p>Applied methodology/approach</p> <p>3.9.1 Mortality rate attributed to household and ambient air pollution</p> <p>Approach: Monitoring Surveys conducted to capture information on users' perception on reduction in health issues after shifting to project CEPs</p>	<p>Equation/calculation</p> <p>Ex-post Monitoring Surveys to check change in health issues like reduction in smoke levels.</p> <p>Net Benefit (SDG 3) = <math>SPM_{HH,Project} - SPM_{HH,Baseline}</math></p> <p>Where:</p> <p><math>SPM_{HH,Baseline}</math> % HH reporting reduction in smoke while using WPS in baseline = 0</p> <p><math>SPM_{HH,Project}</math> % HH reporting reduction in smoke while using WPS in project</p>

### SDG 5: Gender Equality

Applied methodology/approach	Equation/calculation
<p>5.4.1 Proportion of time spent on unpaid domestic and care work, by sex, age and location</p> <p>Approach: Monitoring Surveys conducted to capture information on time savings due to reduced fuel collection needs after shifting to project WPS</p>	<p>Ex-post Monitoring Survey Records measuring time savings from reduced fuel collection, due to reduced fuel consumption in households</p> <p>Net Benefit (SDG 5) = <math>HHTS_{Project} - HHTS_{Baseline}</math></p> <p>Where:</p> <p><math>HHTS_{Project}</math> % HH reporting time saving from fuel collection due to reduced fuel consumption in project</p> <p><math>HHTS_{Baseline}</math> % HH reporting time saving from fuel collection due to reduced fuel consumption in baseline = 0</p>

### SDG 7: Affordable and Clean Energy

Applied methodology/approach	Equation/calculation
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<p>7.1.2 Proportion of population with primary reliance on clean fuels and technology</p> <p>Approach: Monitor the number of WPS and SLS distributed under the project as an indicator of providing clean technology (relative to baseline stoves).</p>	<p>WPS and SLS distribution records</p> <p>Net Benefit (SDG 7) = <math>ACS_{Project} - ACS_{Baseline}</math></p> <p>Where:</p> <p><math>ACS_{Baseline}</math> Access to affordable and clean energy (Number of operating WPS and SLS units under Baseline) = 0</p> <p><math>ACS_{Project}</math> Access to affordable and clean energy (Number of operating WPS and SLS units under Project)</p>
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### SDG 8: Decent Work and Economic Growth

Applied methodology/approach	Equation/calculation
<p>8.5.1 Average hourly earnings of female and male employees, by occupation, age and persons with disabilities</p> <p>Approach: Recording the number of employees (male / female) in the project under administrative, sales, production and management positions</p>	<p>Employment records</p> <p>Net Benefit (SDG 8) = <math>QE\ IG_{Project} - QE\ IG_{Baseline}</math></p> <p>Where:</p> <p><math>QE\ IG_{Baseline}</math> Quantitative Employment and income generation (Number of person (male and female) hired under Baseline) = 0</p> <p><math>QE\ IG_{Project}</math> Quantitative Employment and income generation (Number of person (male and female) hired under Project)</p>

### SDG 12: Responsible Consumption and Production

Applied methodology/approach	Equation/calculation
<p>12.2.2 - Domestic material consumption, domestic material consumption per capita, and domestic material consumption per GDP</p> <p>Approach: Reduction in domestic fuel consumption after shifting to WPS for boiling water</p>	<p>Refer SDG 13 for determination of fuel savings due to project activity</p>

### SDG 13: Climate Action : Water Purification Systems

Applied methodology/approach	Equation/calculation																					
13.2.1 Amount of CO <sub>2</sub> e emissions reduced by the project per year  Approach: Emission Reductions from Safe Drinking Water Supply v 1.0	<p>The total safe water consumed in the project scenario is the amount of safe water supplied by the project technology and consumed in the project scenario. This total is assumed to be equivalent to the unsafe water boiled in the baseline.</p> <p><b>Baseline emission calculation</b></p> <p><i>The baseline emission shall be calculated as</i></p> $BE_y = EF_b \times (1 - C_b - X_{cleanboil,y}) \times Q_y \times M_{q,y}$ <p>Where:</p> <table><tr><td><math>BE_y</math></td><td>=</td><td>Baseline emissions from the use of fuel to obtain safe water in the baseline (tCO<sub>2</sub>e)</td></tr><tr><td><math>C_b</math></td><td>=</td><td>Proportion of project end-users who in the baseline were already using a safe water supply that did not require boiling (%)</td></tr><tr><td><math>X_{cleanboil,y}</math></td><td>=</td><td>Proportion of project end-users that boil safe water in the project year y (%)</td></tr><tr><td><math>Q_y</math></td><td>=</td><td>Quantity of safe drinking water provided by the project in year y (L)</td></tr><tr><td><math>M_{q,y}</math></td><td>=</td><td>Modifier for the water quality in year y</td></tr></table> <p>The baseline emission factor shall be calculated as</p> $EF_b = SE_{w,b,y} * \sum(xf * (EF_{b,f,CO2} * f_{NRB,f,y} + EF_{b,f,nonCO2})) \div 10^9$ <p>Where:</p> <table><tr><td><math>EF_b</math></td><td>=</td><td>Emission factor for the use of fuel to obtain safe water in the baseline (tCO<sub>2</sub>e/L)</td></tr><tr><td><math>SE_{w,b,y}</math></td><td>=</td><td>Specific energy required to boil water (kJ/L), to be calculated as per the paragraph below</td></tr></table>	$BE_y$	=	Baseline emissions from the use of fuel to obtain safe water in the baseline (tCO <sub>2</sub> e)	$C_b$	=	Proportion of project end-users who in the baseline were already using a safe water supply that did not require boiling (%)	$X_{cleanboil,y}$	=	Proportion of project end-users that boil safe water in the project year y (%)	$Q_y$	=	Quantity of safe drinking water provided by the project in year y (L)	$M_{q,y}$	=	Modifier for the water quality in year y	$EF_b$	=	Emission factor for the use of fuel to obtain safe water in the baseline (tCO <sub>2</sub> e/L)	$SE_{w,b,y}$	=	Specific energy required to boil water (kJ/L), to be calculated as per the paragraph below
$BE_y$	=	Baseline emissions from the use of fuel to obtain safe water in the baseline (tCO <sub>2</sub> e)																				
$C_b$	=	Proportion of project end-users who in the baseline were already using a safe water supply that did not require boiling (%)																				
$X_{cleanboil,y}$	=	Proportion of project end-users that boil safe water in the project year y (%)																				
$Q_y$	=	Quantity of safe drinking water provided by the project in year y (L)																				
$M_{q,y}$	=	Modifier for the water quality in year y																				
$EF_b$	=	Emission factor for the use of fuel to obtain safe water in the baseline (tCO <sub>2</sub> e/L)																				
$SE_{w,b,y}$	=	Specific energy required to boil water (kJ/L), to be calculated as per the paragraph below																				

	$xf$ = Proportion of fuel f used in the baseline (fraction determined based on an energy basis) $EF_{b,f,CO2}$ = CO2 emission factor from use of fuel f (tCO2/TJ) $EF_{b,f,nonCO2}$ = Non-CO2 emission factor arising from use of fuel f, when the baseline fuel f is biomass or charcoal (tCO2e/TJ). This parameter is omitted when f is a fossil fuel. $f_{NRB,f,y}$ = Fractional non-renewability status of woody biomass fuel during year y (fraction). For biomass, it is the fraction of woody biomass that can be established as non-renewable. This parameter is omitted when f is a fossil fuel.  f = Index for baseline fuel types  <p>The specific energy required to boil water using the baseline technology (<math>SE_{w,b,y}</math>) is determined as follows, by calculating the energy input required to obtain 1 L of boiling water, including boiling and vaporization losses, taking into account default or measured stove efficiency.</p> $SE_{w,b,y} = 360.83/\eta_{wb}$ <p>Where:</p> <p>360.83 = Default amount of energy required to obtain 1 L of water after 5 minutes of boiling from a first principles approach kJ/l</p> <p><math>\eta_{wb}</math> = Efficiency of the stoves for baseline water boiling (%). Weighted average of baseline stove types.</p> <p>The quantity of safe drinking water provided by the project <math>Q_y</math> is calculated using following method (for HWT and IWT)</p> $Q_y = \sum N_{p,y} \times U_{p,y} \times QPW_{hh,p,y} \times DP_{p,y}$
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	<p>Where:</p> <p><math>N_{p,y}</math> = Number of premises type p with at least one project technology in year y</p> <p><math>U_{p,y}</math> = Usage rate of the project technology by premises type p during year y (%)</p> <p><math>QPW_{hh,p,y}</math> = Volume of drinking water per premises p per day in year y (L)</p> <p><math>DP_{p,y}</math> = Days the project technology is present for end-users in the premises p in year y</p> <p>The volume of drinking water per premises per day is determined by considering whether the capacity of the project device is sufficient to provide at least the default amount of drinking water, as follows:</p> $QPW_{hh,p,y} = \min ((q_i \times t_{p,y} \times DN_{p,y}), (QPW_p \times HN_{p,y}))$ <p>Where:</p> <p><math>q_i</math> = Capacity of the HWT or IWT individual project technology (L/h)</p> <p><math>t_{p,y}</math> = Usage time of the project technology by premises type p in year y (h/day)</p> <p><math>DN_{p,y}</math> = Average number of individual project technologies in each project premises type p in year y</p> <p><math>HN_{p,y}</math> = Number of individuals per premises type p (e.g. household, school) in year y</p> <p><math>QPW_p</math> = Volume of drinking water per person per day for premises type p (L). Apply the default value or monitored value through water consumption field tests in the project scenario, capped at 5.5 L per person per day.</p> <p><b>Project Scenario Fuel Consumption Calculation</b></p> <p>Since the water purifiers do not use fossil fuel or electricity for filtration the project emissions would be zero.</p>
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	<p><math>PE_y = \text{Project emissions in year } y \text{ (t CO}_2\text{e/yr)} = 0</math></p> <p><b>Leakage Emissions</b></p> <p>Where relevant, leakage relating to the non-renewable woody biomass shall be assessed as follows. Other types of leakage are excluded for simplification. Leakage emissions, <math>LE_y</math>, shall be calculated as follows:</p> <p>The project developer has evaluated, ex-ante, the following potential sources of leakage and provide an evidence-based description and preliminary quantification of each potential source and its relevance for the project:</p> <ol style="list-style-type: none"> <li>A survey was conducted to verify if the use of non-renewable biomass has increased among the members of the population who do not participate in the project, and were previously using lower emitting energy sources. The survey showed that the NRB consumption has not increased in the non-participating members of the population.</li> <li>The purpose of the project is reducing the use of NRB otherwise used in cookstoves for boiling water and instead use water purifier which does not require any NRB or any other fuel for its operations. So, the project would actually help in increasing the NRB fraction in that area. Therefore, the condition that the project involves reducing the NRB fraction within an area where other GHG mitigation project activities account for NRB fraction in their baseline scenario is not applicable, hence no leakage emissions.</li> <li>The project population is in the area where the annual average temperature is above 20C. Hence there is no requirement to compensate for loss of the space heating effect of water boiling by adopting some other form of space heating or by retaining some baseline wood fuel-burning practices.</li> </ol> <p>Thus, the leakage emissions can be considered as nil and can be ignored for the project activity.</p> <p><b>Emission Reductions</b></p> <p>The Emission reductions are calculated as follows:</p>
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	$ERy = BEy - PEy - LEy$ <p>Where:</p> <p><math>ERy</math> = Emission reductions in year <math>y</math> (t CO<sub>2</sub>e/yr)</p> <p><math>BEy</math> = Baseline emissions in year <math>y</math> (t CO<sub>2</sub>e/yr)</p> <p><math>PEy</math> = Project emissions in year <math>y</math> (t CO<sub>2</sub>e/yr)</p> <p><math>LEy</math> = Leakage emissions in year <math>y</math> (t CO<sub>2</sub>e/yr)</p>
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### SDG 13: Climate Action: Solar Lighting Systems

