

TEMPLATE

# TRANSITION REQUEST FORM - CPA

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VERSION **1.0**

RELATED SUPPORT

- **TEMPLATE GUIDE Key Project Information & VPA Design Document v.1.1**

## CONTACT DETAILS:

### THE GOLD STANDARD FOUNDATION

Chemin de Ballexert 7-9  
1219 Châtelaine  
International Environment House 2  
Geneva, Switzerland  
Tel: +41 22 788 70 80  
Email: [help@goldstandard.org](mailto:help@goldstandard.org)

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## Summary:

The CPA transitioning from **CDM or other Standards to Gold Standard for Global Goals (GS4GG)** shall submit the transition request form and VPA - DD (this document). The **Transition Request Form** is also to be completed for CPA that are already registered with GS4GG for CER labelling and seek to move to GSVER stream to issue Gold Standard VERs.

This document contains the following Sections:

## Section - Transition Request Form

[TRF.1 ELIGIBILITY CHECK FOR TRANSITION](#)

[TRF.2 TRANSITION PROJECT INFORMATION](#)

[TRF.3 TRANSITION CHECKLIST](#)

## Key Project Information

### Section – Voluntary Project Activity Design Document (VPA -DD)

SECTION A – Description of project

SECTION B – Application of approved Gold Standard Methodology (ies) and/or demonstration of SDG Contributions

SECTION C – Duration and crediting period

SECTION D – Summary of Safeguarding Principles and Gender Sensitive Assessment

SECTION E – Summary of Local stakeholder consultation

Appendix 1 – Safeguarding Principles Assessment (mandatory)

Appendix 2 – Contact information of VPA Implementer (mandatory)

Appendix 3 – Summary of Approved Design Changes (project specific)

The following table summarises how different sections of this document shall be filled to facilitate request for transition from other standard to GS4GG.

Section	Required for	How to complete the section
<b>Transition Request Form</b>		
TRF.1 Eligibility check for transition	All CPAs	Answer the assessment questions and provide supporting information as needed
TRF.2 Transition project information	All CPAs	Provide CPA information pertaining to the standard, the project is transitioning from (e.g. CDM)
TFR.3 Transition checklist	All CPAs	Answer the assessment questions and provide supporting information in the section in the VPA - DD section as needed
<b>VPA – DD</b>		
Key project information	All CPAs	Include VPA details pertaining to GS4GG
Section A to E	All CPAs	Provide information as needed. Any section/subsections <ul style="list-style-type: none"> <li>- that requires information/justification or additional information as per transition checklist, AND</li> <li>- that involves update/revision to the information provided for registration with other standards. In such cases, the project shall copy and paste the information from registered VPA - DD (other standard) and mark the additional information in track changes.</li> </ul>

## SECTION – TRANSITION REQUEST FORM

### TRF.1 ELIGIBILITY CHECK FOR TRANSITION

To be completed for all CPAs seeking transition to GS4GG from other standards.

Requirement	
<p>The CPA must have a crediting period start date with CDM/other standard on or <b>after 01 January 2016</b></p>	<p>CPA assessment (to be completed by CME)</p> <p>Is the CPA(s) crediting period start date <b>after</b> 01 January 2016?</p> <p><input type="checkbox"/> Yes</p> <p><input checked="" type="checkbox"/> No (go to questions below)</p>
<p>The CPA that has a crediting period start date with CDM/other standard <b>before 01 January 2016</b> shall demonstrate the risk of discontinuation without carbon revenue.</p>	<p><i>Explain the risks/barriers that may cause discontinuation of CPA without carbon revenue.</i></p> <p>For this VPA, carbon credits have been issued regularly since the start of the crediting period (i.e., from 20/03/2015 onwards) with no gaps. Due to a sensitive financial situation, the VPA will not be operational without regular influx of carbon revenue to sustain its operations. Moreover, the revenue from carbon finance is used for the following purposes –</p> <ol style="list-style-type: none"> <li>1. Maintenance of Clean Energy Officers and other personnel for continuous monitoring and upkeep of the products</li> <li>2. MEC signs Carbon Use of Funds (CuOF) with partner organizations to ensure that the revenues are used to feed back into the Programme</li> </ol>
<p>The CPA that has a crediting period start date with CDM/other standard <b>before 01 January 2016</b> shall demonstrate how the project has been operational in the absence of carbon revenue, if carbon credits have not been issued to the project in recent years.</p>	<p><i>Explain how the CPA has managed the operation in the absence of carbon revenue in recent years.</i></p> <p>For this VPA, carbon credits have been issued regularly since the start of the crediting period (i.e., from 20/03/2015 onwards) with no gaps. Due to a sensitive financial situation, the VPA will not be operational without regular influx of carbon revenue to sustain its operations.</p>
<p>List of supporting documents</p>	<p>N/A</p>

## TRF.2 TRANSITION PROJECT INFORMATION

CME shall provide CPA information (in grey rows), pertaining to the standard, the CPA is transitioning from (e.g. CDM) in the table below.

Name of the original standard	<input checked="" type="checkbox"/> <b>CDM</b> <input type="checkbox"/> <b>Other</b> (Add the standard name here)
CPA status with original standard	<i>The current status of CPA with CDM/other standard at the time of submission of this form.</i> <input checked="" type="checkbox"/> <b>Active</b> (registration status is valid) <input type="checkbox"/> <b>Withdrawn</b> (deregistered) <input type="checkbox"/> <b>Provisional</b> (awaiting guidance from the CMP at CMP 16, CDM CPAs only)
CDM/ other standard reference ID	<i>The reference number/ID allocated to the CPA by CDM/other standard.</i> CPA 9181-P1-0003-CP1
CPA reference weblink	<i>The weblink of the project page of CDM/other standard.</i> <a href="#">CDM: MicroEnergy Credits PoA – CPA 03 (unfccc.int)</a>
PoA reference ID and Title	Reference ID and Title For example 0457: Cooking stoves distribution programme in Uganda 9181: MicroEnergy Credits – Microfinance for Clean Energy Product Lines – India
Title of CPA	<i>The title of the CPA used for registration with CDM/other standard.</i> MicroEnergy Credits PoA – CPA 03
New title of CPA (if applicable)	<i>The title of the CPA if it has been changed for registering with Gold Standard. (Follow GS4GG requirements Section 5, <a href="#">PoA requirements</a>)</i> NA
Methodology used	<i>Methodology title and the version number applied for registration with CDM /other standard.</i> NA
Amount of reductions	<i>Average annual emission reductions (tCO<sub>2</sub>eq/year).</i> 61,452 tCO <sub>2</sub>
Inclusion date	<i>The CPA inclusion date with CDM/other standard.</i> 20/03/2015
Type of crediting period	<input checked="" type="checkbox"/> renewable crediting period <input type="checkbox"/> fixed crediting period
Crediting period	<i>The CPA registered crediting period start date and end date with CDM/other standard.</i> Start date: 20/03/2015 End date: 19/03/2022
Total monitoring periods issued	<i>The total period that has already been issued by CDM/other standard.</i> Start date: 20/03/2015 End date: 25/07/2019
	<i>The latest monitoring period that has already been issued or submitted for issuance to CDM/other standard.</i>

Latest monitoring period	Start date: 26/07/2019 End date: 31/12/2019	
	Issuance Status  Date of Issuance, if issued.	<input type="checkbox"/> Issued <input checked="" type="checkbox"/> Awaiting issuance
Declaration	<i>Click on the tick box to confirm.</i>	
	<p>The Coordinating/Managing Entity hereby acknowledges that project developer;</p> <p><input type="checkbox"/> Option 1 - has included information in this document that has not been validated/verified as part of CDM PDD <b>OR</b>  <input checked="" type="checkbox"/> Option 2 - has copied all validated information as it appears in the original and then used tracked changes to highlight any information that not been validated/or has changed - <i>Note if option 2 is selected the project developer shall fill all sections in the PDD template of this document.</i></p> <p>The Coordinating/Managing Entity hereby acknowledges that project developer;</p> <p><input checked="" type="checkbox"/> is aware that for a given vintage, a registered Gold Standard CPA can request the issuance of the emission reductions under only one standard/certification scheme. (applicable to all projects).</p> <p><input checked="" type="checkbox"/> is aware that all CPAs that transition to GS4GG shall demonstrate Ongoing Financial Need at the time of renewal of their crediting period following applicable GS4GG requirements. (applicable to all CPAs).</p> <p><input checked="" type="checkbox"/> confirms that the project developer/representative will make a declaration, in writing, in the monitoring report submitted to Gold Standard that (applicable to CDM CPAs)</p> <ul style="list-style-type: none"> <li>- CPAs will/has not issue both a CER/other compliance units under Paris Agreement and a GSVER for the same vintage.</li> <li>- CME agrees to comply with all future UNFCCC COP/CMP decisions<sup>1</sup> including adjustment of GWP values</li> </ul>	
Coordinating/Managing Entity / authorised signatory	<i>Name and designation of CME/authorised signatory</i> Micro Energy Credits Corporation Private Limited April Allderdice	

<sup>1</sup> CDM clarification available on this topic as on date can be referred to [here](#).

## TRF.3 TRANSITION CHECKLIST

Coordinating/Managing Entity shall answer all assessment questions listed below and provide additional information/justification in the VPA-DD section, where required. Please note that the checklist is based on the [GHG Emissions Reductions and Sequestration Product Requirements](#).

The checklist also provides relevant requirements applicable to PoA/CPA transitioning to GS4GG for easy referencing. The CME shall refer to relevant GS4GG documents, as applicable, for further details. It is recommended that CME refers to Guidelines in the table below for more information on the requirements and flexibilities provided. This document (in word) shall be submitted to SustainCERT along with other required documents **for preliminary review** as listed below –

- [Cover Letter](#)
- [Terms and Conditions](#)
- [Official Development Assistance declaration](#)
- [Stakeholder Consultation Report](#)
- PoA Design Document (PoA-PDD) final version (CDM/other standard)
- CPA-DD registered with CDM/other standard
- Validation report submitted to CDM/other standard
- Last Monitoring and Verification report submitted to CDM/other standard

<b>1   TRANSITION PATHWAY</b>	
<b>1.1   Option 1:</b> Is CPA seeking registration with GS4GG <b>to issue GSCERs</b> while maintaining the CDM registration? (Ref: <a href="#">GHG Product Requirements</a> )	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>1.2   Option 2:</b> Is CPA seeking registration with GS4GG <b>to issue GSVERs</b> only and/or conversion of <b>issued CERs to GSVERs</b> ? (Ref: Annex B, <a href="#">GHG Product Requirements</a> ) <i>Note – for conversion of issued CERs to GSVERs, the project must be registered with GS4GG.</i>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>1.3   Option 3:</b> Is CPA seeking registration with GS4GG <b>to issue GSVERs only</b> and/or <b>conversion of emission reduction to GSVERs</b> issued by <b>standard other than CDM</b> ? (Ref: Annex B, <a href="#">GHG Product Requirements</a> )	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>Requirement:</b>  All CPAs submitting request for transition on or after 1/1/2021 must demonstrate compliance with requirements stated in <b>Annex B</b> , <a href="#">GHG Product Requirements</a> .  The CPA following <b>option 1</b> above; <ul style="list-style-type: none"> <li>- may seek registration under GS4GG based on provisional CDM EB decision</li> <li>- may seek issuance of GSVERs in exchange of provisional CERs based on CDM EB decision but must transfer issued CERs to the Gold Standard Swiss CDM Registry Account. If there are any implications for issued volume or project eligibility due to CMP decision regarding GWP,</li> </ul>	

additionality or any other decision, the CME must address these issues, as applicable in consultation with SustainCERT/GS.

The CPA transitioning to GS4GG following **option 2** above,

- may convert issued CERs to GSVERs
- are not required to deregister from CDM but shall not claim emission reductions under both GS4GG and CDM for the same vintage

The CPA transitioning to GS4GG following **option 3** above,

- may convert issued emission reductions unit to GSVERs
- may issue GSVERs
- shall deregister project from other standard before registration with GS4GG

**Guidelines:**

PoA/CPAs already undergoing design certification for CER labelling can continue with their existing process. [SustainCERT](#) shall be notified of the intention to switch to GSVER stream, at the earliest possible opportunity.

PoA/CPAs already certified for CER labelling can switch to GSVER stream by completing this form and notifying [SustainCERT](#). Such project may leave the VPA-DD section blank as this information has been captured in GS4GG PDD version submitted earlier.

## 2 | TRANSITION APPROVAL PROCEDURE

<b>1.1  </b>	Option 1 - Is the project undergoing a preliminary review <b>by sustainCERT</b> , validation <b>by VVB</b> and design review by <b>SustainCERT</b> ?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>1.2  </b>	Option 2 - Is the project undergoing a <b>combined preliminary review, validation, and design review</b> by <b>SustainCERT</b> ? (restrictions apply, see 5.3 below)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>1.3  </b>	Option 3- Is the project undergoing preliminary review <b>by SustainCERT</b> , combined <b>validation &amp; verification by VVB</b> , followed by combined design and performance review by SustainCERT?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

**Requirement:**

The PoA certification under GS4GG involves following key steps. Refer to Section 12. Project cycle [Programme of Activity Requirements](#) for details.

**Preliminary review** - Preliminary Review of the PoA is conducted once at the time of first submission to Gold Standard. It involves desk review of the Key Project Information and PoA-DD by SustainCERT. The PoA can only be listed once a preliminary review of PoA and each CPA submitted with PoA has been completed.

**Design certification (validation + design review)** - Design certification involves validation by VVB and design review by SustainCERT. With successful design certification the PoA will obtain 'Certified design' status that is equivalent to registration under CDM and other standard. The real case CPA-DD is required with PoA-DD for design review as per [Programme of Activity Requirements](#).

**Performance certification (verification + performance review)** - Performance certification involves verification by VVB and performance review by SustainCERT. The positive conclusion of the Performance Review period shall result in Gold Standard 'Certified Project status' and CPAs can issue GSVERs. The CME may opt for combined Design Certification, conducting both the first Verification and Performance Review under GS4GG at the same time.



**CPAs/VPAs Inclusion** – Once a real case CPA/VPA fully design certified, the CME may include CPAs/VPAs applying same technology measures following a simplified inclusion process. It involves, VVB's compliance check followed by SustainCERT design review (two weeks) or if selected for spot - check three week design review.

To minimise disruption and keep the transition review time and costs minimum, the PoA is provided with flexibilities as summarised in the table below;

Certification outcome	Certification stage	Option 1	Option 2*	Option 3
		Normal certification pathway	Combined Preliminary review + Validation + Design review	Combined validation + verification followed by combined design + performance review
PoA+ REAL Case CPA				
Listing	Preliminary review	SustainCERT		SustainCERT
Certified Design = Registration	Validation	VVB	SustainCERT	VVB
	Design review	SustainCERT		SustainCERT
Certified project = Issuance	Verification	VVB	VVB	VVB
	Performance review	SustainCERT	SustainCERT	SustainCERT
CPA/VPA inclusion				
CPA/VPA inclusion	Compliance check	VVB		VVB
	Design review	SustainCERT	SustainCERT	SustainCERT
	Verification	VVB	VVB	VVB
	Performance review	SustainCERT	SustainCERT	SustainCERT

For option 1, a validation/inclusion site visit by VVB is not required for CPAs proposed for inclusion as long as the VVB conducted a site visit as part of validation/verification in last three years (from time of first submission for preliminary review) and new/updated information can be audited based on desk review and/or using remote audit approaches.

For Option 2, SustainCERT conducts PoA/CPAs design elements desk based audit and approve PoA/CPAs transition, without VVB's opinion. **Note that this option will involve additional review fee levied by SustainCERT. The project developer shall confirm the applicable fee and timelines with SustainCERT ([help@sustain-cert.com](mailto:help@sustain-cert.com)) before submitting the request for transition.**

If transition PoA is applying a new/latest version of the methodology which requires full audit but VVB, option 2 cannot be applied.

CMEs may also directly include VPAs/CPAs in the registered PoA, without VVB compliance check

- If at least one VPA/CPA of the registered PoA has completed successful performance certification, and
- The VPA/CPA that has completed performance certification and the VPAs/CPAs that are included by CME without VVB compliance check shall,
  - involve same technology/measure and apply same methodology in case of single technology POA
  - involve same technologies/measures and apply same methodology(ies) combination in case of multi technology PoA

Refer to VPA/CPA INCLUSION REQUIREMENTS (RU 2020 P&R - PAR V1.2) for further details on applicability conditions and requirements.

This option is not captured in the table above.

This option doesn't involve additional fee levied by SustainCERT as mentioned in option 2 above.



<b>3  CPA ELIGIBILITY</b>	
<b>1.1   Is the CPA eligible project type under Gold Standard for the Global Goals?</b>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<p><b>Requirement:</b> The transitioning project shall be one of the eligible project types for issuance of Gold Standard VERs (Ref: <a href="#">GHG Product Requirements</a>).</p> <p><b>Guidelines:</b> Typical eligible activity types are Renewable Energy Supply, End-Use Energy Efficiency Improvement, Waste Handling &amp; Disposal, Land Use and Forests.</p> <ul style="list-style-type: none"> <li>- Afforestation/Reforestation project registered with CDM/other standard may transition to GS4GG for issuance of GSVERs only but are not eligible for labelling of issued emission reduction units.</li> <li>- RE projects shall refer to <a href="#">Renewable Energy Activity Requirements</a> for eligibility check.</li> <li>- RE projects for example - · Hydropower · biomass resources · landfill gas and biogas from agro-processing, wastewater and other residues · Waste Heat/Gas recovery · Fossil co-generation · Waste incineration and gasification · Waste handling and disposal are required to demonstrate compliance with the specific eligibility requirements. Refer to Annex – A of <a href="#">Renewable Energy Activity Requirements</a> for further details.</li> <li>- Community Services Activities projects for example - Hydropower · biomass resources · landfill gas and biogas from agro-processing, wastewater and other residues · Waste Heat/Gas recovery · Fossil co-generation · Waste incineration and gasification · Waste handling and disposal · Relighting · End-use fossil switching are required to demonstrate compliance with the specific eligibility requirements. Refer to Annex – A of <a href="#">Community Services Activity Requirements</a> for further details.</li> </ul>	
<b>COMPLIANCE WITH RELEVANT ACTIVITY REQUIREMENTS</b>	
<b>1.2   Does the CPA conform to the relevant Activity Requirements (<a href="#">CSA/RE</a>)?</b>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>1.3   Does any specific eligibility criteria/requirement stipulated in Annex A of <a href="#">CSA/RE</a> requirements apply to the CPA?</b>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>1.4   Does specific eligibility criteria/requirement stipulated in Annex A of <a href="#">CSA/RE</a> requirements that apply to the CPA, lead to any change in the registered PoA -DD or VPA -DD? <b>If Yes, please provide a full explanation in section A.1.3. below.</b></b>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<p><b>Requirement:</b>          (Ref: Section 4.1.1 of <a href="#">GHG Product Requirements</a>)          CPA shall conform to the relevant Activity Requirements and Gold Standard Approved Methodologies, including <a href="#">eligible CDM Methodologies</a>.</p> <p><b>RE rule update / RE PoA rule update:</b>          Grid connected Renewable Energy CPAs seeking to transition from another carbon crediting scheme to GS4GG or labelling of emission reductions under GS4GG are exempted from eligibility requirements listed in para 2.1.3 of the RE Activity Requirements. This exemption is only allowed to projects that started the first crediting period with the original carbon crediting scheme from 01/01/2016 or later but before 24/01/2020. (Ref: Section 2.1.1 and 2.1.2 of <a href="#">RU 2020 AR –RE V1.2</a>)</p> <p>Specific <a href="#">Renewable Energy Activity requirements</a> (refer to Annex A): Hydropower, biomass resources, landfill gas and biogas from agro-processing, wastewater and other residues, Waste Heat/Gas recovery, Fossil co-generation, Waste incineration and gasification, Waste handling and disposal.</p> <p>Specific <a href="#">Community Service Activity requirements</a> (refer to Annex A): Hydropower, biomass resources,</p>	

landfill gas and biogas from agro-processing, wastewater and other residues, Waste Heat/Gas recovery, Fossil co-generation, Waste incineration and gasification, Waste handling and disposal, Relighting, End-use fossil switching.		
<b>5  APPLICABILITY OF THE METHODOLOGY/TOOL VERSION</b>		
<b>1.5  </b>	Does the CPA apply an eligible GS methodology? Refer to list of the eligible methodologies <a href="#">here</a> .	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>1.6  </b>	Does the CPA apply the version of the methodology and applicable tools <b>applied for CDM/other standard registration or renewal</b> ?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>1.7  </b>	Does the CPA apply the latest version of the methodology and applicable tools applied in registered PoAs for inclusion of new VPAs after transition to GS4GG? <b>If Yes, please provide a full explanation in section B below. And note that the CPA cannot opt for option 2 mentioned transition approval procedure, above.</b>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<p><b>Requirement: (Ref: Annex B of <a href="#">GHG Product Requirements</a>)</b></p> <p>Transition CPA shall</p> <ol style="list-style-type: none"> <li>conform to the relevant <a href="#">Activity Requirements</a> and Gold Standard Approved <a href="#">Methodologies</a>, including eligible <a href="#">CDM Methodologies</a> referring to the inclusion criteria of registered PoA.</li> <li>also meet the additional GS4GG methodology eligibility requirements, where applicable. Refer to <a href="#">CDM Methodologies</a> for Gold Standard Eligibility Requirements, referring to the inclusion criteria of registered PoA.</li> </ol> <p>Transition CPA shall apply the version of GS approved CDM methodology or methodology tool for transition to GS4GG as follows;</p> <ol style="list-style-type: none"> <li>version applied for inclusion in the registered PoAs with other standard, OR</li> <li>latest version applied by the registered PoAs for inclusion of new VPAs after transition to GS4GG.</li> </ol> <p>Note that The Transition PoA may include the latest version of the methodology and applicable tool for inclusion of new VPA(s), at the time of first submission (preliminary review) or at any later stage of certification cycle, but before submitting the request for inclusion for new VPAs. In such cases, VVB shall validate the updated PoA and VPA documents as per applied version of the methodology and or methodology tool before or with the request for inclusion of new VPAs.</p>		
<b>6  DEMONSTRATION OF ADDITIONALITY</b>		
<b>1.8  </b>	Are you aware that the transitioning CPA will be required to demonstrate Ongoing Financial Need as per the relevant GS rules and requirements available at the time of renewal of crediting period? (Refer to para 4.1.51 – 4.1.53 of <a href="#">Principles &amp; Requirements</a> .)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>1.9  </b>	Does CPA meet the PoA inclusion criteria with respect to the additionality justification?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<p><b>Requirement:</b></p> <ul style="list-style-type: none"> <li>The CDM PoA/CPAs are not required to carry out additional assessment for demonstration of additionality over and above what has been done for registration/determination with the CDM unless the project falls into a category that is deemed non-additional in an applicable Gold Standard Activity Requirement. In such cases the relevant Activity Requirement shall take precedence. <b>Ref: Annex B <a href="#">GHG Product Requirements</a>.</b></li> <li>Transition PoA/CPAs registered with standards other than CDM are required to undergo additionality revalidation to re-establish the validity of the underlying assumptions applied in the demonstration of additionality at the time of registration with the other standard.</li> </ul>		

<p>- The PoA/CPAs seeking combined transition and renewal of crediting period with GS4GG are not required to demonstrate OFN at the time of transition but must demonstrate OFN at the time of Crediting Period renewal after transitioning to GS4GG.</p>		
<h2 style="margin: 0;">7  SUSTAINABLE DEVELOPMENT ASSESSMENT</h2>		
<b>7.1  </b>	Does the CPA positively contribute towards <b>minimum three Sustainable Development Goals (SDGs)</b> - SDG13 (mandatory) + two other SDGs?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>7.2  </b>	Have you identified the monitoring parameters linked with selected SDGs and corresponding SDG targets? For example – the monitoring parameter <u>Amount of GHGs emissions avoided or sequestered</u> is linked with SDG 13. Climate action, SDG target 13.2 Integrate climate change measures into national policies, strategies and planning.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<p><b>Fill section B.6. Sustainable Development Goals (SDG) outcomes and B.7 Monitoring plan, below for SDGs monitoring parameters not covered in registered CPA-DD with other standards.</b></p> <p><b>Fill Table 1 – Estimated Sustainable Development Contributions below.</b></p> <p><b>Requirement:</b>                      The transitioning CPA shall demonstrate a clear, direct contribution to sustainable development, defined as making demonstrable, positive impacts on at least three Sustainable Development Goals (SDGs), one of which must be SDG 13 (Ref: Section 4.(c) of <a href="#">Principles and Requirements</a>)</p> <p>Refer to Annex B, <a href="#">GHG Product Requirements</a> for further guidelines for transition projects.</p> <p><b>Guidelines:</b>                      Selected SDG impacts must not result from a one-off from design/construction/distribution/ start-up or decommissioning of the project.</p> <p><u>You may refer to /use the <a href="#">SDG impact Tool</a> (under consultation currently) to identify the relevant monitoring indicator, SDGs and corresponding SDG targets and design monitoring plan for identified indicators.</u></p>		
<h2 style="margin: 0;">8  START DATE AND DURATION OF THE CREDITING PERIOD</h2>		
<b>8.1  </b>	Has the crediting period of the transitioning CPA registered with other carbon standard/certification scheme changed and/or extended?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>8.2  </b>	Is the total duration of the crediting period of CPA (i.e. including period that had been issued under the host standard) less than/equal to the maximum crediting period allowed under relevant GS4GG activity requirements?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<p><b>Complete the section C.2.2 Total length of crediting period below.</b></p> <p><b>Requirement:</b></p> <ul style="list-style-type: none"> <li>- The crediting period of the transitioning CPA registered with other standards or certification schemes cannot be changed/extended.</li> <li>- Maximum crediting period allowed under GS4GG are as</li> </ul>		

CSA – 15 Yrs, RE – 15 Yrs, if not defined in activity requirement or applicable methodology – 10 Yrs.

- The start date of the GS crediting period shall be same as the start date of the CDM crediting period. (Annex B, [GHG Product Requirements](#))
- For a transitioning CPA the total duration of the crediting period, including the period that has been claimed under the host standard, shall not exceed the maximum crediting period allowed under relevant GS4GG activity requirements.

If a given CPA transitioning to GS4GG, was registered under Standard X with -

- fixed crediting period (10 years): The total crediting period (Standard X + GS4GG) must remain 10 years. The CPA can only claim remaining years of its 10-year crediting period after transitioning to GS4GG.
- renewable crediting period (7\*3 year): The total crediting period (Standard X + GS4GG) must be equal to that allowed under relevant GS4GG activity requirements. The CPA can only claim remaining years of the maximum allowed crediting period after transitioning to GS4GG. For example; the maximum crediting period allowed for renewable energy project is 15 years. A renewable energy CPA that has already claimed 5 years under Standard X can only claim remaining 10 years of the total 15 years of its allowed crediting period after transitioning to GS4GG
- For a transitioning CPA, the start date of the Gold Standard Crediting Period starts with crediting period start date with other standard or maximum two years before the date of first submission (submission for preliminary review), whichever occurs later.. (Ref: [GHG Product Requirements](#))
- All transition CPAs shall be renewed every 5 years. The first crediting period renewal under GS4GG takes into account the crediting years that has already been issued by other standard. For example, if a CPA start date with standard X is 01/01/ 2019, the CPA shall renew its crediting period with GS4GG on or before 1st Jan 2024, irrespective of date of transition approval with GS4GG.

## 9| SAFEGUARDING PRINCIPLES ASSESSMENT

<b>9.1  </b>	Does the CPA conform to the Gold Standard Safeguarding Principles and Requirements?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>9.2  </b>	Is there any risk and/or likely adverse outcomes of the project?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>9.3  </b>	If answer is yes for Q <b>Error! Reference source not found.</b> , can the project achieve requirements with regards to the relevant principle through design, management or risk mitigation?	<input type="checkbox"/> Yes <input type="checkbox"/> No
<b>9.4  </b>	If answer is yes for Q <b>Error! Reference source not found.</b> , have the Mitigation Measures added to the Monitoring Plan (if required)?	<input type="checkbox"/> Yes <input type="checkbox"/> No

**Complete the Annex 1 and section D. Summary of Safeguarding Principles below.**

**Requirement:** The transitioning project shall conform to the [Gold Standard Safeguarding Principles and Requirements](#). (Ref: Section 4.1.19 of [GS4GG Principles and Requirements](#))

**Guidelines:** The detailed Safeguarding Principles and Requirements checklist is available in Annex 1 of this document.

10  <b>STAKEHOLDER CONSULTATION REQUIREMENTS</b>	
<p><b>10.1  </b> Has the CPA conducted a Stakeholder Consultation in accordance with the requirements of <a href="#">Gold Standard Stakeholder Consultation &amp; Engagement Requirements?</a></p> <p>The answer to Q 10.1 is "No", if any of the questions below is answered as "No". The project should take the question(s) into account and address the gap when conducting supplementary stakeholder consultation to comply with GS4GG requirements.</p> <p>Please answer the below question with regards to the stakeholder consultation conducted to comply with CDM/other standard requirements?</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>10.2  </b> Did you conduct the stakeholder consultation before the CPA start date?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>10.3  </b> Did you discuss identified direct positive and negative impacts of the CPA with stakeholders?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>10.4  </b> Does the invited stakeholder covers all stakeholder groups (a to g) listed in paragraph 3.1.1. of <a href="#">STAKEHOLDER CONSULTATION AND ENGAGEMENT REQUIREMENTS</a> ?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>10.5  </b> Did the invitation methods solicit input from women and marginalised groups?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>10.6  </b> Were the stakeholders invited at least 30 days before the stakeholder meeting?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>10.7  </b> Did a local language version of the non-technical summary with information required as per paragraph 5.1.1. of <a href="#">STAKEHOLDER CONSULTATION AND ENGAGEMENT REQUIREMENTS</a> , shared with stakeholders?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>10.8  </b> Was a physical meeting conducted?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>10.9  </b> Was a gender lens applied to assessing comments? (for example, if only men provided comments on household device project, was this taken into consideration when assessing the relevance of the comment?)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>10.10  </b> Were any serious, reasonable and proportional concerns raised and taken into account and satisfactorily addressed?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>10.11  </b> Were any points that warrant 'Mitigation measures' marked as such and monitoring plan has been designed and included in the PDD?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>10.12  </b> Is the mandatory Continuous Input / Grievance Expression Process Book's location clearly stated (and therefore usable)?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>10.13  </b> Does PDD include a summary report of the comments received from local stakeholders?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<p><b>Complete the section <a href="#">E. Summary of Local Stakeholder Consultation</a> below.</b></p> <p><b>Requirement:</b> Ref: Section 4.1.25 of <a href="#">GS Principles and Requirements</a>.</p> <p><b>Guidelines:</b> CPA that conducted a stakeholder consultation meeting to comply with CDM/other</p>	

*standard requirements, should conduct, at minimum,*

- *one round of consultation for identified gaps i.e., gaps due to differences in stakeholder consultation requirements of GS4GG and CDM/other standard. For instance, if original consultations only involve one physical meeting, CME/PD should conduct a stakeholder feedback round covering all the identified gaps. The additional stakeholder consultations may involve a physical meeting or stakeholder feedback round, as necessary.*

*If COVID interim measures are applicable (currently till 30/06/2021), the physical meeting and stakeholder feedback round may be postponed, and a draft SCR shall be mandatorily submitted to cover the consultation activities carried out till date.*

## KEY PROJECT INFORMATION

GS ID of Project	GS11475
Title of Project	GS11450 – MicroEnergy Credits – Microfinance for Clean Energy Product Lines – India -MicroEnergy Credits PoA -VPA 03 – GS11475
Time of First Submission Date	04/01/2022
Date of Design Certification	29/11/2022
Version number of the VPA-DD	2
Completion date of version	21/11/2022
Coordinating/managing entity	Micro Energy Credits Corporation Private Limited
VPA Implementer (s)	Evangelical Social Action Forum (ESAF)
Project Participants and any communities involved	-
Host Country (ies)	India
GS ID and Title of applicable Design Certified VPA	
GS ID and Title of applicable Performance Certified VPA	
Activity Requirements applied	<input checked="" type="checkbox"/> Community Services Activities <input type="checkbox"/> Renewable Energy Activities <input type="checkbox"/> Land Use and Forestry Activities/Risks & Capacities <input type="checkbox"/> N/A
Scale of the project activity	<input type="checkbox"/> Micro scale <input checked="" type="checkbox"/> Small Scale <input type="checkbox"/> Large Scale
Other Requirements applied	-
Methodology (ies) applied and version number	AMS-III.AR “Substituting fossil fuel-based lighting with LED/CFL lighting systems (Version 7) Technologies and Practices to Displace Decentralized Thermal Energy Consumption (TPDDTEC), version 3.1
Product Requirements applied	<input checked="" type="checkbox"/> GHG Emissions Reduction & Sequestration <input type="checkbox"/> Renewable Energy Label <input type="checkbox"/> N/A



Project Cycle:	<input type="checkbox"/> Regular <input checked="" type="checkbox"/> Retroactive
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**Table 1 – Estimated Sustainable Development Contributions**

Sustainable Development Goals Targeted	SDG Impact (defined in B.6.)	Estimated Annual Average	Units or Products
13 Climate Action (mandatory)	Number of VERs	7,949 54,211	tCO <sub>2</sub> VERs-SLS tCO <sub>2</sub> VERs-ICS
1 End poverty in all its forms everywhere	Number of clean energy products distributed	17,831 79,846	ICS SLS
3 Good Health and Wellbeing	% Households confirming less smoke with the use of improved cookstove	100	%
5 Gender Equality	% Household reporting time saving on domestic work by women in collecting fuel or cooking on traditional stove	100	%
7 Affordable and Clean Energy	Number of households having operational clean energy technology	16,048 79,849	ICS SLS
8 Decent Work and Economic Growth	Total number of jobs created	20	jobs

## SECTION A. DESCRIPTION OF PROJECT

### A.1 Purpose and general description of project

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The project activity is implemented in India. In the rural areas in India, the predominant means of cooking are traditional cook stoves that use woody biomass as fuel. The smoke and fumes from these traditional inefficient stoves contribute heavily to indoor air

pollution, which overall claim approximately 400,000 lives per year in India<sup>2</sup>. In rural areas of India, households are either not connected to the grid or in households even with grid connectivity, there are frequent power outages and low voltage so rural households use kerosene for indoor lighting, which also contributes to indoor air pollution and GHG emissions.

The VPA involves marketing, distributing, and financing improved cookstove and solar lighting systems, for low-income households and microentrepreneurs in India. These products provide clean energy for cooking and renewable energy for lighting. The cookstoves distributed under the VPA replaces traditional cookstoves thereby reducing the amount of fuelwood used for cooking in the baseline by households and thus reducing GHG emissions corresponding to the fuelwood saving by the project activity. The solar lighting systems replace kerosene-based lamps in households, which would have resulted in GHG emissions due to burning of fossil fuel i.e. kerosene.

**Table A.1.1 Total stoves in operation over the crediting period-2**

Year	Total Improved Cookstove
20/03/2020-19/03/2021	17,831
20/03/2021-19/03/2022	17,831
20/03/2022-19/03/2023	17,831
20/03/2023-19/03/2024	17,831
20/03/2024-19/03/2025	17,831

**Table A.1.2 Solar Lighting system in Operation over the crediting period-2**

Year	Total Solar Lamps <sup>3</sup>
20/03/2020-19/03/2021	86,400
20/03/2021-19/03/2022	86,400
20/03/2022-19/03/2023	86,400
20/03/2023-19/03/2024	86,400
20/03/2024-19/03/2025	86,400

<sup>2</sup> <http://www.pciaonline.org/sierra-club>

<sup>3</sup> The total installations are 79,849 (i.e. 86,400 solar lamps). Each installation may have more than 1 lamp which is used for emission reduction calculation.

The program is a voluntary initiative coordinated by Micro Energy Credits Corporation Private Limited (MEC), the CME of the PoA, and implemented by MEC's Partner Organizations (PO). The improved cookstove are implemented by Evangelical Social Action Forum (ESAF)<sup>4</sup>. Several PO's have implemented the solar lighting systems. The exact number of solar lighting systems implemented will be made available at the time of verification as deployment of these systems is done in a phased manner depending on demand from clients.

Under this VPA, MEC works with project partners to develop a successful and diversified clean energy-lending program. The clean energy program addresses typical barriers for low-income clients including education, price, finance, and supply and aftersales service. MEC trains project partners to implement the clean energy lending program, as well as a robust and transparent carbon credit monitoring and tracking system to quantify and record the volume of carbon emission reductions created through the clean energy program. The carbon finance is used to expand and sustain the clean energy program through:

1. Client education and marketing
2. Internal training and capacity building
3. On lending funds to local SMEs producing the clean energy products
4. Aftersales service and maintenance
5. Lowering the interest or principal cost to the client

The goal of the VPA is to use carbon finance to enable installations of solar lanterns, and improved cook stoves in India.

The CME has approved the inclusion of the proposed VPA in the registered PoA and also confirms that the proposed VPA will not be part of another PoA or any single CDM/VCS/GS project activity.

The technologies/measures employed by the VPA - POs offers loans for a suite of Clean Energy Products ("CEP") including efficient cookstoves, and solar lighting systems.

The project boundary – The POs included in this CPA will be working in branches located in India (Coordinates: 20.5937°N 78.9629°E)

The baseline scenario –

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<sup>4</sup> [www.esafbank.com](http://www.esafbank.com)

**SOLAR LIGHTING:** This VPA involves the introduction of solar lighting systems into households and SMEs in several states in India to replace the main baseline fuel, kerosene.

**EFFICIENT STOVES:** The cookstoves distributed under the proposed VPA replace traditional cookstoves thereby reducing the amount of fuelwood used for cooking in the baseline by households.