Applied methodology/approach	Equation/calculation												
13.2.1 Amount of CO <sub>2</sub> e emissions reduced by the project per year  Approach: AMS.I.A., version 14.0	<p>Total baseline emissions for period <i>v</i> are calculated as the sum of the baseline emissions of each lamp type <i>i</i> in the period:</p> $BE_v = \sum_{i=1}^n BE_{i,v}$ <p style="text-align: right;"><b>(Eq. 2)</b></p> <table><tr><th>Parameter</th><th>Unit</th><th>Type</th><th>Value</th></tr><tr><td>BE<sub>v</sub></td><td>tCO<sub>2</sub></td><td>Calculated</td><td>Emissions generated in the absence of the project activity in period <i>v</i> by all lamps</td></tr><tr><td>BE<sub><i>i,v</i></sub></td><td>tCO<sub>2</sub></td><td>Calculated</td><td>Emissions generated in the absence of the project activity in period <i>v</i> by all lamps of type <i>i</i></td></tr></table> <p>Ex post baseline emission for each lamp type <i>i</i> is calculated with the following equation:</p> $BE_v = \sum_{a=1}^n (N_{i,a} * d_{i,a,v}) * l_i * h * \frac{1}{LE_{ker}} * EF_{ker} * 10^{-6} * 3.6 * CF_{i,v,LFR}$ <p style="text-align: right;"><b>(Eq. 3)</b></p>	Parameter	Unit	Type	Value	BE <sub>v</sub>	tCO <sub>2</sub>	Calculated	Emissions generated in the absence of the project activity in period <i>v</i> by all lamps	BE <sub><i>i,v</i></sub>	tCO <sub>2</sub>	Calculated	Emissions generated in the absence of the project activity in period <i>v</i> by all lamps of type <i>i</i>
Parameter	Unit	Type	Value										
BE <sub>v</sub>	tCO <sub>2</sub>	Calculated	Emissions generated in the absence of the project activity in period <i>v</i> by all lamps										
BE <sub><i>i,v</i></sub>	tCO <sub>2</sub>	Calculated	Emissions generated in the absence of the project activity in period <i>v</i> by all lamps of type <i>i</i>										



	Parameter	Unit	Type	Value
	$BE_v$	tCO <sub>2</sub>	Calculated	Emissions generated in the absence of the project activity in period $v$ by all lamps of type $i$
	$N_{i,a}$	Number	Monitored	The total number of solar lamps of type $i$ deployed in period $a$
	$d_{i,a,v}$	Days	Monitored/Calculated	Average number of days lamps of type $i$ that have been deployed in period $a$ were operating in period $v$
	$I_i$	Lumen	Monitored (once per lamp type)	Nominal lumen output of solar lamps of the type $I$ deployed as part of the project activity
	$H$	Hours/day	Fixed	Average operating hours of kerosene lamps in the baseline
	$LE_{ker}$	Lumen/W	Fixed	The specific light output of kerosene when burnt in a kerosene lantern
	$EF_{ker}$	tCO <sub>2</sub> /GJ	Fixed	The specific CO <sub>2</sub> -emissions of kerosene
	$CF_{i,v,LFR}$	-	Monitored/Calculated	This factor corrects the total number of lamps of type $i$ by the share of these lamps that were found to be operational according to the sampling in period $v$ . The statistical error is included in this parameter (confidence level 90%).
<p>Where:</p> $CF_{i,v,LFR} = 1 - \left( LFR_{i,v} + z^* \sqrt{\frac{LFR_{i,v} * (1 - LFR_{i,v})}{n_{i,v,total}}} \right) \quad \text{(Eq. 4)}$				

	Parameter	Unit	Type	Value
	$CF_{i,v,LF}$ R	-	Calculated	This factor corrects the total number of lamps of type $i$ by the share of these lamps that were found to be operational according to the sampling in period $v$ . The statistical error is included in this parameter (confidence level 90%).
	$LFR_{i,v}$	%	Monitored	Share of lamps of lamp type $i$ in checked sample group $g_{i,v}$ not operational in period $v$ .
	Z	-	Given	Standard normal for a confidence level of 90%
	$n_{i,v,total}$	-	Monitored	Total number of lamps checked for which a valid result was obtained.
In line with the applied methodology and the registered PoA, project emissions and leakage emissions are not present and hence not included.				

B.6.2. Data and parameters fixed ex ante

**SDG13-** For Water Purification Systems

Data/parameter	Project Technology Description
Unit	N/A

Description	The following is the detailed description of the planned project technology: HWT and IWT: Manufacturer- The Eureka Forbes Limited (EFL) Technology type- gravity based purifier Product name- Aquasure Nakshatra and HUL Pureit classic
Source of data	Manufacturer's specifications
Value(s) applied	Please refer to Section A.3
Choice of data or Measurement methods and procedures	-
Purpose of data	-
Additional comment	This parameter is fixed ex-ante & shall be updated at CP renewal.

Data/parameter	Regulatory Framework for safe water supply													
Unit	N/A													
Description	Regulatory Framework for safe water supply													
Source of data	BIS Standards for Drinking Water													
Value(s) applied	<p>According to BIS 1500-2012 the acceptable limit of bacteria and other major contamination are as follows:</p> <table border="1"> <thead> <tr> <th>Test parameter</th><th>Acceptable limit</th><th>Permissible limit (In the absence of alternate source of water)</th></tr> </thead> <tbody> <tr> <td>pH value</td><td>6.5-8.5</td><td>No relaxation</td></tr> <tr> <td>Turbidity</td><td>1</td><td>5</td></tr> <tr> <td>Total hardness as CaCo<sub>3</sub>, mg/l, Max</td><td>200</td><td>600</td></tr> </tbody> </table>		Test parameter	Acceptable limit	Permissible limit (In the absence of alternate source of water)	pH value	6.5-8.5	No relaxation	Turbidity	1	5	Total hardness as CaCo <sub>3</sub> , mg/l, Max	200	600
Test parameter	Acceptable limit	Permissible limit (In the absence of alternate source of water)												
pH value	6.5-8.5	No relaxation												
Turbidity	1	5												
Total hardness as CaCo <sub>3</sub> , mg/l, Max	200	600												

	E.coli presence/absence	Shall not be detectable in any 100ml sample	Shall not be detectable in any 100ml sample
	Total iron as Fe, mg/l, Max	0.3	No relaxation
	Taste	Agreeable	Agreeable
	Odour	Agreeable	Agreeable
	The project doesn't conflict with host country law. Please refer to Section B.4		
Choice of data or Measurement methods and procedures	The test reports from national accredited labs confirms that the filtered water from the purifier is in compliance with the above values.		
Purpose of data	-		
Additional comment	This parameter is fixed Ex-ante & shall be updated at CP renewal.		

Data/parameter	Water sources in the project boundary
Unit	N/A
Description	The water sources in the project boundary are identified and if classified to be used for drinking water, then further classified as improved and unimproved water source.
Source of data	Baseline study /Credible published literature for project region/ Studies by academia, NGOs or multilateral institutions/ or Official government publications or statistics
Value(s) applied	Please refer to Section B.4
Choice of data or Measurement methods and procedures	-
Purpose of data	-

Additional comment	This parameter is fixed Ex-ante & shall be updated at CP renewal.
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Data/parameter	Stove technologies used in the project boundary
Unit	N/A
Description	The stove type/technology used in premises in the geographical area of the project is mainly traditional three stone fired cookstoves having an efficiency of 10%.
Source of data	Baseline survey
Value(s) applied	Please refer to Section B.4
Choice of data or Measurement methods and procedures	Baseline survey was conducted following the requirements outlined in 4.2. The details of the sampling plan followed are given in section B 7.2
Purpose of data	Calculation of baseline emissions
Additional comment	This parameter is fixed Ex-ante & shall be updated at CP renewal.

Data/parameter	QPWp
Unit	Liters/person/day
Description	Volume of drinking water per person per day for premises type p
Source of data	Option 1. Default value as per methodological tool: Emission reductions from Safe Drinking Water Supply version 1.0
Value(s) applied	4
Measurement methods and procedures	-
Monitoring Frequency	-

QA/QC Procedures	-
Purpose of data	Calculation of baseline emissions
Additional comment	This parameter is fixed Ex-ante & shall be updated at CP renewal.

Data/parameter	Expected technical life of project technology
Unit	Volume or Years
Description	The expected technical life of an individual project technology is defined in section A.3 of the VPA-DD. The details include the life of different product types used.
Source of data	Manufacturer specifications
Value(s) applied	5
Choice of data or Measurement methods and procedures	-
Purpose of data	Calculation of baseline emissions
Additional comment	<p>In cases where the life span of the water purifier technologies is shorter than the crediting period of the PoA, the project proponent shall ensure that the units are replaced in order to continue claiming emission reductions. There shall be measures in place to ensure that end users have access to replacement purification systems of comparable quality.</p> <p>The technology/equipment will be replaced prior to the life span so that end users can access the same level of water purification.</p> <p>If no replacement or retrofitting is provided, emission reduction claims are limited to the expected technical life.</p>

Data/parameter	$x_f$
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Unit	Percentage of fuel f use in target population
Description	The proportion of each different cooking fuel f used in the project boundary by end-users: - % among the target population if single fuel is used for water boiling. If the project covers different types of end-user premises (e.g. households, schools), then the fuels used in the geographical area of the project by the same types of end-users are to be determined for each end-user premises type.
Source of data	Baseline survey cross checked with credible published literature for project region/studies by academia/NGOs or multilateral institutions, or Official government publications or statistics
Value(s) applied	Wood
Choice of data or Measurement methods and procedures	When a baseline survey is used steps under section 4.2 are followed.
Purpose of data	Calculation of baseline emissions
Additional comment	The percentages applied shall be cross-checked against at least one other source on the list. For cross-check purposes, sources applied may be up to 5 years old. Further, cross-check with older sources may be used provided they provide conservative results. This parameter is fixed Ex-ante & shall be updated at CP renewal.

Data/parameter	$EF_{b,f,CO_2}$
Unit	tCO <sub>2</sub> /TJ
Description	CO <sub>2</sub> emission factor arising from use of fuels in baseline Scenario
Source of data	IPCC default CO <sub>2</sub> emission factor for wood
Value(s) applied	112

Choice of data or Measurement methods and procedures	Default IPCC value for fuelwood is applied
Purpose of data	Calculation of baseline emissions
Additional comment	-

Data/parameter	$EF_{b,f,non-CO2}$
Unit	tCO <sub>2e</sub> /TJ
Description	Non-CO <sub>2</sub> emission factor from use of fuels, in case the baseline fuel is biomass or charcoal
Source of data	IPCC defaults for wood
Value(s) applied	Wood: 33.95
Choice of data or Measurement methods and procedures	Default IPCC value for fuelwood and charcoal is applied
Purpose of data	Calculation of baseline emissions
Additional comment	-

Data/parameter	$\eta_{wb}$
Unit	%
Description	Weighted average efficiency of the baseline water boiling devices. Calculate the weighted average of the water boiling efficiency in the project boundary using the proportion of different stove types used and the stove efficiencies.
Source of data	As per methodology Emission Reductions from Safe drinking water supply version 1.0, the following default



	<p>values may be applied to calculate the weighted average of the water boiling efficiency in the project boundary:</p> <ul style="list-style-type: none"> <li>- Three-stone fire or a conventional system for woody biomass lacking improved combustion air supply mechanism and flue gas ventilation system, that is without either a grate or a chimney: default efficiency 10%.</li> <li>- Other conventional systems using woody biomass: default efficiency 20%.</li> <li>- Improved cookstoves: manufacturer specification, or if not available, default efficiency 30%.</li> </ul>
Value(s) applied	10%
Choice of data or Measurement methods and procedures	<p><i>Target population:</i> all end users who purchase a water filter under the proposed VPA</p> <p><i>Objective:</i> Confirm the baseline water boiling system being replaced</p> <p><i>Description and Reliability Requirements:</i> Primary data collection</p> <p>No sampling is applied to this parameter. The baseline system of all end users who purchase a water filter will be tracked and recorded in the Credit Tracker. If the replaced system is a three stone fire, or a conventional system with no improved combustion air supply or flue gas ventilation system, i.e. without a grate or a chimney, then the parameter value shall be .1. For other types of systems using woody biomass, a default value of 0.2 shall be used.</p>
Purpose of data	Calculation of Baseline emissions
Additional comment	This parameter is fixed Ex-ante & shall be updated at CP renewal.