#### A.1.1 Eligibility of the project under approved PoA

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No.	Eligibility criterion	Description/ Required condition	Means Verification/Supporting evidence for inclusion
1	Boundary and location of the VPA	The VPA is located within India	Location and boundary is specified in section A.2 of the VPA-DD stating that the location is limited to India and supported with GPS coordinates.  Document: Statement of CME that the location and boundary is within India and supported with GPS coordinates.
2	Project technology	VPAs involves use of following technology- 1. distribution of safe drinking water systems (HWT and CWT technologies) to residential area. 2. Distribution of improved cookstoves to households 3. Distribution of Solar lighting systems to households	The VPA-DD specifies the target end-user group and the technology being distributed i.e. Improved Cookstoves and Solar Lighting Systems in section A.3  Supporting evidence: Sales database and manufacturers specifications

<p>3 No Double counting of CEPs impacts within this PoA and across other registered or deregistered PoAs</p>	<p>A unique numbering or identification system for the CEP installed is applied. This shall ensure no double counting of CEPs within the same VPA and same PoA and ensure that CEP can be identified as belonging to this PoA and not to a PoA/ project activity registered under another voluntary or compliance GHG program or to a deregistered PoA</p>	<p>The unique numbering on each CEP supported by the individual distribution record matching such information is included in the specific VPA-DD and consistent with the PoA-DD</p>
	<p>A legally binding contract between CME and manufacturer/micro finance institution/POs would be required to ensure that all carbon title is transferred to the CME. This shall ensure that POs, stove/lamp manufacturers and distributors do not claim ERs separately.</p>	<p>A legally binding contract between CME and manufacturer/micro finance institution/POs would be established to ensure that all carbon title is transferred to the CME. A summary of projects registered with other standards-GS/VERRA/CDM and how they are not overlapping with this VPA has been presented in Table 1.2. Further, the VVB will be submitted with detailed information on registered projects with other standards which can be assessed and crosschecked during Validation.</p>
		<p>Document: Credit Tracker stove sales receipt showing CME and PO information, end user details including name and address and CEP ID number.</p>
		<p>Database of other similar projects registered with GS or other GHG programs shall be submitted to VVB</p>
		<p>A legally binding contract between CME and</p>

			manufacturer/micro finance institution/POs.
4	VER ownership	End users receiving CEP under the specific VPA contractually cede their rights to claim and own emission reductions to the CME of the PoA.	<p>The default CEP Booking Record is including the provision that emission reductions generated by the CEP are transferred from the end-user to the PO and ultimately owned by the CME. The receipts will clearly specify that carbon rights are ceded in favour of CME.</p> <p>Documents:</p> <ol style="list-style-type: none"> <li>1. Default Booking Record</li> <li>2. A legally binding contract between CME and end user to ensure that the carbon title from the use of CEP is transferred to the CME.</li> </ol>
5	No Double counting of VPA	The VPA is exclusively bound to the PoA. Confirmation that the programme activity has not been and will not be registered either as a single project activity or as a VPA under another registered PoA in other offset schemes nor the project activities that have been deregistered.	<p>A declaration from the CME on its letterhead has been provided that this VPA will not be part of another single CDM project activity or CPA/VPA under another PoA. In addition, declaration from VPA operators as part of their contract with the CME, stating that their activities are not registered as part of another single CDM project activity of CPA under another PoA.</p> <p>Evidence: Contract between the CME and MFI.</p>

6	Awareness and Agreement of those operating a CPA on PoA subscription	Contractual provisions to ensure that those operating the VPA are aware and have agreed that their activity is being subscribed to the PoA.	Contractual agreement for VPA operators, stating that they are aware and have agreed that their activity is being subscribed to the PoA
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In the case that the CME is not responsible for implementing the CPA, the organization responsible for CPA implementation, known as the Partner Organisation (PO), has signed a contractual agreement with the CME to participate in the PoA. This agreement:

- Defines the ownership of the carbon emission reduction rights
- Covers the PO's distribution and monitoring related responsibilities
- Confirms that the CEPs to be distributed under the VPA have not and will not be distributed under any other carbon project (CDM project, PoA or voluntary carbon market project)
- Cedes the PO's rights to the carbon credits generated from VPAs under the PoA to the CME

7	Non-diversion of ODA in case of	The CME and the VPA operator (in case of being and the VPA operator (in	Statement from the CME and the VPA operator (in
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	Public funding	different from the CME) shall confirm that there is no public funding or in the case of public funding, Or the Annex 1 party will confirm that funding is not a diversion of Official Development Assistance.	case of being different from the CME) that there is no public funding In the case that there is public funding, an Annex 1 party will confirm that funding is not a diversion of ODA.
			Document: ODA Declaration
8	Specification of the technology such as the level and type of service, as well as performance specification;	The VPAs will include the distribution of improved cookstoves which will replace inefficient cookstoves thereby improving the indoor air pollution levels.  The VPAs will include distribution of solar lighting systems which will replace kerosene lamps in baseline	Technological and performance specifications are given in section A.3 of the VPA-DD for the technologies included in the VPA.  The project technologies comply with the minimum specification requirements prescribed in the applied methodologies (as applicable).
9	VPA Start Date	The VPAs start date is as registered with the CDM from which the project is transitioning.  Please note that not all CEP installations may have been deployed at CPA inclusion stage, however the CEP start date can also be checked during verification. In the event that any deployed CEP is found not in line with CPA start date, those CEP will not be counted in the emission reduction calculation	Link of the UNFCCC webpage of the CDM PoA and VPAs to be shared with the VVB.

		The VPA can request issuance of GS-VERs or convert issued GS-CERs to GS-VERs for a retroactive period.	
10	VPA Crediting Period	<p>VPA starting date of the crediting period is date of inclusion into registered PoA or any date thereafter and crediting period not to exceed the PoA end date.</p> <p>Crediting period shall be 15 years in line with the Community Service Activity Requirements. The maximum crediting period includes the time that a project or VPA has been issued emission reductions under CDM.</p> <p>Each VPA shall provide verifiable evidence.</p>	<p>Maximum crediting period under Community Services Activity requirements is 15 years from start date i.e. 20/03/2015 to 19/03/2030.</p> <p>Details on years in which emission reductions were issued under CDM shall be provided to GS VVB.</p>
11	Approval of CPA by CME	CME approved each VPA to be included into its registered PoA.	A letter by CME giving approval for the VPA to be included into its registered PoA.
12	Target groups of the programme	The VPAs included in the PoA will involve distribution of WPS or ICS and/or SLS directly to the domestic end users individually or to community in case of WPS.	Sale invoices and agreement with the end user/community head by CME.
13	Additionality of VPAs	Additionality will be demonstrated in accordance with the paragraph 1.1.3 of Annex B (Positive List) of Community Services	The VPA is in compliance with item 1.1.3 of Annex B – positive list mentioned in the 'Community Services Activity Requirements', Version 1.2. It is solely

		Activity Requirements, version 1.2 "Project activities solely composed of isolated units where the users of the technology/measure are households or communities or institutions and where each unit results in $\leq 600$ MWh (1.8 GWh th) of energy savings per year or $\leq 600$ tonnes of emission reductions per year"	composed of isolated units (CEPs) where the users of the technology/ measure are households or communities or institutions and where each unit results in $\leq$ <ol style="list-style-type: none"> <li>1.8 GWh of thermal energy savings per year for Improved Cook Stoves (ICS),</li> <li>600 tCO<sub>2</sub> per year for Solar lamps (SLS).</li> </ol> Hence, according to paragraph 4.1.9 of the 'Community Services Activity Requirements', the VPA is deemed additional and therefore is not required to prove financial additionality at the time of Design Certification.
14	Sampling requirements for the PoA	The VPAs will follow the usage survey and sampling requirements/ guidelines of the applied methodology(ies) and UNFCCC guidelines on sampling and survey for Programme of Activities version 4.0	VPA-DD has incorporated the sampling procedure in section B.7.2 and sampled survey forms shall be provided to GS VVB.
15	Application Methodologies	of The methodologies that can be applied to a VPA include: <ul style="list-style-type: none"> <li>- AMS-I.A (version 14)</li> <li>- AMS-III.AR (version 6)</li> <li>- TPDDTEC (version 3.1)</li> <li>- Emission reduction from Safe Drinking Water Supply (version 1.0)</li> </ul>	The VPA applied the following combination of methodologies: <p>AMS-III.AR (version 7) and TPDDTEC (version 3.1).</p> <p>The justification for meeting each of the applicability criterion of the applied methodologies for both Improved Cookstoves and Solar Lighting Systems is given in section B.2 of the VPA-DD.</p>

Each VPA can implement these methodologies in isolation. In addition, the following combinations of methodologies are eligible under the PoA:

- AMS-I.A (Version 14) or AMS-III.AR (version 7) and TPDDTEC (version 3.1)
- AMS-I.A (Version 14) or AMS-III.AR (version 7) and Emission reduction from Safe Drinking Water Supply (version 1.0)

16	End User Group	The VPA is either aimed at households, community organisations (e.g. schools) or small/medium enterprises.	The VPA-DD identifies the target end-user group and describes the appropriate baseline scenario in subsequent sections of the VPA-DD.
17	Baseline parameters to be established at VPA level	Each VPA shall demonstrate how the baseline parameters for baselines not established at the PoA level (that applies for baselines and options not applicable at the first VPA at the time of PoA registration) that are to be calculated at the VPA level have been determined. Parameters to be monitored are listed in VPA-DD.	VPA-DD outlines the approach for establishing baseline parameters in sections B.6.2 and B.7.1 and provides supporting documents including copies of any official government reports, statistics or literature sources used for determining parameters. For local surveys or representative sampling the copies of questionnaires, sampling design etc. shall be provided to GS VVB.
18	Local Stakeholder Consultation	Local stakeholder consultation for VPA to be conducted prior to the VPA start date.	The summary of LSC has been provided in section E of the VPA-DD. Following supporting documents will be shared with the VVB

- VPA LSC report
- Record of invitations sent to the stakeholders
- Attendance sheet of the VPA LSC meeting

19	Scale of VPA	<p>VPAs under the PoA can either be small scale or large scale.</p> <p>In case of large scale VPAs, small scale threshold is applicable</p> <p>In case of small scale, the threshold limit as per GHG Emission Reduction &amp; Sequestration Product Requirements, shall be followed where maximum output capacity of distributed renewable energy generation technology shall not be more than 15MW (Type 1) or that achieve energy savings at a scale of no more than 60 GWh per year which is equivalent to 180 GWh(th) per year saving (Type II) or that achieve emission reductions at a scale of no more than 60k tCO<sub>2</sub> per year (TYPE III) for household/community/SME applications, Small Scale projects and VPAs, solely comprising of such distributed units are not required to demonstrate compliance with the applicable Small Scale thresholds at the</p>	<p>The VPA is small scale.</p> <p>Total installed capacity of Solar lighting systems (SLS) is within the small-scale Type I threshold of 15MW.</p> <p>Total annual energy saving is less than 180 GWhth per year.</p> <p>Product data sheets or specification or product information sheets from manufacturer are available.</p>
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aggregate level of the project and VPA, if VPAs are **NOT** applying suppressed demand baseline.<sup>5</sup>

Please note that not all solar lighting system or ICS may have been deployed at VPA inclusion stage, but the threshold however can also be checked during verification, and in case any deployed CEP type will be found not in line with the requirement, those CEPs will not be counted for emission reduction calculation.

20	Conditions to be met by each VPA regarding SDG outcomes assessment	The CME shall conduct the Sustainable Development Goals (SDGs) impact assessment at the VPA level as per Principles & Requirements	SDG outcome assessment shall be reported in the VPA-DD and shall be submitted to GS VVB.
21	Conditions to be met by each VPA regarding safeguarding principles	Projects shall conduct a Safeguarding Principles Assessment and conform to Gold Standard Safeguarding Principles and Requirements.	Safeguarding principles assessment report as provided in Appendix 1 of the VPA-DD
22	Conditions to confirm that technologies in VPAs are eligible	Specification of technology or measures, such as the level and type of service, as well as performance specification based on, intra alia,	Project technology along with technical specifications is outlined in section A.3 above

<sup>5</sup> <https://globalgoals.goldstandard.org/ru-2020-ssc-application-of-suppressed-demand/>

testing/certification

Analysis of other registries is carried out and the following projects are observed:

<b>GOLD STANDARD PROJECTS</b>	
<b>ID</b>	<b>Project Name</b>
858	Improved Cook Stoves CDM project of JSMBT
859	<u>Improved Cook Stoves CDM project of SAMUHA</u>
1021	Improved Woodstoves in Udaipur - Helping Women and Environment
1029	The Breathing Space Improved Cooking Stoves Programme India - VPA No. 02 Envirofit
1030	The Breathing Space Improved Cooking Stoves Programme India - VPA No. 03 Envirofit
1031	The Breathing Space Improved Cooking Stoves Programme India - VPA No. 04 Envirofit
3363	The Breathing Space Improved Cooking Stoves Programme India - VPA No. 05 Envirofit
3364	The Breathing Space Improved Cooking Stoves Programme India - VPA No. 06 Envirofit
3365	The Breathing Space Improved Cooking Stoves Programme India - VPA No. 07 Envirofit
3366	The Breathing Space Improved Cooking Stoves Programme India - VPA No. 08 Envirofit
3367	The Breathing Space Improved Cooking Stoves Programme India - VPA No. 09 Envirofit
1231	The Breathing Space Improved Cooking Stoves Programme India - VPA No. 01 Envirofit
2540	Laya Surakshana VER Project for Tribal Communities
3024	Dissemination of TLUD improved cook stoves in the Sundarbans India 2nd project
4270	GS1366 Micro Energy PoA VPA 5 BioLite Improved Stove Programme India
4291	The Breathing Space Improved Cooking Stoves Programme India - VPA No. 10 Envirofit
5046	The Breathing Space Improved Cooking Stoves Programme India - VPA No. 11 Envirofit
5417	The Breathing Space Improved Cooking Stoves Programme India - VPA No. 12 Envirofit
5418	The Breathing Space Improved Cooking Stoves Programme India - VPA No. 13 Envirofit
7466	Integrated Domestic Energy Systems (IDES) for Clean Energy access and clean cooking in rural households in India
10716	Improved Cook Stoves And Sustainable Charcoal Initiative, CPA 1
10821	<u>GS10818 - Dissemination of Improved Cookstoves in India by Greenway - Dissemination of Improved Cookstoves in Karnataka by Greenway - VPA001</u>
10466	<u>FCN GS PoA for Rural Communities VPA 04</u>
1226	Samuha
997	LAYA PADERU ENERGY EFFICIENT WOODSTOVES PROJECT
5312	FCN GS PoA for Rural Communities VPA 02
5291	DISSEMINATION OF IMPROVED COOK STOVES AND GENERATION OF CHARCOAL CPA2
4270	MICRO ENERGY POA, VPA 5 BIOLITE IMPROVED STOVE PROGRAMME, INDIA
3993	<u>Large Scale Dissemination of High Efficiency Improved Cookstoves in India</u>
3526	DISSEMINATION OF TLUD GASIFIER STOVES AND GENERATION OF CHARCOAL IN WEST BENGAL, FIRST CPA



1296	DISSEMINATION OF TLUD IMPROVED COOK STOVES IN THE SUNDARBANS, INDIA
1219	MICRO SCALE IMPROVED COOK STOVE PROJECT OF BAGEPALLI COOLIE SANGHA
<b>VCS</b>	
2415	Distribution of Improved cook stove - Phase 11
2533	Improved Cookstove Programme by SDG13 in India
2336	Energy Efficient Cook Stove Implementation in India
2427	Distribution of Improved cook stove - Phase VI
<b>Clean DEVELOPMENT MECHANISM</b>	
4478	Improved Cook Stoves CDM project of JSMBT
4772	Improved Cook Stoves CDM project of SAMUHA
8522	Distribution of Improved cook stove - Phase II
8654	Distribution of Improved cook stove - Phase III
8687	Distribution of Improved cook stove - Phase IV
8612	Micro scale Improved Cook stove Project of Bagepalli Coolie Sangha
8725	Distribution of Improved cook stove - Phase V
8745	Distribution of Improved cook stove - Phase 7
8732	Distribution of Improved cook stove - Phase VI
8746	Distribution of Improved cook stove - Phase 8
8771	Distribution of Improved cook stove - Phase 10
8769	Distribution of Improved cook stove - Phase 9
8808	Distribution of Improved cook stove - Phase 13
8807	Distribution of Improved cook stove - Phase 12
8806	Distribution of Improved cook stove - Phase 11
8810	Distribution of Improved cook stove- Phase 15
8809	Distribution of Improved cook stove - Phase 14
7958	Distribution of Improved cook stove - Phase I
9436	Distribution of Improved cook stove - Phase 20
9444	Distribution of Improved cook stove - Phase 24
9443	Distribution of Improved cook stove - Phase 22
9429	Distribution of Improved cook stove - Phase 16
9434	Distribution of Improved cook stove - Phase 18
9498	Distribution of Improved cook stove - Phase 25
9501	Distribution of Improved cook stove - Phase 23
9503	Distribution of Improved cook stove - Phase 21
9505	Distribution of Improved cook stove - Phase 19
9506	Distribution of Improved cook stove - Phase 17
8949	National Programme for Improved Cookstoves in India
<b>Solar Lighting System Projects</b>	
2699	D.light Rural Lighting Project
7281	Bundled project on distribution of solar energy lamps and replacement of Incandescent Light Bulbs (ICLs) with Compact Fluorescent Lamps (CFLs) by Green Village Ventures in different households in Barshi, Maharashtra, India
9488	Greenlight Solar PV Lighting India
2279	Rural Education for Development Society (REDS) CDM Photovoltaic Lighting Project

*Analysis of VCS/CDM/GS Registries<sup>6</sup>:*

<b>Requirement as per CDM project Standard for Programme of Activities V3.0</b>	<b>Solar Lamps</b>	<b>Efficient cookstoves</b>
It utilizes both a different measure and a different technology from those of the former project	There are 4 registered CDM projects (Reference number – 2699, 7281, 2279 and 9488), however, the geographical boundary and/or technology (specifically the solar lamp models) used in these project are different from the solar lamp models in this VPA <sup>7</sup> . Also, the solar models mentioned in the registered CDM project have been discontinued by the manufacturer and also have different technical specifications than the models included in the proposed VPA.	There are multiple Projects and Programme of Activities for Improved cookstoves in India under GS, VCS and CDM shown in table 1.2. There are 3 GS projects GS10821, GS10466, GS5312 and 1 VCS project ID2533 where the same technology type (stove model) is being distributed. However, either the geographical boundary or the start date is not same as CME. The last sale of ICS added to the VPAs by the CME is 23/03/2020 and the start date of all the VPAs mentioned earlier have start date after 15/05/2020. For all other projects, the geographical boundary and/or technology type used by these registered PAs and PoAs is different from the technology (Grameen Greenway manufactured cookstoves) used in the VPA.

<sup>6</sup> The detailed list of solar Lighting System and ICS projects registered under GS,VCS and CDM will be shared with VVB

<sup>7</sup> Associated evidence (product technical specifications) is submitted to the validating VVB to substantiate that the models are different.

It does not share or utilize any of the assets of the former project	The registered existing project activity solely utilizes the network of distributors and retailers to disseminate the products. However, the VPA relies extensively on microfinance channel to disseminate the products.	The registered existing project activity solely utilizes the network of distributors and retailers to disseminate the products. However, this VPA relies extensively on microfinance channel to disseminate the products.
It utilizes a different resource type compared to the former project	While the resource type is solar energy for both, the existing registered CDM project as well as this VPA, however, the resource (solar energy) is available in abundance and hence is not shared.	While the resource type is woody biomass for both, the existing registered CDM project as well as the VPA, however, the resource is available in abundance and hence is not shared.

The VPA meets the Community Services Activity Requirements, as follows -

Eligibility Criteria Category	Eligibility criterion - Required condition	Justification
<b>1. Eligible Project Types</b>	All CSA Projects shall lead to climate change mitigation and/or adaptation by providing or improving access to services/resources at the household or community or institution level. Eligible services include electricity and energy, water and sanitation, waste management, housing, etc.	The goal of the VPA is to distribute Improved Cookstoves (ICS), and Solar lighting systems (SLS) in the households/SMEs of the host country of India. Thus, the VPA leads to climate change mitigation (and other sustainable development impacts) by providing access to clean cooking and lighting technologies/services at the household and institution level.
<b>GENERAL ELIGIBILITY CRITERIA</b>		
<b>2. Type of project</b>	(b) End-use energy efficiency: Project activities that reduce energy requirements as compared to baseline scenario	The PoA involves distribution of energy efficient ICS and SLS thereby resulting in reduction of baseline energy

Eligibility Criteria Category	Eligibility criterion - Required condition	Justification
	without affecting the level and quality of services or products, where the end-user of the products and services are clearly identified and when the physical intervention is required at the user end. For example, efficient cooking, heating, lighting, etc.	requirements, without compromising the quality and level of services/products for households/SMEs
<b>3. Project Area, Boundary and scale</b>	Project Area and Boundary shall be defined in line with the applicable Impact Quantification Methodologies and Product Requirements.	<p>The project area is point location of CEP beneficiaries in the host country of the VPA. The project boundary will be limited to the geographical boundary of the host country of India.</p> <p>For the purpose of applying UNFCCC methodologies for quantification of GHG reductions, 'small scale' is:</p> <ul style="list-style-type: none"> <li>a. Type I: Renewable energy project activities with a maximum output capacity of 15 MW (or an appropriate equivalent)</li> <li>b. Type II: Energy-efficiency improvement project activities &lt; = 60 GWh(e) or 180 GWh(th) energy savings per year</li> <li>c. Type III: Other project activities not included in Type I or Type II &lt; = 60,000 tCO<sub>2</sub>eq per year</li> </ul> <p>The VPA involves Type I which shall not cross the above small-scale limits.</p> <p>Scale is no limit For Improved Cookstoves, since TPDDTEC methodology is followed.</p>

Eligibility Criteria Category	Eligibility criterion - Required condition	Justification
		However, the annual energy threshold is <180GWhth per year, hence the VPA is small scale.
<b>4. Legal Ownership</b>	<p>(a) Projects involving the distribution of a large number of devices for services such as heating, cooking, lighting, electricity generation, water treatment technology such as water filter, etc. shall provide a clear description of the ownership of the Products that are generated under Gold Standard Certification all along the investment chain. In line with the FPIC requirement, the proofs that end-users are aware of and willing to give up their rights on Products shall be provided.</p> <p>(b) The transfer of Product ownership shall be discussed during local stakeholder consultations for projects.</p>	<p>The CEP owners confirm that rights to the ownership of carbon credits reside with the CME according to the end user agreement /declaration form signed via monitoring app etc (refer Eligibility under GS4GG section above).</p> <p>This shall again be explained in future VPA-level LSC meetings.</p>
<b>ELIGIBILITY PRINCIPLES AND REQUIREMENTS</b>		
<b>Principle 3 – Stakeholder Inclusivity</b>	Projects shall have specific stakeholder consultation requirements for certain project types including, but limited to, hydropower and projects using biomass resource as given in Annex A of the document.	Not Applicable
<b>Principle 4 – Demonstration of Real Outcomes</b>	New Projects may seek Certification and receive Issuance of Gold Standard Certified Impact Statements or	The VPA has a maximum crediting period of 15 years (5 years + two renewals of 5 years) in line with the GS4GG

Eligibility Criteria Category	Eligibility criterion - Required condition	Justification
	Products for a maximum of two Design Certification Renewal Cycles i.e., a total of 15 years issuance.	Principles and Requirements. See section C.2.2.
<b>Principle 5 – Financial Additionality &amp; Ongoing Financial Need</b>	All projects seeking the issuance of Certified Impact Statements and/or Products shall demonstrate Financial Additionality in accordance with the Principles & Requirements and the applicable Product requirements.	Not applicable.  The additionality and need for ongoing financial need have been demonstrated using the applicable GS4GG Activity Requirements: Community Services Activity Requirements (v1.2) in section B.5

A.1.2. Legal ownership of products generated by the project and legal rights to alter use of resources required to service the project

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Participation in the VPA is voluntary for every beneficiary. If a household wishes to participate in the VPA, they confirm that MEC has full and uncontested legal ownership of the CO<sub>2</sub> emissions reductions (SDG 13) that are generated from the use of ICS/SLS distributed under the VPA.

MEC has the legal ownership of the Verified Emission Reductions (VERs) that are generated through the Gold Standard Certification. For each CEP distributed under the VPA, the beneficiary agrees at the time of distribution/dissemination of ICS/SLS that, in return for receiving a CEP, the ownership of emissions reductions and VERs lies with MEC. With effect to this, the carbon title for the product is signed off by end user directly to MEC waiving any claim or rights on carbon credits generated under the VPA.

Further, as per Annex A of GHG Emissions Reduction and Sequestration Product Requirements version 2.1, as of now there are no mandatory caps enforced in the host country, India for use of issued GS VERs.

## A.2. Location of project

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The products sold will be restricted to the boundary of the Republic of India. The ~~SSC-CPA~~ VPA project activities will involve households in many states of the host country. The location of each clean energy installation as per a GPS location or verified address will be recorded in Micro Energy Credit's Credit Tracker Platform.



Figure 1 Map of India

The location of each clean energy installation<sup>8</sup> as per a GPS location or verified address will be recorded in Micro Energy Credit's Credit Tracker Platform, which has been designed specifically for accelerating microfinance access to clean and efficient energy. These locations will define the more precise boundary of the project activities.

The Credit Tracker Platform is used to collect and store the information related to the unique identification number, location, installation date, and usage status of each clean energy product in the VPA, making it easy to identify, locate and verify any or all of the installations that pertain to the VPA. The MEC Credit Tracker Platform is a hosted internet service, limiting the risk of loss of data.

### A.3. Technologies and/or measures

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<sup>8</sup> Location is defined by one of the following sets of information:

- A. Precise GPS location of the household that purchases/installs clean energy product.
- B. GPS location within one mile of the household and credible address for household.
- C. Three of the following identifiers: purchaser name, household address, phone number, bank ID number, national ID number, product serial number, household GPS location, or GPS location within one mile of household.



### *Efficient Cookstoves*

There will be one model of efficient cook stoves disseminated under the proposed SSC-CPA VPA. In the absence of the project activity, the households with efficient cook stoves would have continued to use inefficient traditional cook stoves, including three-stone fires and conventional stoves built of mud/clay lacking a chimney and grate to provide energy for cooking. These stoves use firewood as the fuel. The efficiencies of these conventional stoves are low and are of the order of 10%<sup>9</sup>. The technical specifications<sup>8</sup> of the clean energy products are as follows -

The Greenway Grameen Smart Stove (GSSV3) is a single burner, high efficiency cook stove that delivers fuel savings up to 70% and minimizes harmful emissions of CO, CO<sub>2</sub> and Particulate Matter. The rated thermal efficiency is 25.19%<sup>10</sup>.

Grameen Greenway Smart Stove (GSSV3) –

Stove Body Size – 9.8" x 7.6" x 11.7"

Net weight: 2.5 kg

Life span under standard use conditions: Average 5 years



### Solar Lighting Systems

A variety of solar lighting systems will be offered under the proposed VPA. Households receiving these solar lighting systems are either not connected to the grid or have intermittent electricity supply from the grid resulting in use of kerosene for lighting in the baseline scenario.

#### 1. RAL Duron Mitva MS 322A

The technical specifications of this product are –

Type and Solar Panel Wattage: Polycrystalline/1.7W

Lighting Wattage – 1

Luminous flux output (Lumens): 85

Lumen maintenance (for 2,000 hours): 95.5%

Rated lamp life: greater than 10,000 hours

Lighting point (number of project lamps):1

<sup>9</sup> Footnote 24 of TPDDTEC v3.1

<sup>10</sup>As per stove testing results

Battery type/capacity-Lithium-ion/2.6Ah, 3.7V

Type of charge controller -PMW

Solar Run time(SRT): 4-5 hours

Warranty – 1 year

## 2. BPL SL 1300

The technical specifications of this product are –

Type and Solar Panel Wattage: Polycrystalline/1.3W

Lighting Wattage – 1.4

Luminous flux output (Lumens): 135

Lumen maintenance (for 2,000 hours): 95.5%

Rated lamp life: greater than 10,000 hours

Lighting point (number of project lamps):1

Battery type/capacity-Lithium Ferro Phosphate/1.6Ah, \*\*V

Type of charge controller -PMW

Solar Run time(SRT): 4 hours

Warranty – 1 year

## 3. RAL Duron Mitva MS352

The technical specifications of this product are –

Type and Solar Panel Wattage: Polycrystalline/3.4W

Lighting Wattage – 1.9

Luminous flux output (Lumens): 190

Lumen maintenance (for 2,000 hours): 95.75%

Rated lamp life: greater than 10,000 hours

Lighting point (number of project lamps):1

Battery type/capacity-Lead Acid Battery/5Ah, 3.7V

Type of charge controller -PMW

Solar Run time(SRT): 5hours

Warranty – 1 year

## 4. Greenlight Planet Home Lighting System (Sunking HLS)

The technical specifications of this product are –

Type and Solar Panel Wattage: Polycrystalline/6.3W

Lighting Wattage – 0.66

Luminous flux output (Lumens): 100  
Lumen maintenance (for 2,000 hours): 95.75%  
Rated lamp life: greater than 10,000 hours  
Lighting point (number of project lamps):1  
Battery type/capacity-Lithium ion/5.9Ah, 3.3V  
Type of charge controller -PMW  
Solar Run time(SRT): 4-5hours  
Warranty – 1 year

5. Greenlight Planet Pro2 (Sunking Pro2)

The technical specifications of this product are –  
Type and Solar Panel Wattage: Polycrystalline/3W  
Lighting Wattage – 3  
Luminous flux output (Lumens): 135  
Lumen maintenance (for 2,000 hours): 95.75%  
Rated lamp life: greater than 10,000 hours  
Lighting point (number of project lamps):1  
Battery type/capacity-Lithium Ferro-Phosphate/3Ah, 3.3V  
Type of charge controller -PMW  
Solar Run time(SRT): 4-5hours  
Warranty – 1 year

6. RAL Duron Mitva 16 B

The technical specifications of this product are –  
Type and Solar Panel Wattage: Polycrystalline/0.35W  
Lighting Wattage – 0.5  
Luminous flux output (Lumens): 50  
Lumen maintenance (for 2,000 hours): 95.75%  
Rated lamp life: greater than 10,000 hours  
Lighting point (number of project lamps):1  
Battery type/capacity-Lithium ion/0.55Ah, 3.2V  
Type of charge controller -PMW  
Solar Run time(SRT): 4-5hours  
Warranty – 1 year

7. RAL Duron Mitva MH536

The technical specifications of this product are –

Type and Solar Panel Wattage: Polycrystalline/2.5W

Lighting Wattage – 2

Luminous flux output (Lumens): 130

Lumen maintenance (for 2,000 hours): 95.75%

Rated lamp life: greater than 10,000 hours

Lighting point (number of project lamps):2

Battery type/capacity-Lithium ion/3.6Ah, 3.7V

Type of charge controller -PMW

Solar Run time(SRT): 4-5hours

Warranty – 1 year

8. BPL SL 1700

The technical specifications of this product are –

Type and Solar Panel Wattage: Monocrystalline/3W

Lighting Wattage – 1.4

Luminous flux output (Lumens): 165

Lumen maintenance (for 2,000 hours): 95.75%

Rated lamp life: greater than 10,000 hours

Lighting point (number of project lamps):1

Battery type/capacity-Lithium ion/2.2Ah, 7.2V

Type of charge controller -PMW

Solar Run time(SRT): 4-5hours

Warranty – 1 year

9. Duron Mitva Combo2 (RAL MS 322+ MS16)

The technical specifications of this product are –

Type and Solar Panel Wattage: Polycrystalline/2.5W

Lighting Wattage – 1.5

Luminous flux output (Lumens): 120

Lumen maintenance (for 2,000 hours): 95.75%

Rated lamp life: greater than 10,000 hours

Lighting point (number of project lamps):1

Battery type/capacity-Lithium ion/2.6Ah, 3.2V

Type of charge controller -PMW

Solar Run time(SRT): 4-5hours

Warranty – 1 year

10.RAL Duron Mitva MS 352 A

The technical specifications of this product are –

Type and Solar Panel Wattage: Polycrystalline/3.4W

Lighting Wattage – 2

Luminous flux output (Lumens): 148.8

Lumen maintenance (for 2,000 hours): 95.5%

Rated lamp life: greater than 10,000 hours

Lighting point (number of project lamps):1

Battery type/capacity-Lithium Ferro Phosphate/5Ah, 3.7V

Type of charge controller -PMW

Solar Run time(SRT): 5hours

Warranty – 1 year

11.Barefoot Power Go250

The technical specifications of this product are –

Type and Solar Panel Wattage: Polycrystalline/2.5W

Lighting Wattage – 1.6

Luminous flux output (Lumens): 135

Lumen maintenance (for 2,000 hours): 95.75%

Rated lamp life: greater than 10,000 hours

Lighting point (number of project lamps):1

Battery type/capacity-Lithium Ferro Phosphate/3.3Ah, 3.3V

Type of charge controller -PMW

Solar Run time(SRT): 6hours

Warranty – 1 year



Other models of solar lighting systems may also be offered under the VPA as long as they meet all the requirements of the methodology AMS.III.AR. v7. and the PoA eligibility criteria in the registered PoA-DD.

The following table provides information on how the project helps in reducing GHG emissions and contributes to SDGs

Sustainable Development Goals Targeted	How the project contributes to the identified SDG
13 Climate Action (mandatory)	The emissions from the project stove are less than the baseline stove. Similarly, SLS replace kerosene lanterns and reduce emissions. Therefore, GHG emissions are reduced.
1 No Poverty	The project stoves and SLS provides access to basic services (namely cooking and lighting) that are efficient and less polluting compared to baseline technologies/practices.
3 Good Health and Wellbeing	The project stoves results in lesser emissions of CO/PM2.5 therefore improving the indoor air quality and health of the end-users.
5 Gender Equality	The project results in reduced time for wood collection for women thereby freeing up time for them to utilize on other income generating/productive activities.
7 Affordable and Clean Energy	The project provides access to affordable and cleaner technologies, i.e., improved cookstoves for cooking and Solar lighting Systems for lighting purposes.
8 Decent Work and Economic Growth	Employment is generated in manufacturing, dissemination and maintenance of CEPs by the project.

#### A.4. Scale of the project

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The VPA is a small-scale project activity in line with the criteria laid out in the Community Services Activity Requirements.

For ICS

Parameter	Unit	Kerala	Reference/Source
Baseline Stove efficiency	%	10%	Footnote 24 as per TPDDTEC v3.1
Project stove efficiency	%	25.19%	Performance testing report for Grameen Greenway Jumbo Stove stove given by Indian Institute of Technology, School of Materials Science and Technology, Banaras Hindu University, dated 17/12/2015
Baseline Fuel consumption	T/family/year	2.66	Baseline KPT
Fuelwood savings	T/family/year	1.60	Calculated
Calorific value of biomass	TJ/T	0.0156	IPCC default
Energy savings per year	TJ/family/year	0.025	Calculated
Conversion factor	TJ/GWh	3.6	Default
Energy savings per improved cookstove	GWhth	0.0069	Calculated
Total energy savings from cookstoves (GWhth)	<b>124</b>	<180 GWhth/year	

For SLS

Total Solar Lamps operational at any time under the CPA	86,400
Total ER from the Solar Lights	7,949
Total number of solar devices till 60,000 threshold is reached	<b>6,52,174</b>
Small Scale Limit (tCO <sub>2</sub> )	60000
Each Solar Lamp (tCO <sub>2</sub> )	0.092

As evident from the above table the distribution under ICS activity is less than small scale threshold therefore is a small-scale activity and SLS distribution is also below small-scale threshold levels.

## A.5. Funding sources of project

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There is no public funding for the PoA or the VPA. No ODA funding will be used, as confirmed by signed ODA Declaration submitted to GS.

## SECTION B. APPLICATION OF APPROVED GOLD STANDARD METHODOLOGY (IES) AND/OR DEMONSTRATION OF SDG CONTRIBUTIONS

### B.1. Reference of approved methodology (ies)

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Technologies and Practices to Displace Decentralized Thermal Energy Consumption (TPDDTEC), version 3.1<sup>11</sup>

AMS-III.AR "Substituting fossil fuel-based lighting with LED/CFL lighting systems" (Version 7)<sup>12</sup>

CDM Tool 30: Calculation of the fraction of non-renewable biomass v3.0

### B.2. Applicability of methodology (ies)

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Improved Cookstoves- TPDDTEC ver 3.1			
1	Methodological criteria	The project boundary needs to be clearly identified, and the technologies counted in the project are not included in any other voluntary market or CDM project activity (i.e. no double counting takes place). In some cases there may be another similar activity within the same target	The location and boundary of the VPA is limited to the country of India. The VPA entails distribution of clean cookstoves to households and SMEs in the Indian state of Kerala. The location of each cookstove installation will be tagged through GPS or verified address of the end user will be recorded in Micro Energy Credit's Credit Tracker Platform.

<sup>11</sup> [Microsoft Word - 401.13 TPDDTEC V3.1 20170921 CLEAN.docx \(goldstandard.org\)](#)

<sup>12</sup> [AMS-III.AR \(UNFCCC\)](#)



		area. Project proponents must therefore have a survey mechanism in place together with appropriate mitigation measures so as to prevent any possibility of double counting.	Moreover, a legally binding contract between CME and manufacturer/micro finance institution/POs would be established to ensure that all carbon title is transferred to the CME.
2	Methodological criteria	The technologies each have continuous useful energy outputs of less than 150kW per unit (defined as the total useful energy delivered from start to end of operation of a unit divided by time of operation). For technologies or practices that do not deliver thermal energy in the project scenario but only displace thermal energy supplied in the baseline scenario, the 150kW threshold applies to the displaced baseline technology	The energy output of the improved cookstove in the VPA is less than 150kW.  Document: Manufacturer's specification/project data sheet
3	Methodological criteria	Using the baseline technology as a backup or auxiliary technology in parallel with the improved technology introduced by the project activity is permitted as long as a mechanism is put into place to encourage the removal of the old technology (e.g. discounted price for the improved technology) and the definitive discontinuity of its use. The project documentation must provide a clear description	The VPA involves distribution of technology to only those HHs which have dismantled or discontinued the use of baseline stoves. If an old technology remains in use in parallel with the improved cookstoves, the corresponding emissions shall be accounted for as part of the project emissions in the VPA-DD. CME will conduct campaigns as part of the incentive mechanism to

		of the approach chosen and the monitoring plan must allow for a good understanding of the extent to which the baseline technology is still in use after the introduction of the improved technology.	encourage removal of baseline stoves in place.
4	Methodological criteria	The project proponent must clearly communicate to all project participants the entity that is claiming ownership rights of and selling the emission reductions resulting from the project activity. For technology producers and the retailers of the improved technology or the renewable fuel in use, this must be communicated by contract or clear written assertions in the transaction paperwork. If the claimants are not the project technology end users, the end users will need to be informed and notified that they cannot claim for emission reductions from the project	<p>The CME will clearly communicate to the end users of project technologies (through means such as sales receipts and engagement with community representatives) that it shall have ownership rights of and selling the emission reductions resulting from the project activity.</p> <p>The default CEP Booking Record is including the provision that emission reductions generated by the CEP are transferred from the end-user to the PO and ultimately owned by the CME. The receipts will clearly specify that carbon rights are ceded in favour of the CME.</p>
5	Methodological criteria	Project activities making use of a new biomass feedstock in the project situation (e.g. shift from non-renewable to green charcoal, plant oil or renewable biomass briquettes) must comply with relevant Gold Standard specific	<p>Not Applicable.</p> <p>The project makes use of the existing non-renewable biomass for improved cookstoves. The amount of NRB used however will reduce in project scenario. No new biomass feedstock usage</p>

		requirements for biomass related project activities, as defined in the latest version of the Gold Standard rules. If the biomass feedstock is sourced from a dedicated plantation, the criteria must apply to both plantations established for the project activity AND existing plantations that were established in the context of other activities but will supply biomass feedstock.	is there in the project activity. Document: Monitoring and sample surveys
6	Methodological criteria	Adequate evidence is supplied to demonstrate that indoor air pollution (IAP) levels are not worsened compared to the baseline, and greenhouse gases (as listed in section 2.1) emitted by the project fuel/stove combination are estimated with adequate precision. The project fuel/stove combination may include instances in which the project stove is a baseline stove.	Sample surveys shall be carried out during annual monitoring to check IAP levels in the HHs.
7	Methodology criteria	Records of renewable fuel sales may not be used as sole parameters for emission reduction calculation, but may be used as data informing the equations in section 2.0 of this methodology. These records need to be correlated to data on distribution and results of field tests and surveys	Not Applicable. The project does not entail utilization of renewable fuel but makes use of the existing non-renewable biomass for improved cookstoves.