Data/parameter	$C_b$
Unit	Percentage

Description	Proportion of project end-users who in the baseline were already using safe water, either from an improved water source, or from a water treatment method other than boiling
Source of data	Baseline survey/Published literature for project region
Value(s) applied	0
Choice of data or Measurement methods and procedures	-
Purpose of data	Calculation of baseline emissions
Additional comment	<p>The safe water sources and percentages shall be consistent with the information reported for parameter Water sources in the project boundary (SWDS 5). Users who have access to a source of safe water in the baseline (either from an improved water source, or from a water treatment method other than boiling) may not be credited under the project, unless project demonstrates that the baseline source of water does not meet safe water quality criteria, by conducting water quality tests over a representative period of time of 6 months or by referring to credible published literature or other sources.</p> <p>This parameter is fixed Ex-ante &amp; shall be updated at CP renewal.</p>

Data/parameter	$Q_i$
Unit	Litres per hour
Description	Capacity of the household or institutional water treatment technology
Source of data	Manufacturer specifications/ Design specifications
Value(s) applied	<b>Aquasure Nakshatra</b> is a medium size purifier with a 16-litre capacity (Top-8.5-litre, Bottom- 7.5-litre).

Choice of data or Measurement methods and procedures	-
Purpose of data	Calculation of baseline emissions
Additional comment	<p>This depends on water filtration device model and fixed for each model introduced. The capacity of the water treatment technology will help in calculating the amount of water treated.</p> <p>This parameter is fixed Ex-ante &amp; shall be updated at CP renewal.</p>

Data/parameter	$f_{NRB,f,y}$
Unit	Percentage
Description	Fractional non-renewability status of woody biomass fuel during year y, in case the baseline fuel is biomass or charcoal
Source of data	Assessment based on CDM Methodological tool 30: Calculation of the fraction of non-renewable biomass, Version 02.0
Value(s) applied	0.8726
Choice of data or Measurement methods and procedures	A preliminary study shall be conducted in accordance with the CDM Methodological tool 30: Calculation of the fraction of non-renewable biomass, Version 02.0
Purpose of data	Calculation of baseline emissions
Additional comment	The $f_{NRB}$ value will remain fixed during the crediting period.

### For Solar Lighting Systems

Data/parameter	$LE_{ker}$
Unit	Lumen/W

Description	The specific luminous efficiency of kerosene when burnt in a kerosene lantern
Source of data	Jean-Paul Louineau, Modibo Dicko, Peter Fraenkel, Roy Barlow and Varis Bokalders; Rural Lighting: A Guide for Development Workers, Intermediate Technology (IT) Publications in association with The Stockholm Environment Institute 1994
Value(s) applied	0.13
Choice of data or Measurement methods and procedures	Louineau et al (1994) state an efficiency range of 0.05 to 0.21 lumens/W for hurricane kerosene lanterns. Another study by the World Bank states an efficiency of 0.1 lumen/W for hurricane lanterns. Values for the widely used homemade wick lamps are scarcely available as designs vary. Anyway, these lamps have much lower efficiencies than hurricane lanterns. It is assumed that the kerosene lamp model in the baseline is a hurricane lamp. This is conservative since the vast majority of households use self-made kerosene lanterns without a glass cover, which are less efficient due to wind disturbance and very basic design. The average efficiency value of 0.13 lumen/watt for hurricane lamps from Louineau et al (1994) is chosen, being conservative with respect to the lower value of 0.1 lumen/W provided by the World Bank.
Purpose of data	Calculation of baseline emissions
Additional comment	The parameter is fixed for the entire crediting period.

Data/parameter	EF <sub>ker</sub>
Unit	tCO <sub>2</sub> /GJ
Description	The specific CO <sub>2</sub> emissions of kerosene
Source of data	2006 IPCC guidelines for National Greenhouse Gas inventories
Value(s) applied	0.0719
Choice of data or Measurement methods and procedures	The default value of other kerosene in 2006 IPCC guidelines for National Greenhouse Gas Inventories is 71.900 tCO <sub>2</sub> /TJ.
Purpose of data	Calculation of baseline emissions

Additional comment	The parameter is fixed for the entire crediting period.
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Data/parameter	Z
Unit	n/a
Description	Standard normal for a confidence interval of 90%
Source of data	Köhler, Schachtel, Voleske, 2002; Biostatistik, Springer Verlag Berlin Heidelberg; Tafel 2, p. 279
Value(s) applied	1.290, 1.645; 1.96
Choice of data or Measurement methods and procedures	This is the statistical standard value for standard normal for a confidence level of 90% for a one-sided test, and 90% and 95% for a two-sided test, respectively.
Purpose of data	Calculation of baseline emissions
Additional comment	-

### B.6.3. Ex ante estimation of SDG Impact

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## **Calculations for Water Purification Systems and Solar Lighting Systems**

### **SDG 1: No Poverty**

#### 1. WPS distribution records

$$\text{Net Benefit (SDG 1)} = \text{BSA}_{\text{Project}} - \text{BSA}_{\text{Baseline}}$$

Where:

$\text{BSA}_{\text{Baseline}}$  Number of WPS distributed in baseline = 0

$\text{BSA}_{\text{Project}}$  Number of WPS distributed in Project = 36,000

#### 2. SLS distribution records

$$\text{Net Benefit (SDG 1)} = \text{BSA}_{\text{Project}} - \text{BSA}_{\text{Baseline}}$$

Where:

$BSA_{Baseline}$  Number of SLS distributed in baseline = 0

$BSA_{Project}$  Number of SLS distributed in Project = 400,000

2. Ex-post Monitoring Survey Records measuring money savings due to reduced fuel consumption in households

$$\text{Net Benefit (SDG 1)} = HHS_{Project} - HHS_{Baseline}$$

Where:

$HHS_{Project}$  % HH reporting money saving due to reduced fuel consumption in project  
= 100%

$HHS_{Baseline}$  % HH reporting money saving due to reduced fuel consumption in baseline  
= 0

### SDG 3: Good Health and Well Being

Ex-post Monitoring Surveys to check change in health issues like reduction in smoke levels.

$$\text{Net Benefit (SDG 3)} = SPM_{HH,Project} - SPM_{HH,Baseline}$$

Where:

$SPM_{HH,Baseline}$  % HH reporting reduction in smoke while purifying water with WPS in baseline = 0

$SPM_{HH,Project}$  % HH reporting reduction in smoke while purifying water with WPS in project = 100%

### SDG 5: Gender Equality

Ex-post Monitoring Survey Records measuring time savings from reduced fuel collection, due to reduced fuel consumption in households

$$\text{Net Benefit (SDG 5)} = HHTS_{Project} - HHTS_{Baseline}$$

Where:

$HHTS_{Project}$  % HH reporting time saving from fuel collection due to reduced fuel consumption in project = 100%

$HHTS_{Baseline}$  % HH reporting time saving from fuel collection due to reduced fuel consumption in baseline = 0

## SDG 7: Affordable and Clean Energy

*WPS distribution records*

$$\text{Net Benefit (SDG 7)} = \text{ACS}_{\text{Project}} - \text{ACS}_{\text{Baseline}}$$

Where:

$\text{ACS}_{\text{Baseline}}$  Access to affordable and clean energy (Number of operating WPS units under Baseline) = 0

$\text{ACS}_{\text{Project}}$  Access to affordable and clean energy (Number of operating WPS units under Project) = 36,000

*SLS distribution records*

$$\text{Net Benefit (SDG 7)} = \text{ACS}_{\text{Project}} - \text{ACS}_{\text{Baseline}}$$

Where:

$\text{ACS}_{\text{Baseline}}$  Access to affordable and clean energy (Number of operating SLS units under Baseline) = 0

$\text{ACS}_{\text{Project}}$  Access to affordable and clean energy (Number of operating SLS units under Project) = 400,000

## SDG 8: Decent Work and Economic Growth

*Employment records*

$$\text{Net Benefit (SDG 8)} = \text{QE IG}_{\text{Project}} - \text{QE IG}_{\text{Baseline}}$$

Where:

$\text{QE IG}_{\text{Baseline}}$  Quantitative Employment and income generation (Number of person (male and female) hired under Baseline) = 0

$\text{QE IG}_{\text{Project}}$  Quantitative Employment and income generation (Number of person (male and female) hired under Project) = 20

## SDG 12: Responsible Consumption and Production

Refer SDG 13 for determination of fuel savings due to project activity

## SDG 13: Climate Action (Water Purification Systems)

The overall GHG reductions achieved by the project activity will be calculated as follows:

### Baseline emission calculation

The baseline emission shall be calculated as

$$BE_y = EF_b \times (1 - C_b - X_{cleanboil,y}) \times Q_y \times M_{q,y}$$

Where:

$BE_y$	=	Baseline emissions from the use of fuel to obtain safe water in the baseline (tCO <sub>2</sub> e)
$C_b$	=	Proportion of project end-users who in the baseline were already using a safe water supply that did not require boiling (%)
$X_{cleanboil,y}$	=	Proportion of project end-users that boil safe water in the project year y (%)
$Q_y$	=	Quantity of safe drinking water provided by the project in year y (L)
$M_{q,y}$	=	Modifier for the water quality in year y

The baseline emission factor shall be calculated as

$$EF_b = SE_{w,b,y} * \sum(x_f * (EF_{b,f,CO2} * f_{NRB,f,y} + EF_{b,f,nonCO2})) f \div 10^9$$

Where:

$EF_b$	=	Emission factor for the use of fuel to obtain safe water in the baseline (tCO <sub>2</sub> e/L)
$SE_{w,b,y}$	=	Specific energy required to boil water (kJ/L), to be calculated as per the paragraph below
$x_f$	=	Proportion of fuel f used in the baseline (fraction determined based on an energy basis)
$EF_{b,f,CO2}$	=	CO <sub>2</sub> emission factor from use of fuel f (tCO <sub>2</sub> /TJ)
$EF_{b,f,nonCO2}$	=	Non-CO <sub>2</sub> emission factor arising from use of fuel f, when the baseline fuel f is biomass or charcoal (tCO <sub>2</sub> e/TJ). This parameter is omitted when f is a fossil fuel.
$f_{NRB,f,y}$	=	Fractional non-renewability status of woody biomass fuel during year y (fraction). For biomass, it is the fraction of woody biomass that can be established as non-renewable. This parameter is omitted when f is a fossil fuel.
F	=	Index for baseline fuel types



The specific energy required to boil water using the baseline technology ( $SE_{w,b,y}$ ) is determined as follows, by calculating the energy input required to obtain 1 L of boiling water, including boiling and vaporization losses, taking into account default or measured stove efficiency.

$$SE_{w,b,y} = 360.83/\eta_{wb}$$

Where:

360.83 = Default amount of energy required to obtain 1 L of water after 5 minutes of boiling from a first principles approach kJ/l

$\eta_{wb}$  = Efficiency of the stoves for baseline water boiling (%). Weighted average of baseline stove types.