		confirming (a) actual use of the renewable fuel and usage patterns (such as average fraction of non-renewable fuels used in mixed combustion or seasonal variation of fuel types), (b) GHG emissions, (c) evidence of CO levels not deteriorating (d) any further factors effecting emission reductions significantly.	
<b>Solar Lighting Systems- AMS III.AR version 7.0</b>			
8	Technical requirement	This category comprises activities that replace portable fossil fuel based lamps (e.g. wick based kerosene lanterns) with battery-charged light-emitting diode (LED) or compact fluorescent lamps (CFL) based lighting systems in residential and/or non-residential applications (e.g. ambient lights, task lights, portable lights).	The VPA involves dissemination of solar lighting systems in households and SMEs in India. The end users included under this PoA are either not connected to the grid or have intermittent electricity supply from the grid resulting in use of wick based kerosene lanterns for lighting in the baseline scenario. Document: Baseline study Peer Literature
9	Methodological criteria	This methodology is applicable only to project lamps whose batteries are charged using the following options: (a) Charged by a renewable energy system included as part of the project lamp (e.g. a photovoltaic system or mechanical system such as a hand crank charger);	The VPA includes Solar lighting systems whose batteries are charged using a solar photovoltaic panel. Document: Manufacturers specification

		<p>(b) Charged by a standalone distributed generation system (e.g. a diesel generator set) or a mini-grid, i.e. that is not connected to a national or regional grid;</p> <p>(c) Charged by a grid that is connected to regional/national grid;</p> <p>(d) Charged by a combination of the methods described in options (a), (b) or (c) above.</p>	
10	Methodological criteria	<p>At a minimum, project lamps shall be certified by their manufacturer to have a rated average operational life of at least:</p> <p>(a) 5,000 hours for Option 1, paragraph 18;</p> <p>(b) 10,000 hours for Option 2, paragraph 19</p>	<p>The rated average operational life of the solar lamp models used in this VPA-DD are more than 10,000 hours as per the manufacturers specifications and therefore para 19 of the methodology will be followed.</p> <p>Document: Manufacturer specification</p>
11	Methodological criteria	<p>Rated average life is the life certified by the manufacturer or responsible vendor as being period over which the lamp's initial light output will decline by no more than 30 per cent. In addition, for project lamps charged using the options from paragraphs 3(c) or 3(d), if a grid that is connected to regional/national grid is one of the sources used to charge the project lamps, the manufacturer shall certify that the battery-</p>	<p>The VPA has specified the rated average life of Solar lamps and charging methods used.</p> <p>Document: Manufacturer specification</p>

		charging-circuit efficiency of the project lamps, at the time of the purchase, is at least 50 per cent. For project lamps charged under the options indicated in paragraph 3(b) or 3(d), if a mini-grid is one of the sources used to charge the project lamps and the mini-grid or distributed generation system is not entirely powered by renewable energy generation unit(s), the manufacturer shall certify that the project lamp's battery charging circuit efficiency, at the time of purchase, is at least 50 per cent.	
12	Methodological criteria	Project lamps shall meet warranty requirements of the Lighting Global Minimum Quality Standard. distributed to end-users. The full warranty terms shall be available in writing, in a regionally appropriate language and included with each unit.	A warranty card specifying the full warranty terms in regional language and English is issued to the end user for the SLS distributed.  Document: Warranty card
13	Methodological criteria	Project lamps shall meet or exceed the following minimum performance characteristics, which should be proven by third-party test results: (a) Light Output - luminous flux of 25 lumens or illuminance of 50 lux over an area $\geq 0.1$ m <sup>2</sup> when suspended at a distance of 0.75 meters or self-supported. The light	Third party test results for each SLS model distributed under the VPA will be provided to the GS VVB.  Document: Third party test reports

		<p>output over a 2,000 hour lumen maintenance test should not decline by more than 15%;</p> <p>(b) Run Time and Battery Capacity - Daily Burn Time (DBT) shall meet the following requirements:</p> <p>(i) DBT shall be equal to or greater than 4 hours;</p> <p>(ii) For charging Option 3(a) with solar PV, the DBT is defined by the Solar Run Time for the project lamp (as determined per paragraph 9(g));</p> <p>(iii) For other technologies in Option 3(a), the DBT is defined based on typical expected patterns of use;</p> <p>(iv) For charging Options 3(b) and 3(c):</p> <p>a. The maximum claimed DBT shall be less than or equal to the typical capabilities of the regional or local energy system at delivering reliable power sufficient for recharging;</p> <p>b. The autonomous (full battery) run-time of the project lamps shall be equal to or greater than 200 per cent of the DBT of the project lamps;</p> <p>c. The project lamp shall be fully recharged from a discharged state after eight hours of charging.</p>	
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14	Methodological criteria	<p>The project design document shall explain the proposed distribution method of the project lamps. It shall also explain how the proposed project activity shall:</p> <p>(a) Ensure that the replaced baseline lamps are those that directly consume fossil fuel. This can be done through documentation of the common practice of fuel usage for lighting in the project region (that demonstrates that fossil fuel is a commonly used fuel for lighting;</p> <p>(b) Encourage the consumers, targeted by the project activity, to use the project lamps and discourage hoarding;</p> <p>(c) Eliminate potential double counting of emission reductions that could occur, for example, if more than one entity (e.g. lamp manufacturers, suppliers of solar and/or battery equipment, etc.) claims credit for emission reductions for the project lamps. At a minimum, project lamps shall be marked as CDM project lamps;</p> <p>(d) Ensure compliance with prevailing regulations pertaining to the use and disposal of batteries.</p>	<p>a) Fossil fuel based lighting is a common practice across India. This has been well documented in this VPA-DD based on the peer reviewed literature and baseline study conducted by CME demonstrating that fossil fuel is the commonly used fuel for lighting. Also, for all the lamps distributed under the VPA, type of baseline lamps and fuel used in the lamps would be recorded at the time of distribution, as per the monitoring parameter Lamp<sub>baseline</sub> as described in the VPA-DD. Only those sales would be recorded as project lamps where the baseline is identified as consumption of fossil fuel for lighting.</p> <p>(b) Consumers are explained about the salient features of the product and are encouraged to use the products through disseminating the knowledge of the savings on fossil fuel. Consumers spend large proportion of their income on fossil fuels and the project lamps helps them avoid this expenditure. So there is a built in incentive for users to use the project lamps.</p> <p>(c) Each project lamps distributed under the</p>
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			<p>project is uniquely identified. For each of the lamps, records pertaining to three or more of the following identifiers: Purchaser name, household address, phone number, Household unique ID number, product unique identifier number, are captured and stored in the online product database. This is also an eligibility condition under the VPA.</p> <p>(d) There are no prevalent regulations in India. However, the CME and VPA implementer would follow any regulations that come up during the crediting period of the VPA.</p> <p>Document: Peer Literature Baseline Study Sales Database</p>
15	Methodological criteria	The project design document shall include the minimum requirements for the design specifications of project lamps.	<p>The VPA-DD includes design specifications of the SLS and as minimum include the following information:</p> <p>(a) Lamp wattage (in Watts) and luminous flux output (in lumens);</p> <p>(b) Rated lamp life (in hours);</p> <p>(c) Where applicable, the type and rated capacity of the renewable energy equipment used</p>

			<p>for battery-charging (in Watts);</p> <p>(d) Type, nominal voltage, and rated capacity of the batteries (in Ampere hours);</p> <p>(e) Type of charge controller (e.g. active or passive);</p> <p>(f) Autonomous time and DBT;</p> <p>(g) Solar Run Times(s) (SRT) for products with solar energy charging systems;</p> <p>(h) Where applicable, the amount of time to fully charge the product using mechanical means or a centralized charging system (e.g. the national grid);</p> <p>(i) Physical protection against environmental factors</p> <p>Document: Manufacture technical specification</p>
16	Methodological criteria	Measures are limited to those that result in emissions reductions of less than or equal to 60 kt CO <sub>2</sub> equivalent annually.	The solar lighting measures of the VPA result in emissions reductions of less than or equal to 60 kt CO <sub>2</sub> equivalent annually.

### B.3. Project boundary

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SOLAR:

Source	GHGs	Included?	Justification/Explanation
Baseline Project	Combustion of kerosene fuel used for light;	CO <sub>2</sub> Yes	Primary source of emissions
		CH <sub>4</sub> No	Minor source
		N <sub>2</sub> O No	Minor source

	Renewable energy source solar lamps used for light	CO <sub>2</sub>	No	Project activity does not involve consumption of fossil fuels or electricity therefore no CO <sub>2</sub> emissions are generated
		CH <sub>4</sub>	No	Minor source
		N <sub>2</sub> O	No	Minor source

#### IMPROVED COOKSTOVES

Source		GHGs	Included?	Justification/Explanation
Project Baseline scenario	Combustion of non-renewable biomass for cooking or heating	CO <sub>2</sub>	Yes	Important source of emissions
		CH <sub>4</sub>	Yes	Important source of emissions
		N <sub>2</sub> O	Yes	Important source of emissions
	Combustion of non-renewable biomass for cooking or heating	CO <sub>2</sub>	Yes	Important source of emissions
		CH <sub>4</sub>	Yes	Important source of emissions
		N <sub>2</sub> O	Yes	Important source of emissions

#### B.4. Establishment and description of baseline scenario

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##### BASELINE DESCRIPTION – SOLAR LIGHTING

According to Methodology AMS-III.AR (version 7), the energy baseline scenario is: the use of portable fossil fuel-based lamps (e.g. wick-based kerosene lanterns) in residential and/or non-residential applications (e.g. ambient lights, task lights, portable lights).

The baseline scenario identified in this VPA-DD will serve to calculate the emission reductions creditable from the installation of solar lighting applications, and the replacement of kerosene lanterns.

##### BASELINE SAMPLING DESIGN

The baseline survey was carried out in all states which are part of the VPA during transition. Representative branches are selected from these states from different POs operating in these regions. These branches were selected from 3 different clusters to have a good representation of geographical spread. A smaller set of sample population was selected randomly from 2-3 villages in each of the branch area.

Five staff members of MEC, all the 5-branch staff and head office staff from PO have been trained to conduct survey. The staff members chosen for the survey had prior experience of conducting on-field surveys and were familiar with local area, culture, and local language. MEC staff and branch staff of PO organization have in turn have trained the local field who are from the same villages who are familiar with local area. The training was adequately tailored to the baseline surveys and included an interactive discussion of questions with surveyors, going through the questions of the baseline survey questionnaire (data collection form), role plays as well as interview techniques. The geographical area was divided state-wise and each of CME staff supervising the on-field surveys carried out by the partner organizations branch staff.

Methodology for the sample survey:

1. The number of final samples taken i.e. 45 per state to meet 90% confidence interval, +/- 10% error margin.
2. The samples selected cover the states covered under the VPA at the time of submission for transition to GS.
3. A questionnaire was prepared in consultation with PO for conducting the survey. The questionnaire includes the name of the surveyed household member, address and ask questions on
  - a. Present lighting arrangement
  - b. Usage of kerosene for lighting needs
  - c. Number of kerosene lamps
  - d. Hourly usage of kerosene lamps
  - e. Power cut in the area
  - f. Issues/ problems faced while using kerosene lamp (health/financial/illumination)
4. MEC enumerators visited the selected households during the day (between 9 AM and 6PM) to ask them the questions and collect the answers.

#### **BASELINE DATA ANALYSIS**

Since solar sales in this VPA will be made in a phased manner across several states in India, and to ensure that the baseline requirements of the applied methodology AMS.III.AR. v7 and registered PoA-DD are met, the baseline is also one of the monitoring parameters in Section B.7.1 of the VPA-DD. As part of the monitoring, it will be recorded whether or not households being given the solar lighting system used kerosene in the pre-project scenario. Only those households that used kerosene for lighting in the baseline scenario are included in the VPA for crediting.

Further, to ensure that the baseline requirements of the methodology and the registered PoA-DD are still complied with by the VPA at the time of transition, a baseline survey was conducted by CME in September and October 2020. This survey was carried out through a random representative approach by considering end-users that have

expressed an interest in buying the solar products from Partner Organizations (PO) who are part of the VPA at the time of submission for transition.

All surveyed households responded that they used kerosene lamps in the baseline scenario. On an average the households burned kerosene lamps for 4-5hrs per day for their lighting needs.

Hence, it can be established that for households with solar lighting systems in the VPA, the baseline is use of kerosene.

## BASELINE DESCRIPTION – IMPROVED COOKSTOVES

### Calculation of Fraction of non-renewable biomass ( $f_{NRB}$ ) –

The use of three stone/open fire or conventional inefficient stoves / charcoal pots for cooking by the project stove users is the applicable pre-project scenario. Traditional biomass remains the main energy source for cooking in rural areas and poor urban clusters.

The baseline scenario identified in this VPA-PDD will serve to calculate the emission reductions creditable from the introduction of improved biomass cookstoves to replace traditional unimproved stoves used for cooking and heating water for drinking purposes at the household level.

A summary of baseline information for the state of Karnataka is provided in this Section. Parameters for the baseline scenario are established using data primarily from the Ministry of Environment and Forest report titled, “India State of the Forest Report” by the Forest Survey of India (FSI), as well as supporting sources identified below. The baseline scenario identified in this PoA-PDD will serve to calculate the emission reductions creditable from the introduction of improved biomass cookstoves to replace traditional unimproved stoves used for cooking and heating water for drinking purposes at the household level.

The baseline scenario for this project activity is derived using CDM methodological Tool 30 data from the above-mentioned study, including the calculation of  $f_{NRB,y}$ , the fraction of woody biomass saved by this project activity that can be established as non-renewable.

The methodology tool TOOL30, Calculation of the fraction of non-renewable biomass, Version 3.0 is used to determine the non-renewable biomass ( $f_{NRB}$ ). The tool provides guidance and step-wise procedure/method to calculate values of  $f_{NRB}$ . This tool is applied for calculating  $f_{NRB}$  that is used in baseline emissions in applicable methodologies that displaces the use of non-renewable biomass.

The tool is used by project proponent to calculate project specific  $f_{NRB}$  values for 6 Indian states. In this VPA, the  $f_{NRB}$  value estimated for the state of Kerala has been

included. The area where biomass is sourced is the geographical area of the state of Kerala encompassing all the districts of the state.

The fraction of woody biomass that can be established as non-renewable is determined using Equation 1 in Para 7 of the Tool.

$$f_{NRB} = \frac{NRB}{NRB+RB}$$

Where:

$f_{NRB}$	=	Fraction of non-renewable biomass of the state (%)
NRB	=	Quantity of non-renewable biomass consumed in the applicable area in the relevant period (tonnes)
RB	=	Quantity of renewable biomass that is available on a sustainable basis in the applicable area in the relevant period (tonnes)

The calculations of RB and NRB has been provided in an excel sheet to VVB. Since the stoves in this VPA are distributed in Tamil Nadu and Kerala state, the  $f_{NRB,y}$  value calculation has been shown individually for this state. Thus, the value of  $f_{NRB}$  for Kerala is 0.874.

### Baseline scenario for this VPA:

To ensure that the baseline requirements of the methodology and the registered PoA-DD are still complied with by the VPA at the time of transition, a baseline survey was conducted by CME between September to November 2020. This survey was carried out through a random representative approach by considering end-users that have expressed an interest in buying the improved cookstoves from Partner Organisations (PO).

The baseline survey along with Kitchen Performance Test (KPT) to determine baseline woody biomass consumption and the efficiency of the woody biomass baseline devices (three-stone fired) was carried out in the state of Kerala where cookstoves in this VPA are implemented. Five staff members of MEC, and head office staff from PO have were trained to conduct survey. The staff members chosen for the survey had prior experience of conducting on-field surveys and were familiar with local area, culture, and local language. MEC staff and branch staff of PO organization have in turn have trained the local field staffs called "Seva Prathinidhi" who are from the same villages familiar with local area. The training was adequately tailored to the baseline surveys/KPT and included an interactive discussion of questions with surveyors, going through the questions of the baseline survey questionnaire (data collection form) and KPT protocol, role plays as well as interview techniques. The baseline surveys have carried out throughout the month of September and November 2020 followed by KPT tests in November 2020. The geographical area was divided into two teams, with each team

comprising of a Seva Prathinidhi, Branch staff and CME staff who was supervising the field surveys.

Prior to conducting KPT measurements, the baseline surveys were carried out where the households were asked questions in regard to household size, cooking patterns, number of meals cooked, fuel and cooking device used. The surveyors verified the response given by the household related to the cooking devices by an on-site kitchen observation. All the surveys and KPTs were carried out in person visiting the households.

Methodology for the sample survey:

1. The number of final samples taken i.e. 100 for baseline survey to meet 90% confidence interval, +/- 10% error margin.
2. The samples selected include the states covered under the VPA at the time of submission for transition to GS.
3. A questionnaire was prepared in consultation with PO for conducting the survey. The questionnaire includes the HH member consent to interview and if he/she is the primary cook for the HH and has used the ICS, name and gender of the product owner, address and ask questions on
  - a) Type of cooking done (domestic/commercial)
  - b) Currently used cooking devices (three stoned fired, in-efficient mud stove, stove with chimney and grate, kerosene stove/ LPG/ Electric stove)
  - c) Number of stoves owned
  - d) When was the stove last used?
  - e) Does the stove has a chimney or grate?
  - f) Do you experience any increase in fuel consumption during a different season?
  - g) Count of meals cooked in a day
  - h) Other types of stove being used
  - i) Count of meals prepared with respective stove in a day in different seasons
  - j) Average cooking hours per day
  - k) Average baseline fuel wood consumption (through baseline kitchen performance test in selected households, methodology explained below in this section)
  - l) Purchase / collection of fuel wood
  - m) Person primarily responsible for fuel wood collection
  - n) Time spent in coking and collect fuel
  - o) Smoke emission from biomass stove in kitchen and health issues
  - p) Interest in buying an improved cookstove
4. MEC enumerators visited the selected households during the day (between 9 AM and 6PM) to ask them the questions and collect the answers.

Methodology for Kitchen Performance Tests

- Sample selection was done based on a clustering approach. Sample households were selected randomly from the states where cookstoves have been disseminated, but care was taken to select them from a smaller population focusing on one area so that the test houses are not too spread out geographically.
- 90 samples were selected per VPA per state (VPAs with cookstoves included) for baseline kitchen performance tests.
- Quantitative measurement of fuel wood which is the primary fuel, done with a weighing scale and its consumption was measured for 3 consecutive days in the sample households, visiting those households on 4 consecutive days
- If the sample houses use any other fuel type like LPG, Kerosene, electricity, etc., those were marked clearly, and their measurement taken into consideration.
- Following parameters were recorded:
  - The type of stove used for cooking; number of stoves present in the household are recorded.
  - Number of meals prepared per day to recorded to ensure there are no unusual cooking pattern in the sampled household.
  - Any seasonal variation in cooking pattern and fuel consumption like the availability of crop residue if any are recorded.
- Households were encouraged to prepare meals typical of the annual pattern to avoid unusually large meals during the test period.
- Each household was given a measured quantity of wood, using brand new weighing scale with precision of 5g for 0-10kgs and 10g for 10-50kgs for each of these days and after the day's cooking the remaining fuelwood is measured. The logs were created for initial quantity of wood and remaining wood after days' cooking to arrive at the consumption per day.
- Apart from measuring the wood cooking practices, meals prepared per day, fuel handling, etc., are also taken into consideration. Wood moisture levels are tested before stock of fuel being used in the kitchen tests.

The survey results showed that all households surveyed depend on three-stone fired traditional cookstove using fuelwood. Based on the baseline kitchen performance tests, the quantity of fuel consumed by traditional cookstoves using wood/wood residue in baseline scenario ( $P_{b,y}$ ) is 0.63 t/capita/year (7.28 kg/household-day) based on survey results. Further the survey revealed, each of the households used their three stone fired stove for cooking their daily meals two to three times a day. On an average the family size per household was 3-4 members comprising of adults and children with atleast 2 adults per household. None of the households were using any other forms of fuel other than firewood and had only one stove per household.

## B.5 Demonstration of additionality

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Specify the methodology, activity requirement or product requirement that	Community Requirements	Services (Version 1.2),	Activity paragraph
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<p>establishes deemed additionality for the proposed project (including the version number and the specific paragraph, if applicable).</p>	<p>4.1.9: "Projects that meet any of the following criteria are considered as deemed additional and therefore are not required to prove Financial Additionality at the time of Design Certification:</p> <p>(a) Positive list (Annex B) i.e. All VPAs will be solely composed of isolated units (CEPs) where the users of the technology/measure are households or communities or institutions and where each unit results in <math>\leq</math></p> <ul style="list-style-type: none"> <li>a. 1.8 GWh of thermal energy savings per year for ICS.</li> <li>b. 600 tCO<sub>2</sub> per year for SLS</li> </ul> <p>(b) Projects located in LDC, SIDS, LLDC</p> <p>(c) Micro-scale projects"</p>
<p>Describe how the proposed project meets the criteria for deemed additionality.</p>	<p>Project activities under the VPA are solely composed of isolated units where the users are households or institutions and where each unit results in GHG emission not exceeding 600 ton of CO<sub>2</sub>e in any year of the crediting period for SLS and 1.8 GWh (thermal) for ICS.</p> <p>Thus, the project activity meets the criteria (a) and is therefore deemed additional.</p>

### **B.5.1 Prior Consideration**

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N/A

### **B.5.2 Ongoing Financial Need**

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Ongoing financial Need is demonstrated through the arguments below.

For this VPA, carbon credits have been issued regularly since the start of the crediting period (i.e., from 20/03/2015 onwards) with no gaps. Due to a sensitive financial situation, the VPA will not be operational without regular influx of carbon revenue to

sustain its operations. Moreover, the revenue from carbon finance is used for the following purposes –

1. Maintenance of Clean Energy Officers and other personnel for continuous monitoring and upkeep of the products
2. MEC signs Carbon Use of Funds (CuOF) with partner organizations to ensure that the revenues are used to feed back into the Programme.

## B.6 Sustainable Development Goals (SDG) outcomes

Relevant Target/Indicator for each of the three SDGs

Sustainable Development Goals Targeted	Most relevant SDG Target	SDG Impact Indicator (Proposed or SDG Indicator)
13 Climate Action (mandatory)	Total greenhouse gas emissions per year	Emission Reductions
1 End poverty in all its forms everywhere	1.4 By 2030, ensure that all men and women, in particular the poor and the vulnerable, have equal rights to economic resources, as well as access to basic services, ownership and control over land and other forms of property, inheritance, natural resources, appropriate new technology and financial services, including microfinance	Number of households with clean energy products
3 Good Health and Well-being	3.9 By 2030, substantially reduce the number of deaths and illnesses from hazardous chemicals and air, water and soil pollution and contamination	Percentage of households confirming less smoke with the use of improved stove
5: Gender Equality	5.4 Recognize and value unpaid care and domestic work through reporting time saving on domestic work by women in	Percentage household reporting time saving on domestic work by women in

	the provision of public collecting fuel or cooking on services, traditional stove infrastructure and social protection policies and the promotion of shared responsibility within the household and the family as nationally appropriate	
7: Affordable and Clean Energy	7.1 By 2030, ensure universal access to affordable, reliable primary energy and modern energy services	Number of households with primary reliance on clean fuels and technology
8: Decent Work and Economic Growth	8.3 Promote development-oriented policies that support productive activities, decent job creation, entrepreneurship, creativity and innovation, and encourage the formalization and growth of micro-, small- and medium-sized enterprises, including through access to financial services	Total no of jobs created

### B.6.1 Explanation of methodological choices/approaches for estimating the SDG Impact

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#### SDG 1: No Poverty

Applied methodology/approach	Equation/calculation
1.4.1 Proportion of population living in households with access to basic services  Approach:	1. CEP distribution records:  Net Benefit (SDG 1) = $BSA_{\text{Project}} - BSA_{\text{Baseline}}$ Where:

<p>1. Monitor the number of households where CEPs are distributed under the project as an indicator of providing basic service access to households</p>	<p><math>BSA_{Baseline}</math> Number of households where CEPs were distributed in baseline = 0</p> <p><math>BSA_{Project}</math> Number of households where CEPs were distributed in Project</p>
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### SDG 3: Good Health and Well Being

Applied methodology/approach	Equation/calculation
<p>3.9.1 Mortality rate attributed to household and ambient air pollution</p> <p>Approach: Monitoring Surveys conducted to capture information on users' perception on reduction in health issues after shifting to project CEPs</p>	<p>Ex-post Monitoring Surveys to check change in health issues like reduction in smoke levels.</p> <p>Net Benefit (SDG 3) = <math>SPM_{HH,Project} - SPM_{HH,Baseline}</math></p> <p>Where:</p> <p><math>SPM_{HH,Baseline}</math> % HH reporting reduction in smoke while cooking on improved stove in baseline = 0</p> <p><math>SPM_{HH,Project}</math> % HH reporting reduction in smoke while cooking on improved stove in project</p>

### SDG 5: Gender Equality

Applied methodology/approach	Equation/calculation
<p>5.4.1 Proportion of time spent on unpaid domestic and care work, by sex, age and location</p> <p>Approach: Monitoring Surveys conducted to capture information on time savings due to reduced fuel collection needs after shifting to project ICS</p>	<p>Ex-post Monitoring Survey Records measuring time savings from reduced fuel collection, due to reduced fuel consumption in households</p> <p>Net Benefit (SDG 5) = <math>HHTS_{Project} - HHTS_{Baseline}</math></p> <p>Where:</p> <p><math>HHTS_{Project}</math> % HH reporting time saving from fuel collection due to reduced fuel consumption in project</p> <p><math>HHTS_{Baseline}</math> % HH reporting time saving from fuel collection due to reduced fuel consumption in baseline = 0</p>

### SDG 7: Affordable and Clean Energy

Applied methodology/approach	Equation/calculation
<p>7.1.2 Proportion of population with primary reliance on clean fuels and technology</p> <p>Approach: Monitor the number of ICS and SLS distributed under the project as an indicator of providing clean technology (relative to baseline stoves).</p>	<p>ICS and SLS distribution records</p> $\text{Net Benefit (SDG 7)} = \text{ACS}_{\text{Project}} - \text{ACS}_{\text{Baseline}}$ <p>Where:</p> <p><math>\text{ACS}_{\text{Baseline}}</math> Access to affordable and clean energy (Number of operating ICS and SLS units under Baseline) = 0</p> <p><math>\text{ACS}_{\text{Project}}</math> Access to affordable and clean energy (Number of operating ICS and SLS units under Project)</p>

### SDG 8: Decent Work and Economic Growth

Applied methodology/approach	Equation/calculation
<p>8.3.1 Proportion of informal employment in total employment, by sector and sex</p> <p>Approach: Recording the number of employees (male / female) in the project under administrative, sales, production and management positions</p>	<p>Employment records</p> $\text{Net Benefit (SDG 8)} = \text{QE IG}_{\text{Project}} - \text{QE IG}_{\text{Baseline}}$ <p>Where:</p> <p><math>\text{QE IG}_{\text{Baseline}}</math> Quantitative Employment and income generation (Number of person (male and female) hired under Baseline) = 0</p> <p><math>\text{QE IG}_{\text{Project}}</math> Quantitative Employment and income generation (Number of person (male and female) hired under Project)</p>

### SDG 13: Climate Action : Improved Cookstoves

Applied methodology/approach	Equation/calculation
<p>13.2.2 Amount of CO<sub>2</sub>e emissions reduced by the project per year</p> <p>Approach: TPDDTEC, version 3.1</p>	<p>The Emission Reductions can be calculated using the following equation:</p> <p>When the baseline fuel and the project fuel are the same and the baseline emission factor and project emission are considered the same, the overall GHG reductions achieved by the project activity in year y are calculated as follows:</p> $\text{ERY} = \sum_{b,p} (N_{p,y} * U_{p,y} * P_{p,b,y} * \text{NCV}_{b, \text{fuel}} * (f_{\text{NRB},b,y} * \text{EF}_{\text{fuel, CO2}} + \text{EF}_{\text{fuel, nonCO2}})) - \sum \text{LE}_{p,y}$

	<p>Where:</p> <p><math>\sum_{b,p}</math> Sum over all relevant (baseline b/project p) couples</p> <p><math>N_{p,y}</math> Cumulative number of project technology-days included in the project database for project scenario p against baseline scenario b in year y</p> <p><math>U_{p,y}</math> Cumulative usage rate for technologies in project scenario p in year y, based on cumulative adoption rate and drop off rate revealed by usage surveys (fraction)</p> <p><math>P_{p,b,y}</math> Specific fuel savings for an individual technology of project p against an individual technology of baseline b in year y, in tons/day, as derived from the statistical analysis of the data collected from the field tests</p> <p><math>f_{NRB,b,y}</math> Fraction of biomass used in year y for baseline scenario b that can be established as non-renewable biomass (drop this term from the equation when using a fossil fuel baseline scenario)</p> <p><math>NCV_{b,fuel}</math> Net calorific value of the fuel that is substituted or reduced (IPCC default for wood fuel, 0.015 TJ/ton)</p> <p><math>EF_{b,fuel,CO_2}</math> CO<sub>2</sub> emission factor of the fuel that is substituted or reduced. 112 tCO<sub>2</sub>/TJ for Wood/Wood Waste, or the IPCC default value of other relevant fuel</p> <p><math>EF_{b,fuel,nonCO_2}</math> Non-CO<sub>2</sub> emission factor of the fuel that is reduced</p> <p><math>LE_{p,y}</math> Leakage for project scenario p in year y (tCO<sub>2</sub>e/yr)</p> <p>Leakage, if applicable, will be assessed on the following points:</p> <ol style="list-style-type: none"> <li>The displaced baseline technologies are reused outside the project boundary in place of lower emitting technology or in a manner suggesting more usage than would have occurred in the absence of the project.</li> <li>The NRB or fossil fuels saved under the project activity are used by non-project users who previously used lower emitting energy sources.</li> <li>The project significantly impacts the NRB fraction within an area where other CDM or VER project</li> </ol>
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	<p>activities account for NRB fraction in their baseline scenario.</p> <p>d. The project population compensates for loss of the space heating effect of inefficient technology by adopting some other form of heating or by retaining some use of inefficient technology.</p> <p>By virtue of promotion and marketing of a new technology with high efficiency, the project stimulates substitution within households who commonly used a technology with relatively lower emissions, in cases where such a trend is not eligible as an evolving baseline.</p>
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### SDG 13: Climate Action: Solar Lighting Systems

Applied methodology/approach	Equation/calculation																		
13.2.2 Amount of CO <sub>2</sub> e emissions reduced by the project per year  Approach: AMS.I.A., version 14.0	<p>The emissions reductions for solar lighting projects under AMS-III.AR are determined from equation (5) of the methodology, mentioned below:</p> $ER_y = \sum_{i,j} N_{i,j} \times (BE_{y,i} - PE_{y,i,j}) \times (OF_{y,i,j})$ <table><tr><th>Parameter</th><th>Unit</th><th>Value</th></tr><tr><td>ER<sub>y</sub></td><td>tCO<sub>2</sub>e</td><td>Emission reductions in year y (</td></tr><tr><td>N<sub>i,j</sub></td><td>Number of project lamps</td><td>Number of project lamps distributed to end users of type <i>i</i> with charging method <i>j</i></td></tr><tr><td>BE<sub>y,i</sub></td><td>tCO<sub>2</sub>e</td><td>Baseline emissions per project lamp in year y</td></tr><tr><td>PE<sub>y,i</sub></td><td>tCO<sub>2</sub>e</td><td>Project emissions per project lamp in year y</td></tr><tr><td>OF<sub>y,i,j</sub></td><td>%</td><td>Percentage of project lamps distributed to end users that are operating and in service in year <i>y</i>, for each lamp type <i>i</i> and charging method <i>j</i>. Assumed to be equal to</td></tr></table>	Parameter	Unit	Value	ER <sub>y</sub>	tCO <sub>2</sub> e	Emission reductions in year y (	N <sub>i,j</sub>	Number of project lamps	Number of project lamps distributed to end users of type <i>i</i> with charging method <i>j</i>	BE <sub>y,i</sub>	tCO <sub>2</sub> e	Baseline emissions per project lamp in year y	PE <sub>y,i</sub>	tCO <sub>2</sub> e	Project emissions per project lamp in year y	OF <sub>y,i,j</sub>	%	Percentage of project lamps distributed to end users that are operating and in service in year <i>y</i> , for each lamp type <i>i</i> and charging method <i>j</i> . Assumed to be equal to
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ER <sub>y</sub>	tCO <sub>2</sub> e	Emission reductions in year y (																	
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OF <sub>y,i,j</sub>	%	Percentage of project lamps distributed to end users that are operating and in service in year <i>y</i> , for each lamp type <i>i</i> and charging method <i>j</i> . Assumed to be equal to																	

			100 per cent for years 1, 2 and 3, and equal to the value determined in paragraph 36, for years 4, 5, 6 and 7												
<p>The baseline emissions per project lamp in year <math>y</math> are calculated using equation (3) of the methodology, mentioned below:</p> $BE_y = DV \times GF_y \times DB_y$ <p style="text-align: right;">(Eq. 3)</p> <table border="1"> <thead> <tr> <th>Parameter</th> <th>Unit</th> <th>Value</th> </tr> </thead> <tbody> <tr> <td><math>BE_y</math></td> <td>tCO<sub>2</sub>e</td> <td>Baseline emissions per project lamp in year <math>y</math></td> </tr> <tr> <td>DV</td> <td>tCO<sub>2</sub>e per project lamp</td> <td>Lamp Emission Factor (default is 0.092 tCO<sub>2</sub>e per project lamp)</td> </tr> <tr> <td><math>GF_y</math></td> <td>-</td> <td>                     Grid Factor in year <math>y</math>,                     <ul style="list-style-type: none"> <li>• Equal to 1.0 when charging option defined in paragraph 3(a) is used;</li> <li>• Equal to 1.0 if the project activity is for off-grid households/communities (defined as no grid access or less than 12 hours grid availability per day on an annual average basis);</li> <li>• Otherwise it is equal to 1.0 minus (the fraction of time grid is available to the target households and communities/users in the region of project activity)</li> </ul> </td> </tr> </tbody> </table>				Parameter	Unit	Value	$BE_y$	tCO <sub>2</sub> e	Baseline emissions per project lamp in year $y$	DV	tCO <sub>2</sub> e per project lamp	Lamp Emission Factor (default is 0.092 tCO <sub>2</sub> e per project lamp)	$GF_y$	-	Grid Factor in year $y$ , <ul style="list-style-type: none"> <li>• Equal to 1.0 when charging option defined in paragraph 3(a) is used;</li> <li>• Equal to 1.0 if the project activity is for off-grid households/communities (defined as no grid access or less than 12 hours grid availability per day on an annual average basis);</li> <li>• Otherwise it is equal to 1.0 minus (the fraction of time grid is available to the target households and communities/users in the region of project activity)</li> </ul>
Parameter	Unit	Value													
$BE_y$	tCO <sub>2</sub> e	Baseline emissions per project lamp in year $y$													
DV	tCO <sub>2</sub> e per project lamp	Lamp Emission Factor (default is 0.092 tCO <sub>2</sub> e per project lamp)													
$GF_y$	-	Grid Factor in year $y$ , <ul style="list-style-type: none"> <li>• Equal to 1.0 when charging option defined in paragraph 3(a) is used;</li> <li>• Equal to 1.0 if the project activity is for off-grid households/communities (defined as no grid access or less than 12 hours grid availability per day on an annual average basis);</li> <li>• Otherwise it is equal to 1.0 minus (the fraction of time grid is available to the target households and communities/users in the region of project activity)</li> </ul>													



	DB <sub>y</sub>	<p>Dynamic Baseline Factor (change in baseline fuel, fuel use rate, and/or utilization during crediting period) in year y. Calculated as either:</p> <ul style="list-style-type: none"> <li>• Option 1: default of 1.0 in the absence of relevant information;</li> <li>• Option 2: value of 1.0+FFg where FFg is the documented national growth rate of kerosene fuel use in lighting from the preceding years (use the most recent available data for a three or five years average fraction)</li> </ul>						
	<p>In line with paragraph 29 of the methodology, there are no project emissions as the project lamp charging mechanism utilizes a renewable energy system (solar photovoltaic panel) included as part of the project lamp. Thus,</p> <p><math>PE_{y,i} = 0</math></p> <p>Here, the Lamp Emission Factor is determined through the following equation (2) of the methodology, mentioned below:</p> $DV = FUR \times O \times U \times EF \div 1000 \times LF \times n \times NTG$ <p style="text-align: right;">(Eq. 2)</p> <table border="1"> <thead> <tr> <th>Parameter</th><th>Unit</th><th>Value</th></tr> </thead> <tbody> <tr> <td>DV</td><td>tCO<sub>2</sub>e per project lamp</td><td>Lamp Emission Factor (default is 0.092 tCO<sub>2</sub>e per project lamp)</td></tr> </tbody> </table>		Parameter	Unit	Value	DV	tCO <sub>2</sub> e per project lamp	Lamp Emission Factor (default is 0.092 tCO <sub>2</sub> e per project lamp)
Parameter	Unit	Value						
DV	tCO <sub>2</sub> e per project lamp	Lamp Emission Factor (default is 0.092 tCO <sub>2</sub> e per project lamp)						

	FUR	liters/hour	Fuel use rate (0.03 liters/hour)
	O	hours/day	Utilization rate (3.5 hours/day)
	U	days/year	Annual utilization (365 days/year)
	EF	kgCO <sub>2</sub> /liter	Fuel emissions factor (2.4 kgCO <sub>2</sub> /liter)
	LF	-	Leakage factor (1.0)
	n	-	Number of fuel-based lamps replaced per project lamp (1.0)
	NTG	-	Net-to-gross adjustment factor (1.0)

## B.6.2 Data and parameters fixed ex ante

For Improved Cookstoves

### SDG13

Data/parameter	$P_{b,y}$
Unit	kg/household-day
Description	Quantity of fuel that is consumed in baseline scenario b during year y
Source of data	Baseline KPT
Value(s) applied	Kerala: 7.28
Choice of data or Measurement methods and procedures	See Section B.4
Purpose of data	For baseline emission calculations
Additional comment	Fixed ex-ante for entire crediting period

Data/parameter	$EF_{b,i,CO_2}$
Unit	tCO <sub>2</sub> /t <sub>fuel</sub>
Description	CO <sub>2</sub> emission factor arising from use of fuel type <i>i</i> in baseline scenario
Source of data	Methodology default, Other fuels: IPCC defaults

Value(s) applied	Fuelwood: 112 tCO <sub>2</sub> /TJ
Choice of data or Measurement methods and procedures	Default IPCC values have been applied
Purpose of data	For baseline emission calculations
Additional comment	-

Data/parameter	EF <sub>b,i,nonCO2</sub>				
Unit	tCO <sub>2</sub> /tfuel				
Description	Non-CO <sub>2</sub> emission factor arising from use of fuel type i in baseline scenario				
Source of data	IPCC default value as per 2006 IPCC Guidelines for National Greenhouse Gas Inventories volume 2, chapter 2 (Table 2.9)				
Value(s) applied	Wood: 37.25 tCO <sub>2</sub> e/TJ				
Choice of data or Measurement methods and procedures	Default IPCC values have been applied for wood				
	Parameter	Value	Average	Units	Source
	EF_wood_CH4	258 – 2190	1224	kgCO <sub>2</sub> /TJ	
	EF_wood_N2O	4 – 18.5	11.25	KgCO <sub>2</sub> /TJ	
	GWP CH4	28		tCO <sub>2</sub> /TJ	AR5
	GWP N2O	265		tCO <sub>2</sub> /TJ	AR5
	EF <sub>b,fuel non-CO2</sub>		37.25	tCO <sub>2</sub> /TJ	
Purpose of data	For baseline emission calculations				
Additional comment	-				