The quantity of safe drinking water provided by the project  $Q_y$  is calculated using following method (for HWT and IWT)

$$Q_y = \sum N_{p,y} \times U_{p,y} \times QPW_{hh,p,y} \times DP_{p,y}$$

Where:

$N_{p,y}$	=	Number of premises type p with at least one project technology in year y
$U_{p,y}$	=	Usage rate of the project technology by premises type p during year y (%)
$QPW_{hh,p,y}$	=	Volume of drinking water per premises p per day in year y (L)
$DP_{p,y}$	=	Days the project technology is present for end-users in the premises p in year y

The volume of drinking water per premises per day is determined by considering whether the capacity of the project device is sufficient to provide at least the default amount of drinking water, as follows:

$$QPW_{hh,p,y} = \min((q_i \times t_{p,y} \times DN_{p,y}), (QPW_p \times HN_{p,y}))$$

Where:

$q_i$	=	Capacity of the HWT or IWT individual project technology (L/h)
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$t_{p,y}$	=	Usage time of the project technology by premises type p in year y (h/day)
$DN_{p,y}$	=	Average number of individual project technologies in each project premises type p in year y
$HN_{p,y}$	=	Number of individuals per premises type p (e.g. household, school) in year y
$QPW_p$	=	Volume of drinking water per person per day for premises type p (L). Apply the default value or monitored value through water consumption field tests in the project scenario, capped at 5.5 L per person per day.

### Project Scenario Fuel Consumption Calculation

Since the water purifiers do not use fossil fuel or electricity for filtration the project emissions would be zero.

$$PE_y = \text{Project emissions in year } y \text{ (t CO}_2\text{e/yr)} = 0$$

### Leakage Emissions

Where relevant, leakage relating to the non-renewable woody biomass shall be assessed as follows. Other types of leakage are excluded for simplification. Leakage emissions,  $LE_y$ , shall be calculated as follows:

CME has evaluated, ex-ante, the following potential sources of leakage and provide an evidence-based description and preliminary quantification of each potential source and its relevance for the project:

- a. A survey was conducted to verify if the use of non-renewable biomass has increased among the members of the population who do not participate in the project, and were previously using lower emitting energy sources. The survey showed that the NRB consumption has not increased in the non-participating members of the population.
- b. The purpose of the project is reducing the use of NRB otherwise used in cookstoves for boiling water and instead use water purifier which does not require any NRB or any other fuel for its operations. So, the project would actually help in increasing the NRB fraction in that area. Therefore, the condition that the project involves reducing the NRB fraction within an area where other GHG mitigation project activities account for NRB fraction in their baseline scenario is not applicable, hence no leakage emissions.
- c. The project population is in the area where the annual average temperature are above 20C. Hence there is no requirement to compensate for loss of the space heating effect of water boiling by adopting some other form of space heating or by retaining some baseline wood fuel-burning practices.

Thus, the leakage emissions can be considered as nil and can be ignored for the project activity.

### Emission Reductions

The Emission reductions are calculated as follows:

$$ERy = BEy - PEy - LEy$$

Where:

$ERy$  = Emission reductions in year  $y$  (t CO<sub>2</sub>e/yr)

$BEy$  = Baseline emissions in year  $y$  (t CO<sub>2</sub>e/yr)

$PEy$  = Project emissions in year  $y$  (t CO<sub>2</sub>e/yr)

$LEy$  = Leakage emissions in year  $y$  (t CO<sub>2</sub>e/yr)

### SDG 13: Climate Action (Solar Lighting Systems)

Total baseline emissions for period  $v$  are calculated as the sum of the baseline emissions of each lamp type  $i$  in the period:

$$BE_v = \sum_{i=1}^n BE_{i,v} \quad (\text{Eq. 2})$$

Parameter	Unit	Type	Value
$BE_v$	tCO <sub>2</sub>	Calculated	Emissions generated in the absence of the project activity in period $v$ by all lamps
$BE_{i,v}$	tCO <sub>2</sub>	Calculated	Emissions generated in the absence of the project activity in period $v$ by all lamps of type $i$

Ex post baseline emission for each lamp type  $i$  is calculated with the following equation:

$$BE_v = \sum_{a=1}^n (N_{i,a} * d_{i,a,v}) * l_i * h * \frac{1}{LE_{ker}} * EF_{ker} * 10^{-6} * 3.6 * CF_{i,v,LFR} \quad (\text{Eq. 3})$$

Parameter	Unit	Type	Value
$BE_v$	tCO <sub>2</sub>	Calculated	Emissions generated in the absence of the project activity in period $v$ by all lamps of type $i$
$N_{i,a}$	Number	Monitored	The total number of solar lamps of type $i$ deployed in period $a$
$d_{i,a,v}$	Days	Monitored/ calculated	Average number of days lamps of type $i$ that have been deployed in period $a$ were operating in period $v$
$l_i$	Lumen	Monitored (once per lamp type)	Nominal lumen output of solar lamps of the type $I$ deployed as part of the project activity
$h$	Hours/day	Fixed	Average operating hours of kerosene lamps in the baseline
$LE_{ker}$	Lumen/W	Fixed	The specific light output of kerosene when burnt in a kerosene lantern
$EF_{ker}$	tCO <sub>2</sub> /GJ	Fixed	The specific CO <sub>2</sub> -emissions of kerosene
$CF_{i,v,LFR}$	-	Monitored/ Calculated	This factor corrects the total number of lamps of type $i$ by the share of these lamps that were found to be operational according to the sampling in period $v$ . The statistical error is included in this parameter (confidence level 90%).

Where:

$$CF_{i,v,LFR} = 1 - \left( LFR_{i,v} + z^* \sqrt{\frac{LFR_{i,v} * (1 - LFR_{i,v})}{n_{i,v,total}}} \right) \quad (\text{Eq. 4})$$

Parameter	Unit	Type	Value
$CF_{i,v,LFR}$	-	Calculated	This factor corrects the total number of lamps of type $i$ by the share of these lamps that were found to be operational according to the sampling in period $v$ . The statistical error is included in this parameter (confidence level 90%).

$LFR_{i,v}$	%	Monitored	Share of lamps of lamp type $i$ in checked sample group $g_{i,v}$ not operational in period $v$ .
$z$	-	Given	Standard normal for a confidence level of 90%
$n_{i,v,total}$	-	Monitored	Total number of lamps checked for which a valid result was obtained.

In line with the applied methodology and the registered PoA, project emissions and leakage emissions are not present and hence not included.

#### B.6.4. Summary of ex ante estimates of each SDG outcome

#### For Water Purification Systems

##### SDG 1: No Poverty

Year	Baseline estimate	Project estimate	Net benefit
Year 1	0	36,000	36,000
Year 2	0	36,000	36,000
Year 3	0	36,000	36,000
Year 4	0	36,000	36,000
Year 5	0	36,000	36,000
<b>Total</b>	<b>0</b>	<b>36,000</b>	<b>36,000</b>
<b>Total number of crediting years -5</b>			
<b>Annual average over the crediting period</b>	0	36,000	36,000

##### SDG 3: Good Health and well being

Year	Baseline estimate	Project estimate	Net benefit
Year 1	0	100%	100%

Year 2	0	100%	100%
Year 3	0	100%	100%
Year 4	0	100%	100%
Year 5	0	100%	100%
<b>Total</b>	<b>0</b>	<b>100%</b>	<b>100%</b>
<b>Total number of -5 crediting years</b>			
<b>Annual average over the crediting period</b>	0	100%	100%

### SDG 3: Gender Equality

Year	Baseline estimate	Project estimate	Net benefit
Year 1	0	100%	100%
Year 2	0	100%	100%
Year 3	0	100%	100%
Year 4	0	100%	100%
Year 5	0	100%	100%
<b>Total</b>	<b>0</b>	<b>100%</b>	<b>100%</b>
<b>Total number of -5 crediting years</b>			
<b>Annual average over the crediting period</b>	0	100%	100%

### SDG 7: Affordable and Clean Energy

Year	Baseline estimate	Project estimate	Net benefit
Year 1	0	36,000	36,000
Year 2	0	36,000	36,000
Year 3	0	36,000	36,000

Year 4	0	36,000	36,000
Year 5	0	36,000	36,000
<b>Total</b>	<b>0</b>	<b>36,000</b>	<b>36,000</b>
<b>Total number of -5 crediting years</b>			
<b>Annual average over the crediting period</b>	0	36,000	36,000

#### SDG 8: Decent Work and Economic Growth

<b>Year</b>	<b>Baseline estimate</b>	<b>Project estimate</b>	<b>Net benefit</b>
Year 1	0	10	10
Year 2	0	10	10
Year 2	0	10	10
Year 4	0	10	10
Year 5	0	10	10
<b>Total</b>	<b>0</b>	<b>10</b>	<b>10</b>
<b>Total number of -5 crediting years</b>			
<b>Annual average over the crediting period</b>	0	10	10

#### SDG 13: Climate Action

<b>Year</b>	<b>Baseline estimate</b>	<b>Project estimate</b>	<b>Net benefit</b>
Year 1	89,905	0	89,905
Year 2	89,905	0	89,905

Year 2	89,905	0	89,905
Year 4	89,905	0	89,905
Year 5	89,905	0	89,905
<b>Total</b>	<b>449,525</b>	<b>0</b>	<b>449,525</b>
<b>Total number of -5 crediting years</b>			
<b>Annual average over the crediting period</b>	89,905	0	89,905

## For Solar Lighting Systems

### SDG 1: No Poverty

<b>Year</b>	<b>Baseline estimate</b>	<b>Project estimate</b>	<b>Net benefit</b>
Year 1	0	400,000	400,000
Year 2	0	400,000	400,000
Year 3	0	400,000	400,000
Year 4	0	400,000	400,000
Year 5	0	400,000	400,000
<b>Total</b>	<b>0</b>	<b>400,000</b>	<b>400,000</b>
<b>Total number of crediting years -5</b>			
<b>Annual average over the crediting period</b>	0	400,000	400,000



SDG 3: Good Health and well being

Year	Baseline estimate	Project estimate	Net benefit
Year 1	0	100%	100%
Year 2	0	100%	100%
Year 2	0	100%	100%
Year 4	0	100%	100%
Year 5	0	100%	100%
<b>Total</b>	<b>0</b>	<b>100%</b>	<b>100%</b>
<b>Total number of -5 crediting years</b>			
<b>Annual average over the crediting period</b>	0	100%	100%

SDG 3: Gender Equality

Year	Baseline estimate	Project estimate	Net benefit
Year 1	0	100%	100%
Year 2	0	100%	100%
Year 2	0	100%	100%
Year 4	0	100%	100%
Year 5	0	100%	100%
<b>Total</b>	<b>0</b>	<b>100%</b>	<b>100%</b>
<b>Total number of -5 crediting years</b>			
<b>Annual average over the crediting period</b>	0	100%	100%

## SDG 7: Affordable and Clean Energy

Year	Baseline estimate	Project estimate	Net benefit
Year 1	0	400,000	400,000
Year 2	0	400,000	400,000
Year 2	0	400,000	400,000
Year 4	0	400,000	400,000
Year 5	0	400,000	400,000
<b>Total</b>	<b>0</b>	<b>400,000</b>	<b>400,000</b>
<b>Total number of -5 crediting years</b>			
<b>Annual average over the crediting period</b>	0	400,000	400,000

## SDG 8: Decent Work and Economic Growth

Year	Baseline estimate	Project estimate	Net benefit
Year 1	0	10	10
Year 2	0	10	10
Year 2	0	10	10
Year 4	0	10	10
Year 5	0	10	10
<b>Total</b>	<b>0</b>	<b>10</b>	<b>10</b>
<b>Total number of -5 crediting years</b>			
<b>Annual average over the crediting period</b>	0	10	10

SDG 13: Climate Action

Year	Baseline estimate	Project estimate	Net benefit
Year 1	142,989	0	142,989
Year 2	142,989	0	142,989
Year 2	142,989	0	142,989
Year 4	142,989	0	142,989
Year 5	142,989	0	142,989
<b>Total</b>	<b>714,945</b>	<b>0</b>	<b>714,945</b>
<b>Total number of -5 crediting years</b>			
<b>Annual average over the crediting period</b>	142,989	0	142,989

**B.7. Monitoring plan**

B.7.1. Data and parameters to be monitored

**SDG 13 (For Solar Lighting Systems)**

Data / Parameter	Ln
Unit	Lumens
Description	Lumen output of each solar lamp n deployed as part of the project activity
Source of data	Table 4, 2021 value
Value(s) applied	140.54
Measurement methods and procedures	Will be recorded at time of sale/installation in MEC Credit Tracker system
Monitoring frequency	Annual
QA/QC procedures	Each light installation will be geocoded (GPS coordinate or other specific location data) or provide address/location of household in the MEC Tracker

	System. Associated data will reside in the MEC Tracker Database, allowing each installation to be monitored on a regular basis.
Purpose of data	Calculation of baseline emissions
Additional comment	<p>If lamp types allow for different settings of light intensity, the conservative value shall be chosen unless an accurate average value is substantiated through a representative sample survey (90% confidence interval +/- 10% error).</p> <p>A variety of solar lighting systems will be offered under the proposed SSC-CPA. The lumen output for the models sold under the proposed SSC-CPA will be used for calculating the final emission reduction.</p> <p>In line with the information given in the eligibility criteria section in this VPA-DD, the lumen value for solar lighting systems in this VPA will be capped at 140.54 Lumen for individual households (based on Table 4 for the year 2021).</p>

Data / Parameter	$N_{i,a}$
Unit	Lamps
Description	Total number of solar lamps of type $i$ that have been deployed in period $a$
Source of data	Primary data collected by PO/CPA implementer and recorded in Credit Tracker
Value(s) applied	400,000
Measurement methods and procedures	<p><i>Target population:</i> all solar lamps of type <math>i</math> that have been deployed</p> <p><i>Objective:</i> Establish the number of solar lamps of type <math>i</math> deployed during period <math>a</math> as part of the proposed SSC-CPA.</p> <p><i>Description and Reliability Requirements:</i> Primary data collection</p>

	<p>No sampling is applied to this parameter. All deployed solar lamps of type <math>i</math> will be recorded.</p> <p><math>N_{i,a}</math> is adjusted according to actual operational days during a given monitoring period <math>y</math>. The sales date for each solar lamp of type <math>i</math> listed in Credit Tracker for proposed SSC-CPA signifies the start of operation for each solar lamp. The operational days of each solar lamp is divided by the total number of days of the current monitoring period to determine the adjusted <math>N_{i,a}</math> number of solar lamps of type <math>i</math> in operation.</p>
Monitoring frequency	Annual
QA/QC procedures	Each light installation will be geocoded (GPS coordinates or other specific location identifiers) in the MEC Tracker System. Associated data will reside in the MEC Tracker Database, allowing each installation to be monitored on a regular basis. The data in MEC tracker system can be crosschecked with the MIS system of the PO.
Purpose of data	Calculation of baseline emissions
Additional comment	-

Data / Parameter	$d_{i,a,v}$
Unit	Days
Description	Average number of days lamps of type $i$ that have been deployed in period $a$ were operating in period $v$
Source of data	Monitoring partner, Credit Tracker
Value(s) applied	365
Measurement methods and procedures	Exact date of sale (in the case of solar lights) and installation (in the case of solar lighting systems) for all clean energy products is tracked by monitoring partners and recorded in Credit Tracker. For products newly sold/installed in period $v$ , the date of sale or installation will be used to calculate total days of operation in period $v$ . For products sold/installed prior to period $v$ , $d_{i,a,v}$ will be equal to the total number of days in period $v$ .

	<p><i>Target population:</i> all solar lamps of type <i>i</i> that have been deployed</p> <p><i>Objective:</i> Establish the number of days solar lamps of type I that have been deployed in period <i>a</i> were operating in period <i>v</i>.</p> <p><i>Description and Reliability Requirements:</i> Primary data collection</p> <p>No sampling is applied to this parameter.</p>
Monitoring frequency	Annual
QA/QC procedures	Results will be checked by contracted verifier
Purpose of data	Calculation of baseline emissions
Additional comment	The data in MEC tracker system can be crosschecked with the MIS system of the PO. Contracted verifier will check results.

Data / Parameter	H
Unit	Hours/day
Description	Average operating hours of kerosene lamps in the baseline
Source of data	Default value from par.8(c) of AMS I.A version I4. Updated value will come from field survey results in baseline population.
Value(s) applied	3.5 or other baseline value based on field surveys or other available data before implementation
Measurement methods and procedures	<p>AMS I.A version 14 par.8(c) states: <i>For the specific case of lighting devices a daily usage of 3.5 hours shall be assumed, unless it is demonstrated that the actual usage hours adjusted for seasonal variation of lighting is different based on representative sample survey (90% confidence interval +/-10% error) done for minimum of 90 days.</i></p> <p>In practice, usage of more than 3.5 hours/day is expected. A representative sample survey (90% confidence interval +/- 10% error) within the baseline population may be conducted. The results of the survey</p>

	shall be checked during the following periodic verification by the contracted verifier and shall afterwards permanently replace the default value used for the relevant CPA.
Monitoring frequency	Annual
QA/QC procedures	Results will be checked by contracted verifier
Purpose of data	Calculation of baseline emissions
Additional comment	-

Data / Parameter	$LFR_{i,v}$
Unit	%
Description	Lamp failure rate: Share of lamps of lamp type i in checked sample group $g_{i,v}$ not operational in period v
Source of data	Monitoring partner, Credit Tracker
Value(s) applied	0% (Ex-ante estimate). The real LFR shall be determined during annual monitoring
Measurement methods and procedures	<p>CME/PO/Monitoring partner will track usage status of all lamps (or solar lighting systems) from each quarterly of the year with results recorded in Credit Tracker. Any lamps that are non-operational (due to failure or disuse by owner) will be recorded as "failed" lamps.</p> <p>Lamp failure rate will be calculated as:  <math>LFR = (\text{Number of failed lamps} / \text{Total number of lamps monitored})</math></p>
Monitoring frequency	Annual
QA/QC procedures	The lamp failure rate will also be checked by the verifier. The LFR measure in use based on regular monitoring for the full portfolio of lamps will be down-rated as appropriate according to the verifier rating.
Purpose of data	Calculation of baseline emissions
Additional comment	-

Data / Parameter	$CF_{i,v,LFR}$
Unit	%
Description	This factor corrects the total number of lamps of type $i$ by the share of these lamps that were found to be operational according to the sampling in period $v$ . The statistical error is included in this parameter (confidence level 90%) when 90/10 precision is not met. Otherwise, the mean value of LFR will be used.
Source of data	$LFR_{i,v}$
Value(s) applied	100%
Measurement methods and procedures	The value is calculated using the recorded value for $LFR_{i,v}$ – $CF_{i,v,LFR} = 1 - \left( LFR_{i,v} + z * \sqrt{\frac{LFR_{i,v} * (1 - LFR_{i,v})}{n_{i,v,total}}} \right)$
Monitoring frequency	Annual
QA/QC procedures	This value is calculated based on the results of other monitored parameters. Calculation results will be checked by the CME to confirm accuracy.
Purpose of data	Calculation of baseline emissions
Additional comment	-

Data / Parameter	$n_{i,v,total}$
Unit	Lamps
Description	Total number of lamps checked for which a valid result was obtained.
Source of data	Monitoring partner, Credit Tracker
Value(s) applied	100%
Measurement methods and procedures	CME/PO/Monitoring partner will randomly and representatively track households contacted and reached for monitoring lamp usage status for each lamp type $i$ in the monitoring period, $p$ . This data will be recorded in Credit Tracker. Survey methods will be used.
Monitoring frequency	Annual
QA/QC procedures	Results will be checked by contracted verifier.
Purpose of data	Calculation of baseline emissions



Additional comment	-
Data / Parameter	Kerosene Usage in the Baseline
Unit	n/a
Description	Determination of whether or not the end user used kerosene for lighting prior to the project activity
Source of data	Primary data collected by PO/CME/monitoring partner and recorded in Credit Tracker
Value(s) applied	0 For solar lighting system sales to be included in the proposed SSC-CPA, data will be provided on the number of end users who confirmed using kerosene in the absence of the project activity
Measurement methods and procedures	<p>Target population: all end users who purchased a solar lamp under a CPA included in this PoA</p> <p>Objective: Confirm whether or not the end user used kerosene for lighting prior to the project activity</p> <p>Description and Reliability Requirements: Primary data collection</p> <p>No sampling is applied to this parameter. All end users who purchased a solar lamp will be tracked.</p>
Monitoring frequency	Annual
QA/QC procedures	Each light installation will be geocoded (GPS coordinates or other specific location identifiers) in the MEC Tracker System. Associated data will reside in the MEC Tracker Database, allowing each installation to be monitored on a regular basis.
Purpose of data	Calculation of baseline emissions
Additional comment	-

**SDG 13** (For Water Purification Systems)

Data/parameter	$X_{cleanboil,y}$
Unit	Percentage
Description	Proportion of project end-users that boil safe (treated, or from safe supply) water after installation of project technology in year $y$ .
Source of data	Project survey
Value(s) applied	0
Measurement methods and procedures	Sampling survey is carried out to determine the value
Monitoring Frequency	Annual
QA/QC Procedures	-
Purpose of data	Calculation of baseline emissions
Additional comment	For sampling, follow the section 4.2  General requirements for sampling, below.

Data/parameter	$M_{q,y}$
Unit	Fraction
Description	Ongoing water quality indicated as the fraction of the samples that pass microbial quality standard requirements specified in relevant microbial quality standard for drinking water of the host country. In case a national standard is not available, the water quality shall comply with WHO Guideline values for verification of microbial quality i.e., all water directly intended for drinking must not have detectable E.Coli in any 100 ml sample i.e., less than 1 Colony Forming Unit (CFU) of E.Coli /100 ml
Source of data	Testing of water at the exits of the treatment technology for a representative sample of end-users
Value(s) applied	1

Measurement methods and procedures	-
Monitoring Frequency	Annual sampling, and the first round of testing shall be conducted at least after six months from the start date.
QA/QC Procedures	<ol style="list-style-type: none"> <li>1. Laboratories used for water quality testing must be approved by local health authorities and/or have quality accreditation; and</li> <li>2. The laboratory used must demonstrate that it has an adequate quality management plan in place which addresses both quality assurance and quality control test procedures.</li> <li>3. Field testing kits also are eligible, e.g. based on Colony Forming Unit method or Most Probable Number method. To use the field testing kits the project shall meet the following requirements: a. Testing kits must be approved by national agency or meet standards set by relevant international organisation e.g. US-EPA, and b. Testing kits shall be tested for its accuracy and robustness prior to application for project level monitoring, whereby local or accredited laboratory shall conduct water quality tests using testing kits and a relevant ISO standard or an equivalent standard, in parallel with field testing kits.</li> <li>4. Follow 4.2  General requirements for sampling. The sampling results shall satisfy at minimum the 90/10 rule.</li> </ol>
Purpose of data	Related to water quality
Additional comment	<p>If the proportion of samples not meeting Safe Drinking Water Quality Standards exceeds a threshold, no emission reductions will be claimed for the corresponding monitoring period. Thresholds:</p> <ul style="list-style-type: none"> <li>- Project or VPA year 1: 20%</li> <li>- Project or VPA year 2: 15%</li> <li>- Project or VPA year 3 or above: 10%</li> </ul> <p>When the crediting period is renewed, the year number count continues, i.e. the second crediting period would encompass year 6, year 7, year 8, etc. Additionally, when the threshold is exceeded, the project shall provide an explanation for why this occurred and provide a remediation plan.</p>

Data/parameter	$N_{p,y}$
Unit	Number
Description	Accumulated number of premises type p with at least one individual project technology in year y
Source of data	Sales or distribution records
Value(s) applied	36,000
Measurement methods and procedures	The no. of units distributed shall be recorded and data maintained for no. of units in each household.
Monitoring Frequency	Annual
QA/QC Procedures	<p>Sales or distribution records to include</p> <ul style="list-style-type: none"> <li>i. Date of sale/distribution</li> <li>ii. Geographic area of sale</li> <li>iii. Model/type of project technology sold</li> <li>iv. Quantity of project technologies sold</li> </ul> <p>Name and telephone number, and address (if available) or other traceable indicator of premises identity and location for all end users.</p>
Purpose of data	Calculation of baseline emissions
Additional comment	Units shall not be counted in $N_{p,y}$ after the end of their technical life, unless this is addressed by the measures to manage the cases where the expected technical life of the project technology is shorter than the crediting period, namely replacement or retrofit as described in the parameter SDWS 7.