Data/parameter	EF <sub>p,i,CO2</sub>
Unit	tCO <sub>2</sub> /t <sub>fuel</sub>
Description	CO <sub>2</sub> emission factor arising from use of fuel type <i>i</i> in project scenario
Source of data	Wood: Methodology default, Other fuels: IPCC default
Value(s) applied	Fuelwood / wood chips: 112 tCO <sub>2</sub> /TJ
Choice of data or Measurement methods and procedures	Default IPCC values have been applied
Purpose of data	For project emission calculations
Additional comment	-

Data/parameter	EF <sub>p,i,nonCO2</sub>				
Unit	tCO <sub>2</sub> /TJ				
Description	Non-CO <sub>2</sub> emission factor arising from use of fuel type <i>i</i> used in project scenario				
Source of data	IPCC default, Chapter 2, Table 2.9				
Value(s) applied	37.25 tCO <sub>2</sub> e/TJ				
Choice of data or Measurement methods and procedures	Default IPCC values have been applied				
	Parameter	Value	Average	Units	Source
	EF_wood_CH4	258 – 2190	1224	kgCO <sub>2</sub> /TJ	
	EF_wood_N2O	4 – 18.5	11.25	KgCO <sub>2</sub> /TJ	
	GWP CH4	28		tCO <sub>2</sub> /TJ	AR5
	GWP N2O	265		tCO <sub>2</sub> /TJ	AR5
	EF <sub>b,fuel non-CO2</sub>		37.25	tCO <sub>2</sub> /TJ	
Purpose of data	For project emission calculations				
Additional comment	-				

Data/parameter	$NCV_{b,i}$
Unit	TJ/tonne
Description	Net calorific value of the fuel type <i>i</i> used in the baseline
Source of data	Methodology default: Wood Other fuels: - IPCC defaults
Value(s) applied	Fuelwood / wood chips: 0.0156 TJ/tonnes
Choice of data or Measurement methods and procedures	Default IPCC values have been applied
Purpose of data	For baseline emission calculations
Additional comment	-

Data/parameter	$NCV_{p,i}$
Unit	TJ/tonne
Description	Net calorific value of the fuel type <i>i</i> used in the project scenario
Source of data	Wood: Methodology default, Other fuels: IPCC defaults

Value(s) applied	Fuelwood / wood chips: 0.0156 TJ/tonnes
Choice of data or Measurement methods and procedures	Default IPCC values for wood / wood waste are applied.
Purpose of data	For project emission calculations
Additional comment	-

Data/parameter	$f_{NRB,b,i,y}$
Unit	Fractional non-renewability
Description	Non-renewability status of woody biomass fuel type $i$ that can be established as non-renewable during year $y$
Source of data	Calculations based on CDM methodological Tool 30
Value(s) applied	Fuelwood: 0.874 for Kerala
Choice of data or Measurement methods and procedures	N.A.
Purpose of data	For emission reduction calculations
Additional comment	The $f_{NRB}$ value has been calculated using the CDM Tool 30 for the VPA for this crediting period. The value will be fixed for the entire crediting period.

### For Solar Lighting Systems

Data/parameter	DV
Unit	tCO <sub>2</sub> e per project lamp
Description	Lamp Emission Factor
Source of data	Default value prescribed in AMS-III.AR (v7.0)
Value(s) applied	0.092 tCO <sub>2</sub> e per project lamp
Choice of data or Measurement methods and procedures	Methodology default value applied
Purpose of data	Calculation of baseline emissions

Additional comment

-

### B.6.3 Ex ante estimation of SDG Impact

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#### Calculations for Improved Cookstoves and Solar Lighting Systems

##### **SDG 1: No Poverty**

###### 1. ICS distribution records

$$\text{Net Benefit (SDG 1)} = \text{BSA}_{\text{Project}} - \text{BSA}_{\text{Baseline}}$$

Where:

$\text{BSA}_{\text{Baseline}}$  Average Number of ICS distributed in baseline = 0

$\text{BSA}_{\text{Project}}$  Average Number of ICS distributed in Project = 17,831

###### 2. SLS distribution records

$$\text{Net Benefit (SDG 1)} = \text{BSA}_{\text{Project}} - \text{BSA}_{\text{Baseline}}$$

Where:

$\text{BSA}_{\text{Baseline}}$  Average Number of SLS distributed in baseline = 0

$\text{BSA}_{\text{Project}}$  Average Number of SLS distributed in Project = 79,849

##### **SDG 3: Good Health and Well Being**

Ex-post Monitoring Surveys to check change in health issues like reduction in smoke levels.

$$\text{Net Benefit (SDG 3)} = \text{SPM}_{\text{HH,Project}} - \text{SPM}_{\text{HH,Baseline}}$$

Where:

$\text{SPM}_{\text{HH,Baseline}}$  % HH reporting reduction in smoke while cooking on improved stove in baseline = 0

$\text{SPM}_{\text{HH,Project}}$  % HH reporting reduction in smoke while cooking on improved stove in project = 100%

##### **SDG 5: Gender Equality**

Ex-post Monitoring Survey Records measuring time savings from reduced fuel collection, due to reduced fuel consumption in households

$$\text{Net Benefit (SDG 5)} = \text{HHTS}_{\text{Project}} - \text{HHTS}_{\text{Baseline}}$$

Where:

$HHTS_{Project}$  % HH reporting time saving from fuel collection due to reduced fuel consumption in project = 100%

$HHTS_{Baseline}$  % HH reporting time saving from fuel collection due to reduced fuel consumption in baseline = 0

## SDG 7: Affordable and Clean Energy

ICS distribution records

$$\text{Net Benefit (SDG 7)} = ACS_{Project} - ACS_{Baseline}$$

Where:

$ACS_{Baseline}$  Access to affordable and clean energy (Average Number of operating ICS units under Baseline) = 0

$ACS_{Project}$  Access to affordable and clean energy (Average Number of operating ICS units under Project) = 16,048

SLS distribution records

$$\text{Net Benefit (SDG 7)} = ACS_{Project} - ACS_{Baseline}$$

Where:

$ACS_{Baseline}$  Access to affordable and clean energy (Average Number of operating SLS units under Baseline) = 0

$ACS_{Project}$  Access to affordable and clean energy (Average Number of operating SLS units under Project) = 79,849

## SDG 8: Decent Work and Economic Growth

Employment records

$$\text{Net Benefit (SDG 8)} = QE\ IG_{Project} - QE\ IG_{Baseline}$$

Where:

$QE\ IG_{Baseline}$  Quantitative Employment and income generation (Number of person (male and female) hired under Baseline) = 0

$QE\ IG_{Project}$  Quantitative Employment and income generation (Number of person (male and female) hired under Project) = 20

## SDG 13: Climate Action (Improved Cookstoves)

The overall GHG reductions achieved by the project activity will be calculated as follows:

$$ER_y = \sum BE_{b,y} - \sum PE_{p,y} - \sum LE_{p,y}$$

Where:

$ER_y$  Emission reduction for total project activity in year y (tCO<sub>2</sub>e/yr)

$BE_{b,y}$  Baseline emissions for baseline scenario b in year y (tCO<sub>2</sub>e/yr)

$PE_{p,y}$  Project emissions for project scenario p in year y (tCO<sub>2</sub>e/yr)

$LE_{p,y}$  Leakage for project scenario p in year y (tCO<sub>2</sub>e/yr)

The project proponent must estimate emission reductions in the project documentation prior to validation using conservative assumptions for baseline and project scenario variables. The approach followed for ex-ante estimation is as follows:

$$BE_{b,y} = B_{b,y} * ((f_{NRB,y} * EF_{b,fuel, CO2}) + EF_{b,fuel, nonCO2}) * NCV_{b, fuel}$$

Where:

$BE_{b,y}$	Emissions for baseline scenario b during the year y in tCO <sub>2</sub> e
$B_{b,y}$	Quantity of fuel consumed in baseline scenario b during year y, in tons, as per by-default factors (cases with project performance field test only)
$f_{NRB,y}$	Fraction of biomass used during year y for the considered scenario that can be established as non-renewable biomass (drop this term from the equation when using a fossil fuel baseline scenario)
$NCV_{b,fuel}$	Net calorific value of the fuel that is substituted or reduced (IPCC default for wood fuel, 0.015 TJ/ton)
$EF_{b,fuel,CO2}$	CO <sub>2</sub> emission factor of the fuel that is substituted or reduced. 112 tCO <sub>2</sub> /TJ for Wood/Wood Waste, or the IPCC default value of other relevant fuel
$EF_{b,fuel,nonCO2}$	Non-CO <sub>2</sub> emission factor of the fuel that is substituted or reduced

EF can include a combination of emission factors from fuel production, transport, and use. CO<sub>2</sub> and non-CO<sub>2</sub> emissions factors for charcoal may be estimated from project specific monitoring or alternatively by researching a conservative wood to charcoal production ratio (from IPCC, credible published literature, project-relevant measurement reports, or project-specific monitoring) and multiplying this value by the pertinent EF for wood.

$$B_{b,y} = N_{p,y} * P_{b,y}$$

Where:

$N_{p,y}$	Project technology-days in the project database for project scenario p through year y
$P_{b,y}$	Specific fuel consumption for an individual technology in baseline scenario b during year y converted to tons/day

Project emission calculations are conducted as follows:

$$PE_{p,y} = B_{p,y} * ((f_{NRB,y} * EF_{p,fuel, CO2}) + EF_{p,fuel, nonCO2}) * NCV_{p, fuel}$$

Where:

$PE_{p,y}$  Emissions for project scenario p during year y in tCO<sub>2</sub>e



$B_{p,y}$	Quantity of fuel consumed in project scenario p during year y, in tons, and as derived from the statistical analysis conducted on the data collected during the project performance field tests (cases when no baseline performance field test are performed, e.g. by-default baseline factors)
$f_{NRB, y}$	Fraction of biomass used during year y that can be established as non-renewable biomass (drop this term from the equation when using a fossil fuel baseline scenario)
$NCV_{p,fuel}$	Net calorific value of the project fuel (IPCC default for wood fuel, 0.015 TJ/ton). This is equal to the baseline fuel NCV in projects which use the same fuel.
$EF_{p,fuel,CO2}$	CO <sub>2</sub> emission factor of the project fuel. This is equal to the baseline fuel EF in projects which use the same fuel, 112 tCO <sub>2</sub> /TJ for Wood/Wood Waste, or the IPCC default value of other relevant fuel
$EF_{p,fuel,nonCO2}$	Non-CO <sub>2</sub> emission factor of the project fuel. This is equal to the baseline fuel EF in projects which use the same fuel.

Whereas, ex-post the Emission Reductions can be calculated using the following equation:

1. When the baseline fuel and the project fuel are the same and the baseline emission factor and project emission are considered the same, the overall GHG reductions achieved by the project activity in year y are calculated as follows:

$$ERY = \sum_{b,p} (N_{p,y} * U_{p,y} * P_{p,b,y} * NCV_{b, fuel} * (f_{NRB,b, y} * EF_{fuel, CO2} + EF_{fuel, nonCO2})) - \sum LE_{p,y}$$

Where:

$\sum_{b,p}$	Sum over all relevant (baseline b/project p) couples
$N_{p,y}$	Cumulative number of project technology-days included in the project database for project scenario p against baseline scenario b in year y
$U_{p,y}$	Cumulative usage rate for technologies in project scenario p in year y, based on cumulative adoption rate and drop off rate revealed by usage surveys (fraction)
$P_{p,b,y}$	Specific fuel savings for an individual technology of project p against an individual technology of baseline b in year y, in tons/day, as derived from the statistical analysis of the data collected from the field tests
$f_{NRB,b, y}$	Fraction of biomass used in year y for baseline scenario b that can be established as non-renewable biomass (drop this term from the equation when using a fossil fuel baseline scenario)
$NCV_{b,fuel}$	Net calorific value of the fuel that is substituted or reduced (IPCC default for wood fuel, 0.015 TJ/ton)
$EF_{b,fuel,CO2}$	CO <sub>2</sub> emission factor of the fuel that is substituted or reduced. 112 tCO <sub>2</sub> /TJ for Wood/Wood Waste, or the IPCC default value of other relevant fuel
$EF_{b,fuel,nonCO2}$	Non-CO <sub>2</sub> emission factor of the fuel that is reduced
$LE_{p,y}$	Leakage for project scenario p in year y (tCO <sub>2</sub> e/yr)

Leakage, if applicable, will be assessed on the following points:

- The displaced baseline technologies are reused outside the project boundary in place of lower emitting technology or in a manner suggesting more usage than would have occurred in the absence of the project.
- The NRB or fossil fuels saved under the project activity are used by non-project users who previously used lower emitting energy sources.
- The project significantly impacts the NRB fraction within an area where other CDM or VER project activities account for NRB fraction in their baseline scenario.
- The project population compensates for loss of the space heating effect of inefficient technology by adopting some other form of heating or by retaining some use of inefficient technology.

By virtue of promotion and marketing of a new technology with high efficiency, the project stimulates substitution within households who commonly used a technology with relatively lower emissions, in cases where such a trend is not eligible as an evolving baseline.

Emission reduction calculation:

Parameter Symbol	Definition	Value	Units	Source
$f_{NRB,b,i,y}$	Non-renewability status of woody biomass fuel type $i$ that can be established as non-renewable during year $y$	Kerala-0.874	Fraction	The value for the state of Karnataka using CDM Tool 30 version 3.0
$NCV_{b,wood}$	Net calorific value of the fuel that is substituted or reduced	0.0156	TJ/tonne	IPCC default value
$EF_{p,i,CO_2}$	CO <sub>2</sub> emission factor of the fuel that is substituted or reduced	112	tCO <sub>2</sub> /TJ	Default
$EF_{p,i,non-CO_2}$	Non-CO <sub>2</sub> emission factor of the fuel that is reduced	37.25	tCO <sub>2</sub> /TJ	Default
$P_{p,b,y}$	Specific fuel savings for an individual technology of project $p$ against an individual technology of baseline $b$ in year	KL-0.0044	tonnes /HH/day	Based on calculation using baseline KPT results and efficiency of baseline and project cookstove

	y, in tons/day			
Up,y	Cumulative usage rate for technologies in project scenario p in year y	0.90	Fraction	Survey results
N	Total number of stoves disseminated	1	-	Estimated
N <sub>p,y</sub>	Cumulative number of project technology-days included in the project database for project scenario p against baseline scenario b in year y	365	-	Estimated
Leakage emissions	Leakage for project scenario p in year y (tCO <sub>2</sub> e/yr)	0	-	Estimated
ER	Emission reductions	3.04	tCO <sub>2</sub>	Calculated

Total Emission reductions for improved cookstove for year 1 CP2 = 54,211 tCO<sub>2</sub>

### SDG 13: Climate Action (Solar Lighting Systems)

The emissions reductions for solar lighting projects under AMS-III.AR are determined from equation (5) of the methodology, mentioned below:

$$ER_y = \sum_{i,j} N_{i,j} \times (BE_{y,i} - PE_{y,i,j}) \times (OF_{y,i,j})$$

Parameter	Unit	Type	Value
ER <sub>y</sub>	tCO <sub>2</sub> e	Calculated	Emission reductions in year y
N <sub>i,j</sub>	Number of project lamps	Determined from sales database	Number of project lamps distributed to end users of type <i>i</i> with charging method <i>j</i>
BE <sub>y,i</sub>	tCO <sub>2</sub> e	Calculated	Baseline emissions per project lamp in year y
PE <sub>y,i</sub>	tCO <sub>2</sub> e	Calculated	Project emissions per project lamp in year y
OF <sub>y,i,j</sub>	%	Monitoring	Percentage of project lamps distributed to

		surveys	end users that are operating and in service in year $y$ , for each lamp type $i$ and charging method $j$ . Assumed to be equal to 100 per cent for years 1, 2 and 3, and equal to the value determined in paragraph 36, for years 4, 5, 6 and 7
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To calculate total emission reductions, baseline emissions must be aggregated across all lamps in use in the period under consideration. This is done using the following equations:

Total baseline emissions for period  $v$  are calculated as the sum of the baseline emissions of each lamp type  $i$  in the period:

$$BE_y = DV \times GF_y \times DB_y \quad (\text{Eq. 3})$$

Parameter	Unit	Type	Value
$BE_y$	tCO <sub>2</sub> e	Calculated	Baseline emissions per project lamp in year $y$
DV	tCO <sub>2</sub> e per project lamp	Default value/calculated	Lamp Emission Factor (default is 0.092 tCO <sub>2</sub> e per project lamp)
$GF_y$	-	Default value	Grid Factor in year $y$ , <ul style="list-style-type: none"> <li>Equal to 1.0 when charging option defined in paragraph 3(a) is used;</li> <li>Equal to 1.0 if the project activity is for off-grid households/communities (defined as no grid access or less than 12 hours grid availability per day on an annual average basis);</li> <li>Otherwise it is equal to 1.0 minus (the fraction of time grid is available to the target households and communities/users in the region of project activity)</li> </ul>
$DB_y$	-	Default value	Dynamic Baseline Factor (change in baseline fuel, fuel use rate, and/or utilization during crediting period) in year $y$ . Calculated as either:

			<ul style="list-style-type: none"> <li>Option 1: default of 1.0 in the absence of relevant information;</li> <li>Option 2: value of 1.0+FFg where FFg is the documented national growth rate of kerosene fuel use in lighting from the preceding years (use the most recent available data for a three or five years average (fraction))</li> </ul>
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Here, the Lamp Emission Factor is determined through the following equation (2) of the methodology, mentioned below:

$$DV = FUR \times O \times U \times EF \div 1000 \times LF \times n \times NTG$$

Parameter	Unit	Type	Value
DV	tCO <sub>2</sub> e per project lamp	Default value/calculated	Lamp Emission Factor (default is 0.092 tCO <sub>2</sub> e per project lamp)
FUR	liters/hour	Default value	Fuel use rate (0.03 liters/hour)
O	hours/day	Default value	Utilization rate (3.5 hours/day)
U	days/year	Default value	Annual utilization (365 days/year)
EF	kgCO <sub>2</sub> /liter	Default value	Fuel emissions factor (2.4 kgCO <sub>2</sub> /liter)
LF	-	Default value	Leakage factor (1.0)
n	-	Default value	Number of fuel-based lamps replaced per project lamp (1.0)
NTG	-	Default value	Net-to-gross adjustment factor (1.0)

In line with paragraph 29 of the methodology, there are no project emissions as the project lamp charging mechanism utilizes a renewable energy system (solar photovoltaic panel) included as part of the project lamp. Thus,

$$PE_{y,i} = 0$$

Parameter	Definition	Value	Unit	Source
-----------	------------	-------	------	--------

$N_{i,j}$	Number of solar lamps type i	1	Number	MEC tracker platform
DV	Lamp Emission Factor	0.092	t CO <sub>2</sub> /project lamp	Default as per methodology AMS III.AR
GF	Grid Factor	1		Default as per methodology AMS III.AR for the lamps using Solar PV for charging
DB	Dynamic Baseline Factor	1		Default as per methodology AMS III.AR in absence of relevant information
BE	Baseline Emissions per Lamp	0.092	t CO <sub>2</sub> /project lamp	Calculated
PE	Project Emissions per lamp	0	tCO <sub>2</sub> /project lamp	Default as per methodology AMS III.AR for the lamps using Solar PV for charging
$OF_{y,i,j}$	Percentage of project lamps distributed to end users that are operating and in service in year y, for each lamp type i and charging method j. Assumed to be equal to 100 per cent for years 1, 2, 3	100%	%	Default as per methodology AMS III.AR for the first three years. Ex post monitoring surveys to determine percentage of project lamps distributed to end users that are operating and in service shall be conducted during the third year of the crediting period.
ER	Emissions reductions generated by each lamp	<b>0.092</b>	<b>tCO<sub>2</sub>e /project lamp</b>	Calculated

Leakage: No leakage emissions from solar lighting systems

Total (projected) emission reductions for solar lighting for Year 1 of Crediting Period 2= 7,949 tCO<sub>2</sub>e.