Data/parameter	$U_{p,y}$
Unit	Percentage
Description	Usage rate of the project technology by premises type p during year y
Source of data	Project Survey of the premises using a project technology to determine the usage rate of the project technology during the year.

Value(s) applied	90% (Assumed for ex-ante calculation)
Measurement methods and procedures	<p>Option 1: In-person survey of project premises. Households that show at least once-in-two-days use may be counted as users. The resulting fraction is multiplied by 100% to get <math>U_{p,y}</math>. Where project technologies of different ages are being credited, the sample shall be representative of the distribution of project technology ages. The minimum sample size for HWT - for individual technology age group shall be minimum 30 household.</p> <p>For minimum sample size requirements for different sampling approach <i>Guidelines for sampling and surveys for CDM project activities and programmes of activities</i> to be followed.</p>
Monitoring Frequency	Annual
QA/QC Procedures	Where a WCFT is undertaken to determine $QPW_p$ , this may be used to cross check the usage percentage.
Purpose of data	Calculation of baseline emissions
Additional comment	The usage survey provides a single usage parameter that is representative for project technologies in the total sales record.

Data/parameter	$DP_{p,y}$
Unit	Days
Description	Average days the project technology is present for end-users in the premises p in year y
Source of data	Sales or distribution records.
Value(s) applied	365
Measurement methods and procedures	<p>Ex-ante it is assumed to be present 365 days.</p> <p>However ex-post, based on the sales or distribution records of "Date of sale/distribution" and ex-ante parameter "Expected technical life of project technology," it shall be determined for each project device how many days of the 365 days of the year it was in the premises and within its technical life. The average for all the project technology by premises type p shall be calculated to obtain this parameter.</p>

Monitoring Frequency	Annual
QA/QC Procedures	For schools and other institutions, as applicable, the days must also be limited by the number of school days in the period, taking into account weekends and holidays.
Purpose of data	Calculation of baseline emissions
Additional comment	-

Data/parameter	$t_{p,y}$
Unit	Hours per day
Description	Usage time of the project technology by premises type p in year y
Source of data	Project survey
Value(s) applied	5
Measurement methods and procedures	Determined via project survey Ex-ante, Option 3. Default of 5 hours
Monitoring Frequency	Annual
QA/QC Procedures	-
Purpose of data	Calculation of baseline emissions
Additional comment	Fixed ex-ante however ex-post will be calculated annually

Data/parameter	$DN_{p,y}$
Unit	Number
Description	Average number of individual project technologies in each project premises type p in year y
Source of data	Sales or distribution records.
Value(s) applied	HH-1

Measurement methods and procedures	Based on the sales or distribution records of "Quantity of project technologies sold" and identifying information of buyer/recipient, the average number of project devices per premises are calculated. Ex-ante it is assumed that only 1 device will be sold per household. If the project covers different types of end-users (e.g. households, institutions), the average number must be determined per premises type p.
Monitoring Frequency	Annual
QA/QC Procedures	-
Purpose of data	Estimation of baseline emissions
Additional comment	Applies to HWT and IWT project

Data/parameter	$HN_{p,y}$
Unit	-
Description	Number of individuals per premises type p in the project boundary in year y
Source of data	Project survey
Value(s) applied	4.0 (family members based on survey records)
Measurement methods and procedures	Sampling for project survey should be carried out following the General requirements for sampling as per section 4.2.
Monitoring Frequency	Annual
QA/QC Procedures	CME/PO to conduct surveys with expert party assistance. Training will be provided to enumerators and testers.
Purpose of data	Calculation of baseline emissions
Additional comment	The value applied shall be cross-checked against at least one other source on the list. For cross-check purposes, sources applied may be up to 5 years old. Further, cross-check with older sources may be used provided they provide conservative results.

Data/parameter	Water hygiene education campaigns
Unit	-
Description	Hygiene campaigns carried out among project safe water end-users.
Source of data	Report of annual hygiene campaigns results
Value(s) applied	-
Measurement methods and procedures	<p>The following guidelines apply for conducting these campaigns</p> <ul style="list-style-type: none"> <li>-The project developer shall report the activities conducted each year in a detailed "Report of annual hygiene campaigns results" and summarize the results in the project monitoring reports.</li> <li>- Any major changes in the health status of the water users as a result of contaminated water (e.g. an outbreak of water related disease) must be reported and, if relevant, a strategy put in place to address it through the subsequent hygiene campaign.</li> <li>- The detailed method used to assess hygienic handling of clean water must be provided with the PDD and verified by the VVB.</li> <li>- The details of the method should be adjusted to suit the circumstances of each project and also to suit learning year on year.</li> </ul> <p>The impacts of the hygiene campaign shall be assessed using the WHO/UNICEF Joint Monitoring Programme Core questions for drinking water and hygiene to determine the fraction of the households and institutions where Safe water and Hygiene practices are found to fulfill "safely managed" or "basic" requirements. In-person or telephone or by messaging (e.g. text, app) based survey shall be conducted covering all the JMP core questions for drinking water and core questions for hygiene.</p> <p>For sampling requirements, follow section 4.2  General requirements for sampling of the methodology. The JMP core questions for households, schools and health care</p>



	facilities are available at <a href="https://washdata.org/monitoring/methods/core-questions">https://washdata.org/monitoring/methods/core-questions</a>
Monitoring Frequency	Annual
QA/QC Procedures	The fraction of the households where Safe water and Hygiene practices are found to fulfill “safely managed” or “basic” requirements is expected to increase over time as a result of the hygiene campaigns.
Purpose of data	Monitoring of SDG 12
Additional comment	-

### SDG 1

Data / Parameter	BSA / HHS
Unit	Number
Description	Access to basic service to households/institutions
Source of data	1. WPS/SLS distribution records Ex-post Monitoring Survey Records
Value(s) applied	36,000 WPS 400,000 SLS
Measurement methods and procedures	Monitoring and recording of number of WPS/SLS distributed under the project
Monitoring frequency	Annual
QA/QC procedures	-
Purpose of data	SDG 1 contribution
Additional comment	-

### SDG 3

Data / Parameter	SPM <sub>HH</sub>
Unit	-
Description	Air Quality in project households/institutions
Source of data	Ex-post monitoring survey records
Value(s) applied	(No sampled user reported an increase in incidence of coughing, incidence of respiratory illness, and incidence of itchy eyes after shifting to ICS)

Measurement methods and procedures	% HH reporting reduction in smoke while cooking on improved stove
Monitoring frequency	Annually
QA/QC procedures	-
Purpose of data	SDG 3 contribution
Additional comment	-

## SDG 5

Data / Parameter	HHTS
Unit	Hours
Description	Time savings due to reduced fuel collection needs after shifting to project WPS
Source of data	Ex-post Monitoring Survey Records
Value(s) applied	100%
Measurement methods and procedures	Monitor the time savings reported by project households on a sampling basis due to reduced fuel collection need for boiling water (relative to baseline stoves).
Monitoring frequency	Annual
QA/QC procedures	-
Purpose of data	SDG 5 contribution
Additional comment	-

## SDG 6

Data / Parameter	Number of beneficiaries
Unit	-
Description	Number of beneficiaries
Source of data	CME Database
Value(s) applied	SLS- 400,000 WPS-36,000
Measurement methods and procedures	The number of water purification systems distributed will be recorded as part of the CME database which will be used for this parameter
Monitoring frequency	Continuous

QA/QC procedures	-
Purpose of data	Monitoring of SDG 6
Additional comment	-

## SDG 7

Data / Parameter	AACS <sub>HH</sub>
Unit	Number
Description	Number of households having access to affordable, reliable and modern project WPS.
Source of data	WPS/SLS distribution records
Value(s) applied	36,000 WPS 400,000 SLS
Measurement methods and procedures	Monitor the number of WPS/SLS distributed under the project as an indicator of providing reliable, clean and modern technology (relative to baseline stoves).
Monitoring frequency	Continuous
QA/QC procedures	-
Purpose of data	SDG 7 contribution
Additional comment	-

## SDG 8

Data / Parameter	QE IG
Unit	Number
Description	Quantitative Employment and income generation
Source of data	Employment records
Value(s) applied	20
Measurement methods and procedures	Recording the number of employees (male / female) in the project under administrative, sales, production and management positions
Monitoring frequency	Annually
QA/QC procedures	-
Purpose of data	SDG 8 contribution

Additional comment	-
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## SDG 12

Data / Parameter	$B_{y,savings}$
Unit	Tonnes/HH/year
Description	Reduction in domestic fuel consumption after shifting to WPS
Source of data	Ex-post monitoring survey records
Value(s) applied	100%
Measurement methods and procedures	All the users using WPS in the project activity will not be using cookstoves to boil water otherwise used in baseline scenario. Hence will lead to 100% fuel savings in project scenario.
Monitoring frequency	Annually / biennially
QA/QC procedures	-
Purpose of data	SDG 12 contribution
Additional comment	-

### B.7.2. Sampling plan

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A statistically valid sample can be used to determine parameter values, as per the relevant requirements for sampling in the "Methodology for Sampling and surveys for CDM project activities and programme of activities." Minimum 90% confidence interval and a 10% margin of error requirement shall be achieved for the sampled parameters. In any case, for proportion parameter values, a minimum sample size of 30, or the whole group size if this is lower than 30, must always be applied.

### **Sampling Methodology**

India has a homogenous distribution of its population over the country with majority belonging to lower middle class. Due to the homogeneity feature of this program, simple random sampling method will be applied through all CPAs. A simple random sample is a subset of a population (e.g. villages, individuals, buildings, pieces of equipment) chosen randomly, such that each element (or unit) of the population has the same probability of being selected. The sample-based estimate (mean or proportion) is an unbiased estimate of the population parameter. Simple random sampling is conceptually straightforward and easy to implement – provided that a sampling frame of all elements of the population exists. Its simplicity makes it relatively easy to analyse

the collected data. It is also appropriate when only minimum information of the population is known in advance of the data collection. The costs of data collection under simple random sampling could be higher than other sampling approaches when the population is large and geographically dispersed.

### **Sampling Size**

Sample size shall be determined using the following formula:

$$n \geq \frac{1.962N \times p(1-p)}{(N-1) \times 0.12 \times p^2 + 1.962p(1-p)}$$

### **Sampling frame**

Sample sizes will be sufficient to ensure that the precision of the sample means/proportions are in accordance to the Sampling Frame established for the VPA within the PoA to estimate emissions reductions. In cases where survey results indicate that the desired precision is not achieved, the lower bound value of corresponding confidence interval of the parameter value may be used as an alternative to repeat the survey. Alternatively, the survey may be expanded to reach the required confidence/precision. To ensure a simple random sample selection, random number generators shall be applied. Each ICS/SLS in the target population is uniquely identifiable by its Serial ID number. Each CEP can thus be allocated a Sample Selection Number in each monitoring period, starting at 1 and increasing up to the total number of CEPs in the Database for that pre-defined simple random sampling frame. Applying the random number generators, the CEP can then be randomly chosen from the defined population up to the required sample size as calculated by the CME.

When a baseline and project survey is used the following sample size guidelines should be applied, unless otherwise stated:

### **Usage Survey**

- The minimum total sample size for Usage Survey is 100 with at least 30 samples for project technologies of each age being credited. The majority of interviews in a usage survey must be conducted in person. Thus, if technologies of age 1-5 are credited, the usage survey must include 30 representative samples from each age for total of 150 samples. The resulting usage parameter should be weighted based on the proportion of technologies in the total sales record of each age.

Group size	Minimum sample size
<300	30 or population size, whichever is smaller
300 to 1000	10% of group size

>1000	100
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- In line with the applied methodology, any sampling methods can be used, provided that the sample is selected randomly. If sampling approach other than simple random sampling is applied, 'Guidelines for sampling and survey for CDM project activities and program of activities'<sup>15</sup> must be followed.

When applicable the Usage Survey and the Project Survey will be implemented together using the same sample.

The verification method will use a sampling system to verify VPAs. In accordance with the General guidelines for sampling and surveys for small-scale CDM project activities, EB50, a 90/10 confidence/precision are used as the criteria for reliability of sampling efforts. This is applied to the selected the number of VPAs to be sampled and to selecting the number of household to be sampled for each sampled parameters used in the calculation of Emission Reductions. All parameters sampled are linked to a specific VPA and to specific households, both of which are recorded in the monitoring database.

### B.7.3. Other elements of monitoring plan

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Monitoring for typical VPAs is described below. The monitoring activity provides a framework for project preparation and monitoring processes that will be undertaken at the VPA level for each VPA. This schedule takes into account the key parameters that are needed during the crediting period of the project. All required monitoring and documentation would be implemented, reported, consolidated and managed by the CME or a qualified expert partner to meet verification requirements. Monitored data will be stored in a suite of monitoring databases. These will be updated each monitoring period:

Summary:

1. Each PO keeps a record of all the CEPs it installs in the MEC Credit Tracker Platform. The record includes the name, date of installation, model of CEP and location of the product. All records are screened by the CME and crosschecked with the PO records to confirm the installation record is authentic and no double counting occurs.

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<sup>15</sup>[https://cdm.unfccc.int/sunsetcms/storage/contents/stored-file-20151023152925068/Meth\\_GC48\\_%28ver04.0%29.pdf\\_\(site visited 03/06/2020\)](https://cdm.unfccc.int/sunsetcms/storage/contents/stored-file-20151023152925068/Meth_GC48_%28ver04.0%29.pdf_(site%20visited%2003%2F06%2F2020))

2. The values of the two emission reduction parameters required for ex-post ER calculation (efficiency of CEPs, number of CEPs still operating (SOF) are found from sampling of CEP installations

3. The records kept in the MEC Credit Tracker Platform relate to paper copies of title transfer agreements received from individual households.

### *Quality assurance*

The sampling approaches described above follow the CDM EB General Guidelines For Sampling and Surveys for Small Scale CDM Project Activities. This applies both to single-stage and two-stage approaches.

### *Generalities*

The CME along with the PO will coordinate all ex-post monitoring activities in the PoA. The CME is ultimately responsible for implementing the monitoring plan, ensuring the quality of data obtained and the use of this data for emissions reduction calculations. The CME will provide the DOE with a single monitoring report for verification purposes for all VPA's requesting issuance together. However, the actual field measurements to be conducted during monitoring (e.g. testing of WPS selected during sampling) will most likely be performed by third parties contracted to the CME and/or PO. In the case of using contractors, however, the CME will still be responsible for setting the procedures and providing oversight and training to the contractors. The choice between conducting the actual monitoring activities itself or employing another organization (for example, local marketing firm, university etc.) will depend on locational, operational factors and financial factors. In any case, a local partner will be important for providing local insight in questionnaire design, interview technique and for gaining physical access to project beneficiaries to obtain accurate results during monitoring.

Parameter values shall be estimated by sampling in accordance with the requirements in the applied methodology separately and independently for each of the VPAs included in a PoA except when a single sampling plan covering a group of VPAs is undertaken, in which case 95/10 confidence/precision is applied for the sample size calculation. A single sample plan will combine together the populations of all VPAs, and the sample size is determined and a single survey is undertaken to collect data e.g. if the parameter of interest is daily self-reported fuel consumption, it may be feasible to undertake a single sampling and survey effort spread across geographic regions of several VPAs when either homogeneity of included VPAs relative to the fuel usage can be demonstrated or the differences among the included CPAs is taken into account in the sample size calculation, such as proportional and weighted averages. If a sampling plan is developed for each VPA, and where there is no specific guidance in the applicable

methodology, project proponents shall use 90/10 confidence/precision as the criteria for reliability of sampling efforts for small-scale project activities (according to EB 69 Annex 4).

**Sampling Objective** – The sampling objective for each parameter is to determine via survey with statistically significant value for the emission reduction calculations. This parameter is defined in the tables presented in section B.5.1 under “Data / Parameter”.

**Field Measurement Objective and data to be collected** – This is defined in the tables in section B.5.1 under “Measurement methods and procedures”.

**Target population and sampling frame** – The target population is the total population served under the POA, and in the case of multi-stage sampling, the sampling frame is a complete listing of sub-groups of the study area/population which constitutes all the primary sampling units. In developing sampling frames the implementer of the survey effort shall compile a clear description of the target population, including those characteristics of the population, which define membership (as in the diagram below defining sampling frames). From the description and characteristic the implementer can then select a sampling frame appropriate for the study.

**Sample method** – Multi-stage random sampling will be used, and detailed calculations are provided below. When project circumstances allow single stage simple random sampling will be applied per CDM guidelines EB 69 Annex 5. One example of a 2-stage random sampling approach would be to provide a first-stage sampling frame consisting of all households serviced across all VPAs categorized by region, methodology combination, end-user type, and CEP model combination – all listed by village. Random sampling of villages from the first-stage frame would provide a subset of areas to sample from. In the second stage, the sampling frame would consist of all households serviced in the randomly selected villages. Random sampling would then be conducted from the second-stage frame. To ensure a random sample selection, random number generators shall be applied. Each CEP in the target population is uniquely identifiable by its Serial ID number. Each CEP within a sampling frame can thus be allocated a Sample Selection Number in each monitoring period, starting at 1 and increasing up to the total number of CEPs in the Credit Tracker Platform for that pre-defined sampling frame. Applying the random number generators, the CEP can then be randomly chosen from the defined population up to the required sample size as calculated by the CME. This will be done for each group of VPAs within a defined sampling frame or for each VPA in the case that VPAs are not grouped up for monitoring.

**Desired precision / expected variance and sample size** – unless otherwise noted in the measurement methods and procedures section of the monitored parameter table in section B.7.1, and as allowed by applicable methodology, the sample size will be chosen



for a 90/10 precision (90% confidence interval and 10% margin of error); except when a single sampling plan covering a group of VPAs is undertaken, in which case 95/10 confidence/precision is applied for the sample size calculation.

During sampling there may be non-response from the target population. Over-sampling by 20% may be used to avoid non-response, however, sampling may be cease once required confidence/precision is met.

*Implementation* - The sampling for surveyed data will be implemented consistent with the approach described above.

All monitored data required for verification and issuance will be kept for two years after the end of the crediting period or the last issuance of CERs, for this programme, whichever occurs later.

The MEC Credit Tracker Platform is used to keep detailed records of all installations under each VPA. Each installation is monitored annually to check usage status. Monitoring records are maintained in the Credit Tracker Platform.

1. The Credit Tracker Platform keeps a record of all clean energy products that are installed.
2. The Credit Tracker Platform crosschecks the new records with the existing Platform in order to confirm that the installation record is authentic and that no double-counting occurs.
3. The values of the two emission reduction parameters required for ex-post measurement (numbers of products dropping out of use (POF), efficiency of stoves ( $\eta$  new) are found from sampling of the CEP installations as described in Section B.5.1.
4. The electronic files holding installation records are backed up on the Internet, reducing risk of any loss of data.
5. All monitored data required for verification and issuance will be kept for two years after the end of the crediting period or the last issuance of CERs for the PoA, whichever occurs later.
6. The unique system ID number which is linked to a gps location or verified address eliminates any risk of double-counting between VPAs.

#### **Organizational Diagram of Monitoring Plan**

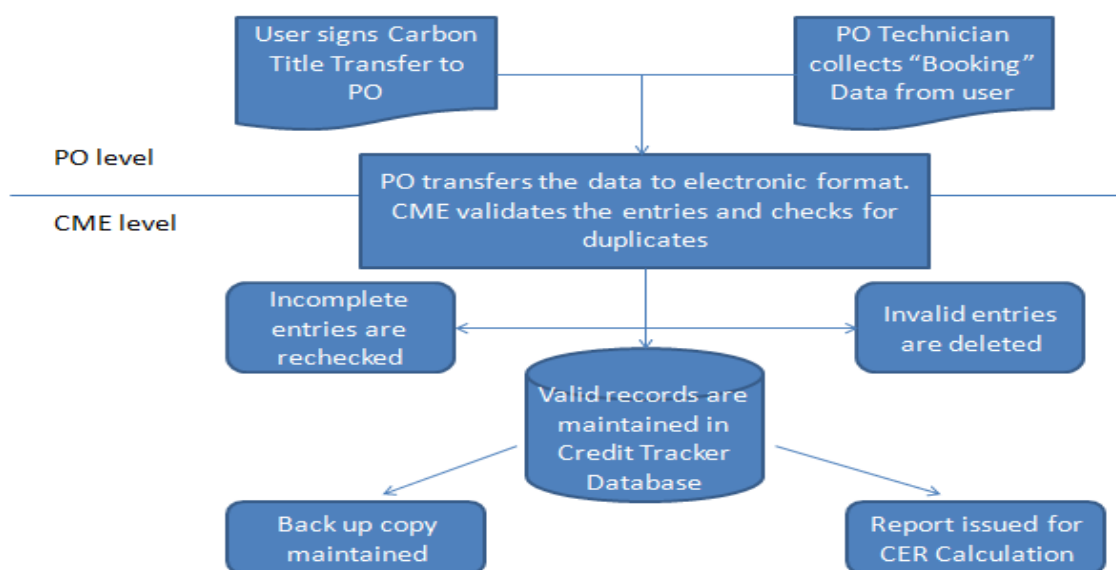


Figure B.7.2: PoA Monitoring Plan

#### Quality Assurance/Quality control

As the PoA is intended to include multiple regions within India with a high level of cultural diversity as well as different end user groups, there is no "one size fits all" approach for dealing with these issues. However, in order to avoid many of these problems the CME will undertake the following strategies, tailoring the specific approach to the local circumstances:

- 1) Ensuring end user awareness. At the time of sale, the CEP customer is made aware that they are required to participate in monitoring activities. This will be via training sales personnel to explain the importance of monitoring to each customer, and during regularly scheduled microfinance group meetings for end-users.
- 2) Questionnaire design. The design of the questionnaire will ensure that the questions are non-intrusive and easy to understand for both the interviewee and interviewer.
- 3) Drawing on local knowledge. The local contractors to be hired by the CME in each region will play an important role in tailoring the approach to suit local circumstances. For example, in some instances, it may be essential for a local person to conduct the interview in order to obtain accurate results.
- 4) Quality of contractors. Any third parties hired by the CME to carry out sampling will be required to demonstrate a high level of cultural awareness, local language skills and appropriate experience with data entry and data management. The CME will ensure

that contractors are adequately trained for the tasks they are contracted. Training will also be provided on how to deal with non-responses, refusals and other problems should these occur.

The program will be independently verified on a regular basis according to the rules of the applied UNFCCC CDM Methodology and Gold Standard methodology. The verifier will confirm the accuracy of the monitoring by visiting a sample of the installations.

## SECTION C. DURATION AND CREDITING PERIOD

### C.1. Duration of project

C.1.1. Start date of project

>>

18/07/2013 i.e. date of sale of first clean energy product under the proposed VPA.  
(Installation certificate for the first CEP in this VPA is provided)

C.1.2. Expected operational lifetime of project

>>

15 years 0 month

### C.2. Crediting period of project

C.2.1. Start date of crediting period

>>

C.2.2. 07/12/2016 Total length of crediting period

>>

15 years

## SECTION D. SUMMARY OF SAFEGUARDING PRINCIPLES AND GENDER SENSITIVE ASSESSMENT

### D.1. Safeguarding Principles that will be monitored

A completed Safeguarding Principles Assessment is in [Appendix 1](#).

## D.2. Assessment that project complies with GS4GG Gender Sensitive requirements

Question 1 - Explain how the project reflects the key issues and requirements of Gender Sensitive design and implementation as outlined in the Gender Policy?	The local stakeholder consultation meeting will be carried out following a gender sensitive approach. The project will incorporate measures to ensure that there is no discrimination based on gender. Water Purification Systems (WPS) and Solar Lighting Systems (SLS) will be distributed to all willing customers within the project boundary. The project will have a positive impact on women considering that they will spend less time on boiling water for treatment or walking for miles to collect water and fuel.
Question 2 - Explain how the project aligns with existing country policies, strategies and best practices	The project will contribute towards the goal of host country policy by providing women with efficient water purifiers, thereby they will spend less time on boiling water or fuel procurement and will be able to in cleaner environment.
Question 3 - Is an Expert required for the Gender Safeguarding Principles & Requirements?	Not required. Water Purification systems or Solar Lighting systems projects not following Gender responsive approach do not require to contract an expert as per Gender Equality Requirements & Guidelines.
Question 4 - Is an Expert required to assist with Gender issues at the Stakeholder Consultation?	N/A

## SECTION E. SUMMARY OF LOCAL STAKEHOLDER CONSULTATION

The below is a summary of the 2 step GS4GG Consultation for monitoring purposes. Please refer to the separate Stakeholder Consultation Report for a complete report on the initial consultation and stakeholder feedback round.

### E.1. Summary of stakeholder mitigation measures

>> <NA>

### E.2. Final continuous input / grievance mechanism

Method	Include all details of Chosen Method (s) so that they may be understood and, where relevant, used by readers.
Continuous Input / Grievance Expression Process Book (mandatory)	Continuous input / Grievance Expression process book is available at the office at the following address: Micro Energy Credits Corporation Private Limited, A203, business suites 9, S V road, Santacruz West, Mumbai 400054  By maintaining feedback book at the local office, it is ensured that stakeholders that don't have access to electronic media for expressing concerns / grievances are also able to share their concerns / feedback. Additionally, the end users always have an option to contact the partner organization (representative of MFI/ manufacturers etc.) in case of any feedback / complaints with the product post distribution.
GS Contact (mandatory)	<a href="mailto:help@goldstandard.org">help@goldstandard.org</a>
Other	Email: <a href="mailto:contact@microenergycredits.com">contact@microenergycredits.com</a>

## APPENDIX 1 - SAFEGUARDING PRINCIPLES ASSESSMENT

Complete the Assessment below and copy all Mitigation Measures for each Principle into [SECTION D](#) above. Please refer to the instructions in the [Guide to Completing](#) this Form below.

Assessment Questions/ Requirements	Justification of Relevance (Yes/potentiall y/no)	How Project will achieve Requirements through design, management or risk mitigation.	Mitigation Measures added to the Monitoring Plan (if required)
<b>Principle 1. Human Rights</b>			
1. The Project Developer and the Project shall respect internationally proclaimed human rights and shall not be complicit in violence or human rights abuses of any kind as defined in the Universal Declaration of Human Rights	No	The VPA and CME both respect human rights and are not complicit in violence or human rights abuses.	Not required
2. The Project shall not discriminate with regards to participation and inclusion	No	The VPA does not discriminate with regards to participation and inclusion	Not required
<b>Principle 2. Gender Equality</b>			

3. The Project shall not directly or indirectly lead to/contribute to adverse impacts on gender equality and/or the situation of women a. Sexual harassment and/or any forms of violence against women – address the multiple risks of gender-based violence, including sexual exploitation or human trafficking.	No	Not relevant	Not required
b. Slavery, imprisonment, physical and mental drudgery, punishment or coercion of women and girls.	No	Not relevant	Not required
c. Restriction of women’s rights or access to resources (natural or economic).	No	Not relevant	Not required
d. Recognise women’s ownership rights regardless of marital status – adopt project measures where possible to support to women’s access to inherit and own land, homes, and other assets or natural resources.	No	Not relevant	Not required
1. Projects shall apply the principles of non-discrimination, equal treatment, and equal pay for equal work a. Where appropriate for the implementation of a VPA, paid, volunteer work or community contributions will be organised to provide the conditions for equitable	No	Not relevant	Not required

participation of men and women in the identified tasks/activities.			
b. Introduce conditions that ensure the participation of women or men in Project activities and benefits based on pregnancy, maternity/paternity leave, or marital status.	No	Not relevant	Not required
c. Ensure that these conditions do not limit the access of women or men, as the case may be, to VPA participation and benefits.	No	Not relevant	Not required
4. The Project shall refer to the country's national gender strategy or equivalent national commitment to aid in assessing gender risks	No	The Project takes into account the National Policy for the Empowerment of Women (2011) <sup>16</sup> in the "advancement of gender equality and empowerment of women". The Project is designed to empower women and improve livelihoods. No gender risks are envisaged in the PoA	Not required

<sup>16</sup> [National Policy for the Empowerment of Women | National Portal of India](#)- by Ministry of Women and Child Development



5. (where required) Summary of opinions and recommendations of an Expert Stakeholder(s)	No	Not relevant	Not required
<b>Principle 3. Community Health, Safety and Working Conditions</b>			
1. The Project shall avoid community exposure to increased health risks and shall not adversely affect the health of the workers and the community	Yes	The VPA reduces exposure to indoor air pollutants and smoke levels, further reducing incidence of respiratory illness compared to boiling water on traditional biomass stoves using solid biomass fuel.	Not required
<b>Principle 4.1 Sites of Cultural and Historical Heritage</b>			
1. Does the Project Area include sites, structures, or objects with historical, cultural, artistic, traditional or religious values or intangible forms of culture?	No	Not relevant	Not required
<b>Principle 4.2 Forced Eviction and Displacement</b>			
1. Does the Project require or cause the physical or economic relocation of peoples (temporary or permanent, full or partial)?	No	Not relevant	Not required
<b>Principle 4.3 Land Tenure and Other Rights</b>			
1. Does the Project require any change, or have any uncertainties related to land tenure arrangements and/or access rights, usage rights or land ownership?	No	Not relevant	Not required

Principle 5. Corruption			
1. The Project shall not involve, be complicit in or inadvertently contribute to or reinforce corruption or corrupt Projects	No	The CME does not promote/ or is complicit in direct or indirect corruption.	Not required
Principle 6.1 Labour Rights			
1. The Project Developer shall ensure that all employment is in compliance with national labour occupational health and safety laws and with the principles and standards embodied in the ILO fundamental conventions	No	The VPA does not involve any forced labour and the CME/VPA Implementer ensures that all employment is in compliance with local labour regulations and laws.	Not required
2. Workers shall be able to establish and join labour organisations	No	The CME puts no constraints / limitation on employees to form a union.	Not required
3. Working agreements with all individual workers shall be documented and implemented and include: a. Working hours (must not exceed 48 hours per week on a regular basis), AND b. Duties and tasks, AND c. Remuneration (must include provision for payment of overtime), AND d. Modalities on health insurance, AND	No	The CME's policies and employment contracts are compliant with the requirement	Not required

e. Modalities on termination of the contract with provision for voluntary resignation by employee, AND f. Provision for annual leave of not less than 10 days per year, not including sick and casual leave.			
4. No child labour is allowed (Exceptions for children working on their families' property requires an Expert Stakeholder opinion)	No	The CME does not promote / or is complicit in child labour	Not required
5. The Project Developer shall ensure the use of appropriate equipment, training of workers, documentation and reporting of accidents and incidents, and emergency preparedness and response measures	No	Not relevant	Not required
<b>Principle 6.2 Negative Economic Consequences</b>			
1. Does the project cause negative economic consequences during and after project implementation?	No	No negative economic consequences are deemed applicable	Not required
<b>Principle 7.1 Emissions</b>			
1. Will the Project increase greenhouse gas emissions over the Baseline Scenario?	No	The VPA reduces GHG emissions relative to baseline scenario	Not required
<b>Principle 7.2 Energy Supply</b>			

1. Will the Project use energy from a local grid or power supply (i.e., not connected to a national or regional grid) or fuel resource (such as wood, biomass) that provides for other local users?	No	The project will reduce fuel resource consumption instead	Not required
<b>Principle 8.1 Impact on Natural Water Patterns/Flows</b>			
1. Will the Project affect the natural or pre-existing pattern of watercourses, ground-water and/or the watershed(s) such as high seasonal flow variability, flooding potential, lack of aquatic connectivity or water scarcity?	No	Not applicable	Not required
<b>Principle 8.2 Erosion and/or Water Body Instability</b>			
1. Could the Project directly or indirectly cause additional erosion and/or water body instability or disrupt the natural pattern of erosion?	No	The VPA shall result in reduction in demand of biomass fuel in the region putting less pressure on forests for deforestation and will hence indirectly avoid erosion associated with tree cutting/felling.	Not required
<b>Principle 9.1 Landscape Modification and Soil</b>			
1. Does the Project involve the use of land and soil for production of crops or other products?	No	Not applicable	Not required
<b>Principle 9.2 Vulnerability to Natural Disaster</b>			

1. Will the Project be susceptible to or lead to increased vulnerability to wind, earthquakes, subsidence, landslides, erosion, flooding, drought or other extreme climatic conditions?	No	Not applicable	Not required
<b>Principle 9.3 Genetic Resources</b>			
1. Could the Project be negatively impacted by or involve genetically modified organisms or GMOs (e.g., contamination, collection and/or harvesting, commercial development, or take place in facilities or farms that include GMOs in their processes and production)?	No	Not applicable	Not required
<b>Principle 9.4 Release of pollutants</b>			
1. Could the Project potentially result in the release of pollutants to the environment?	No	Not applicable	Not required
<b>Principle 9.5 Hazardous and Non-hazardous Waste</b>			
1. Will the Project involve the manufacture, trade, release, and/ or use of hazardous and non-hazardous chemicals and/or materials?	No	Not applicable	Not required
<b>Principle 9.6 Pesticides &amp; Fertilisers</b>			
1. Will the Project involve the application of pesticides and/or fertilisers?	No	Not applicable	Not required
<b>Principle 9.7 Harvesting of Forests</b>			

1. Will the Project involve the harvesting of forests?	No	The VPA does not involve harvesting of forests. The VPA shall result in reduction in demand of biomass fuel in the region putting less pressure of forests for deforestation and will hence indirectly avoid erosion associated with tree cutting/felling.	Not required
<b>Principle 9.8 Food</b>			
1. Does the Project modify the quantity or nutritional quality of food available such as through crop regime alteration or export or economic incentives?	No	Not applicable	Not required
<b>Principle 9.9 Animal husbandry</b>			
1. Will the Project involve animal husbandry?	No	Not applicable	Not required
<b>Principle 9.10 High Conservation Value Areas and Critical Habitats</b>			
1. Does the Project physically affect or alter largely intact or High Conservation Value (HCV) ecosystems, critical habitats, landscapes, key biodiversity areas or sites identified?	No	Not applicable	Not required
<b>Principle 9.11 Endangered Species</b>			

<p>Are there any endangered species identified as potentially being present within the Project boundary (including those that may route through the area)?</p> <p>AND/OR</p> <p>Does the Project potentially impact other areas where endangered species may be present through transboundary affects?</p>	No	Not applicable	Not required
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## APPENDIX 2- CONTACT INFORMATION OF VPA IMPLEMENTER

Organization name	Micro Energy Credits Corporation Private Limited
Registration number with relevant authority	
Street/P.O. Box	Main Varthur Road, Whitefield, India
Building	22A Waterwoods
City	Bangalore
State/Region	Karnataka
Postcode	
Country	India
Telephone	+1.206.274.6457 +91 9884273950
E-mail	april@microenergycredits.com
Website	<a href="http://www.microenergycredits.com">www.microenergycredits.com</a>
Contact person	April Allderdice
Title	Ms.
Salutation	Chief Executive Officer (CEO)
Last name	Allderdice
Middle name	-
First name	April
Department	-
Mobile	-
Direct tel.	+1.206.274.6457
Personal e-mail	april@microenergycredits.com



Organization name	Muthoot Microfin Limited
Registration number with relevant authority	
Street/P.O. Box	MG Road, 662935, India
Building	Muthoot Towers
City	Kochi
State/Region	Kerala
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Contact person	Subhransu Pattnayak
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Salutation	
Last name	Pattnayak
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APPENDIX 3-SUMMARY OF APPROVED DESIGN CHANGES

NA

Revision History

Version	Date	Remarks
1.0	01/04/2021	Initial adoption