### B.6.4 Summary of ex ante estimates of each SDG outcome

For Improved Cookstoves

SDG 1: No Poverty

Year	Baseline estimate	Project estimate	Net benefit
Year 1	0	17,831	17,831
Year 2	0	17,831	17,831
Year 3	0	17,831	17,831
Year 4	0	17,831	17,831
Year 5	0	17,831	17,831
<b>Total</b>	<b>0</b>	<b>17,831</b>	<b>17,831</b>
<b>Total number of crediting years</b>	<b>5</b>		
<b>Annual average over the crediting period</b>	0	17,831	17,831

SDG 3: Good Health and well being

Year	Baseline estimate	Project estimate	Net benefit
Year 1	0	100%	100%
Year 2	0	100%	100%
Year 3	0	100%	100%
Year 4	0	100%	100%
Year 5	0	100%	100%
Total	0	100%	100%
<b>Total number of crediting years</b>	<b>5</b>		
<b>Annual average over the crediting period</b>	<b>0%</b>	<b>100%</b>	<b>100%</b>

SDG 5: Gender Equality

Year	Baseline estimate	Project estimate	Net benefit
Year 1	0	100%	100%
Year 2	0	100%	100%
Year 3	0	100%	100%
Year 4	0	100%	100%
Year 5	0	100%	100%
<b>Total</b>	<b>0</b>	<b>100%</b>	<b>100%</b>
<b>Total number of crediting years</b>	<b>5</b>		
<b>Annual average over the crediting period</b>	<b>0%</b>	<b>100%</b>	<b>100%</b>

SDG 7: Affordable and Clean Energy

Year	Baseline estimate	Project estimate	Net benefit
Year 1	0	16,048	16,048
Year 2	0	16,048	16,048
Year 3	0	16,048	16,048
Year 4	0	16,048	16,048
Year 5	0	16,048	16,048
<b>Total</b>	<b>0</b>	<b>16,048</b>	<b>16,048</b>
<b>Total number of crediting years</b>	<b>5</b>		
<b>Annual average over the crediting period</b>	<b>0</b>	<b>16,048</b>	<b>16,048</b>

SDG 13: Climate Action

Year	Baseline estimate	Project estimate	Net benefit
Year 1	54,211	0	54,211



Year 2	54,211	0	54,211
Year 3	54,211	0	54,211
Year 4	54,211	0	54,211
Year 5	54,211	0	54,211
<b>Total</b>	<b>271,053</b>	<b>0</b>	<b>271,053</b>
<b>Total number of crediting years</b>	<b>5</b>		
<b>Annual average over the crediting period</b>	<b>54,211</b>	<b>0</b>	<b>54,211</b>

For Solar Lighting Systems

SDG 1: No Poverty

Year	Baseline estimate	Project estimate	Net benefit
Year 1	0	79,849	79,849
Year 2	0	79,849	79,849
Year 3	0	79,849	79,849
Year 4	0	79,849	79,849
Year 5	0	79,849	79,849
<b>Total</b>	<b>0</b>	<b>79,849</b>	<b>79,849</b>
<b>Total number of crediting years</b>	<b>5</b>		
<b>Annual average over the crediting period</b>	<b>0</b>	<b>79,849</b>	<b>79,849</b>

**SDG 7: Affordable and Clean Energy**

Year	Baseline estimate	Project estimate	Net benefit
Year 1	0	79,849	79,849
Year 2	0	79,849	79,849
Year 3	0	79,849	79,849

Year 4	0	79,849	79,849
Year 5	0	79,849	79,849
<b>Total</b>	<b>0</b>	<b>79,849</b>	<b>79,849</b>
<b>Total number of crediting years</b>	<b>5</b>		
<b>Annual average over the crediting period</b>	<b>0</b>	<b>79,849</b>	<b>79,849</b>

### SDG 13: Climate Action

Year	Baseline estimate	Project estimate	Net benefit
Year 1	7,949	0	7,949
Year 2	7,949	0	7,949
Year 3	7,949	0	7,949
Year 4	7,949	0	7,949
Year 5	7,949	0	7,949
<b>Total</b>	<b>39,744</b>	<b>0</b>	<b>39,744</b>
<b>Total number of crediting years</b>	<b>5</b>		
<b>Annual average over the crediting period</b>	<b>7,949</b>	<b>0</b>	<b>7,949</b>

### SDG 8: Decent Work and Economic Growth<sup>13</sup>

Year	Baseline estimate	Project estimate	Net benefit
Year 1	0	20	20
Year 2	0	20	20
Year 2	0	20	20

<sup>13</sup> Total employment generation irrespective of technology type

Year 4	0	20	20
Year 5	0	20	20
<b>Total</b>	<b>0</b>	<b>20</b>	<b>20</b>
<b>Total number of crediting years</b>	<b>5</b>		
<b>Annual average over the crediting period</b>	<b>0</b>	<b>20</b>	<b>20</b>

## B.7. Monitoring plan

### B.7.1 Data and parameters to be monitored

#### Solar Lighting System

Data / Parameter	$N_{i,j}$
Unit	Number
Description	Number of project lamps distributed to end users of type i with charging method j
Source of data	MEC tracker platform
Value(s) applied	86,398
Measurement methods and procedures	The data will be recorded in a web based tracker platform. The data will consist of unique number, number of units sold, to whom and where
Monitoring frequency	Annual
QA/QC procedures	Each solar lighting system, and number of solar lamps in each system, will be recorded in the MEC Tracker System. Associated data will reside in the MEC Tracker Database, allowing each installation to be monitored.
Purpose of data	Calculation of baseline emissions
Additional comment	-

Data / Parameter	$OF_{y,i,j}$
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Unit	Fraction
Description	The percentage of project lamps distributed to end users that are operating and in service
Source of data	-
Value(s) applied	100%
Measurement methods and procedures	Default value for the first three years of operation of a lamp as per the methodology. Post three years, for years 4-7, this value will be determined on the basis of sampling survey carried out in year 3.
Monitoring frequency	Default value for three years. Determined on based of survey conducted in year 3 for years 4-7
QA/QC procedures	-
Purpose of data	Calculation of baseline emissions
Additional comment	The result of a sampling survey of the first batch will be used as a proxy to subsequent batches (e.g. the operating rate in year 4 for the project lamps installed in year 1 will be used for the operating rate in year 5 for the project lamps installed in year 2. Ex-post monitoring surveys to determine percentage of project lamps distributed to end users that are operating and in service shall be conducted during the third year of the crediting period. While the percentage of project lamps that are operating and in service can be assumed to equal 100 per cent in year 1, 2, and 3, the result of ex post monitoring survey undertaken during the third year shall be used in years 4, 5, 6 and 7.

Data / Parameter	GFy
Unit	Fraction
Description	Grid factor in year y
Source of data	AMS III.AR, version 07.0
Value(s) applied	1 (ex ante)

Measurement methods and procedures	In line with para 27 of the methodology, this parameter has been considered equal to 1.0 as charging option deployed is the Solar Charging.
Monitoring frequency	Default value
QA/QC procedures	NA
Purpose of data	For Baseline emission calculations
Additional comment	-

Data / Parameter	DBy
Unit	Fraction
Description	Dynamic baseline factor in year y
Source of data	AMS III.AR, version 07.0
Value(s) applied	1 (ex-ante)
Measurement methods and procedures	Option 1: default of 1.0 in the absence of relevant information
Monitoring frequency	Default value chosen as per Option 1 provided in the methodology
QA/QC procedures	NA
Purpose of data	For Baseline emission calculations
Additional comment	-

Data / Parameter	Lamps <sub>baseline</sub>
Unit	Fuel type consumed in the baseline lamps
Description	This parameter would capture the fuel type used in baseline lamps that are getting replaced with the project lamps. Project lamps will only be distributed to the households that are using fossil fuel for lighting in the baseline lamps
Source of data	MEC Tracker platform

Value(s) applied	100% fossil fuel
Measurement methods and procedures	The lamp used in baseline lamp would be recorded in the database on the basis of information provided by the user
Monitoring frequency	Once at time of distribution of project devices
QA/QC procedures	NA
Purpose of data	This is to fulfil the methodology applicability criterion; that each lamp replaced would ensure that baseline fuel is fossil fuel
Additional comment	-

### Improved Cookstoves

Data / Parameter	$P_{p,y}$
Unit	kg/household-day
Description	Quantity of fuel that is consumed in project scenario p during year y
Source of data	Total sales record, Project FT, project FT updates, and any applicable adjustment factors
Value(s) applied	Kerala Smart Stove: 2.89
Measurement methods and procedures	Ex-ante calculation using baseline KPT and baseline and project stove efficiency Ex-post shall be done by Project KPTs
Monitoring frequency	Updated every two years
QA/QC procedures	The equipment used for testing, if any either will be externally calibrated or newly purchased at the time of use so measurements are done with the necessary guarantees. Calibration of the equipment will be done annually.
Purpose of data	For Baseline emission calculations
Additional comment	A single project fuel consumption parameter is weighted to be representative of baseline technologies being compared for project crediting.  KPT protocol shall be used for PFT (for e.g.: PCIA KPT protocol may be used)

Data / Parameter	$U_{p,y}$
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Unit	Fraction (or %)
Description	Usage rate in project scenario p during year y
Source of data	Annual usage survey (KS)
Value(s) applied	0.90
Measurement methods and procedures	<p>Sampling surveys (telephonic / physical) may be conducted to record the continued operation of project devices.</p> <p>The usage rate shall be calculated for each age (simple random sampling to be applied as applicable)</p>
Monitoring frequency	Annual
QA/QC procedures	<p>CME will provide guidance and training to enumerators for conducting surveys to meet specific requirement of the methodology, if any. The value obtained will be tested to determine if the desired precision was met.</p> <p>For ex-post assessment, the "Cookstove Usage Rate Guidelines" will be followed and CME will ensure that the value applied for this parameter is in line with the guidance provided for the Level applied.</p>
Purpose of data	For Baseline emission calculations
Additional comment	A single usage parameter is weighted to be representative of the quantity of project technologies of each age being credited in a given project scenario.

Data / Parameter	Policy for encouraging discontinuation of improved stove
Unit	--
Description	Measures adopted to encourage use of project technology / discontinue baseline technology
Source of data	Internal records
Value(s) applied	-
Measurement methods and procedures	The end user training events shall be monitored to demonstrate that the users have been informed about use of project stoves and phase out of baseline stove.
Monitoring frequency	Updated every two years
QA/QC procedures	Transparent data analysis and reporting.
Purpose of data	For Baseline emission calculations
Additional comment	-

Data / Parameter	$N_{p,y}$
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Unit	Project technologies credited (Number)
Description	Technologies in the project Database for project scenario p through year y
Source of data	Total sales record
Value(s) applied	17,831
Measurement methods and procedures	Number of stoves listed in the Monitoring Database
Monitoring frequency	Continuous
QA/QC procedures	Values can be cross checked by sales records.
Purpose of data	For Baseline emission calculations
Additional comment	For sampling and monitoring purposes, the end user database which shall be a subset of the number of installations reported in the QPR shall be used.

Data / Parameter	LE <sub>p,y</sub>
Unit	tCO <sub>2</sub> e per year
Description	Leakage in project scenario p during year y
Source of data	Baseline and monitoring surveys for Leakage assessment
Value(s) applied	0
Measurement methods and procedures	Qualitative / quantitative assessment
Monitoring frequency	Every two years
QA/QC procedures	N.A.
Purpose of data	For leakage emissions
Additional comment	Aggregate leakage can be assessed for multiple project scenarios, if appropriate.

## SDG 1

Data / Parameter	BSA / HHS
Unit	Number
Description	Access to basic service to households/institutions
Source of data	1. ICS/SLS distribution records Ex-post Monitoring Survey Records
Value(s) applied	17,831 ICS (annual average) 79,849 SLS (annual average)



Measurement methods and procedures	Monitoring and recording of number of ICS/SLS distributed under the project
Monitoring frequency	Annually
QA/QC procedures	-
Purpose of data	SDG 1 contribution
Additional comment	-

### SDG 3

Data / Parameter	SPM <sub>HH</sub>
Unit	-
Description	Air Quality in project households/institutions
Source of data	Ex-post monitoring survey records
Value(s) applied	100% (No sampled user reported an increase in incidence of coughing, incidence of respiratory illness, and incidence of itchy eyes after shifting to ICS)
Measurement methods and procedures	% HH reporting reduction in smoke while cooking on improved stove
Monitoring frequency	Annually
QA/QC procedures	-
Purpose of data	SDG 3 contribution
Additional comment	-

### SDG 5

Data / Parameter	HHTS
Unit	Percentage
Description	Percentage household reporting time saving due to reduction in time spent on collecting fuel or boiling water in traditional stove
Source of data	Ex-post Monitoring Survey Records
Value(s) applied	100% (for ex-ante estimation)
Measurement methods and procedures	Monitor the time savings reported by project households on a sampling basis due to reduced fuel collection need (relative to baseline stoves).
Monitoring frequency	Annual
QA/QC procedures	-
Purpose of data	SDG 5 contribution

Additional comment	-
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## SDG 7

Data / Parameter	AACS <sub>HH</sub>
Unit	Number
Description	Number of CEPs distributed
Source of data	ICS/SLS distribution records
Value(s) applied	17,831 ICS in use (annual average) 79,849 SLS in use (annual average)
Measurement methods and procedures	Monitor the number of ICS/SLS distributed under the project as an indicator of providing reliable, clean and modern technology (relative to baseline stoves). For ICS the sales have been adjusted using 0.90 as usage factor for operational devices
Monitoring frequency	Continuous
QA/QC procedures	-
Purpose of data	SDG 7 contribution
Additional comment	-

## SDG 8

Data / Parameter	QE IG
Unit	Number
Description	Quantitative Employment and income generation
Source of data	Employment records
Value(s) applied	20
Measurement methods and procedures	Recording the number of employees (male / female) in the project under administrative, sales, production and management positions
Monitoring frequency	Annually
QA/QC procedures	-
Purpose of data	SDG 8 contribution
Additional comment	-

### B.7.2 Sampling plan

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*Solar Lighting System*

Parameter values shall be estimated by sampling in accordance with the requirements in the applied methodology separately and independently for each of the VPAs included in a PoA except when a single sampling plan covering a group of VPAs is undertaken, in which case 95/10 confidence/precision is applied for the sample size calculation. A single sample plan will combine together the populations of all VPAs, and the sample size is determined and a single survey is undertaken to collect data e.g. if the parameter of interest is daily self-reported fuel consumption, it may be feasible to undertake a single sampling and survey effort spread across geographic regions of several VPAs when either homogeneity of included VPAs relative to the fuel usage can be demonstrated or the differences among the included VPAs is taken into account in the sample size calculation, such as proportional and weighted averages. If a sampling plan is to develop for each VPA, and where there is no specific guidance in the applicable methodology, project proponents shall use 90/10 confidence/precision as the criteria for reliability of sampling efforts for small-scale project activities (according to EB 69 Annex 4).

**Sampling Objective** – The sampling objective for each parameter is to determine via survey with statistically significant value for the emission reduction calculations. This parameter is defined in the tables presented in section B.5.1 under “Data / Parameter”. The sample size shall not be less than 100 in case of parameters under methodology AMS-III.AR.

**Field Measurement Objective and data to be collected** – This is defined in the tables in section B.5.1 under “Measurement methods and procedures”.

**Target population and sampling frame** – The target population is the total population served under the PoA.

**Sample method** – Considering population using the solar lamps are homogeneous within a region because the parameter simply checks for usage, simple random sampling will be used. Single stage simple random sampling will be applied per CDM EB Guidelines for sampling and surveys for CDM project activities and programme of activities. A simple random sample is a subset of a population (e.g. villages, individuals, buildings, pieces of equipment) chosen randomly, such that each element (or unit) of the population has the same probability of being selected. To ensure a random sample selection, random number generators shall be applied. Each CEP in the target population is uniquely identifiable by its number assigned in the credit tracker platform. Each CEP within a sampling frame can thus be allocated a Sample Selection Number in each monitoring period, starting at 1 and increasing up to the total number of CEPs in the Credit Tracker Platform for that pre-defined sampling frame. Applying the random number generators, the CEP can then be randomly chosen from the defined population up to the required sample size as calculated by the CME.

**Desired precision / expected variance and sample size** – unless otherwise noted in the measurement methods and procedures section of the monitored parameter table in

section B.5.1, and as allowed by applicable methodology, the sample size will be chosen for a 90/10 precision (90% confidence interval and 10% margin of error); except when a single sampling plan covering a group of VPAs is undertaken, in which case 95/10 confidence/precision is applied for the sample size calculation.

During sampling there may be non-response from the target population. Over-sampling may be used to avoid non-response, however, sampling may be cease once required confidence/precision is met.

Implementation - The sampling for surveyed data will be implemented consistent with the approach described above.

### **Sampling Size**

Sample size shall be determined using the following formula:

$$n \geq \frac{1.645^2 N \times p(1-p)}{(N-1) \times 0.1^2 \times p^2 + 1.645^2 p(1-p)}$$

Where:

- (c) Minimum required number of clusters to be sampled.
- Confidence:
  - o 90% = 1.645 (as indicated in the formula above)
  - o 95% = 1.96 (1.645 in formula will be replaced)
- Precision:
  - o 10% = 0.1 (as indicated in the formula above)
  - o 5% = 0.05 (0.1 in formula will be replaced)

### *Improved cookstove:*

If homogeneity of population can be demonstrated, or differences are taken into account (stratification) in the sample size calculation then 90/10 confidence/precision will be applied.

When a baseline and project survey is used the following sample size guidelines should be applied, unless otherwise stated:

### **Usage Survey**

- The minimum total sample size for Usage Survey is 100 with at least 30 samples for project technologies of each age being credited. The majority of interviews in a usage survey must be conducted in person. Thus, if technologies of age 1-5 are credited, the usage survey must include 30 representative samples from each age for total of 150 samples. The resulting usage parameter should be weighted based on the proportion of technologies in the total sales record of each age.

Group size	Minimum sample size
<300	30 or population size, whichever is smaller
300 to 1000	10% of group size
>1000	100

- In line with the applied methodology, any sampling methods can be used, provided that the sample is selected randomly. If sampling approach other than simple random sampling is applied, 'Guidelines for sampling and survey for CDM project activities and program of activities'<sup>14</sup> must be followed.

When applicable the Usage Survey and the Project Survey will be implemented together using the same sample.

### **Project KPT**

For determining the fuel consumption in the project scenarios, the random sampling for KPTs shall be applied. The sample size determined shall be distributed within each age category based on percentage of stoves in corresponding age category. For determining the fuel consumption in the project scenario, the KPT sample size determination shall be based on Kitchen Performance Test Protocol. Alternatively, the guideline given in TPDDTEC version 3.1 may be used for determination of sample size.

The project fuel consumption test can also be replaced with ageing test approach from second monitoring period.

In case CME opts for the ageing test approach instead of biennial project KPTs, annual WBTs would be conducted on a representative sample of each age group. The sample size would be calculated using student's t-distribution approach for mean based parameter. The sample size is appropriately sampled from each age group and enough so that the results comply with the 90/10 rule. In case of not meeting the required confidence/precision, lower bound value will be used. The WBT shall be carried out along with the project KPTs prior to 1st issuance and then subsequently WBTs shall be carried out annually to monitor the degradation in the efficiency of the ICS. The WBTs should be conducted in the last 3 months of the monitoring period or after the monitoring period, provided it is representative of each age group.

### **B.7.3 Other elements of monitoring plan**

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<sup>14</sup> [https://cdm.unfccc.int/sunsetcms/storage/contents/stored-file-20151023152925068/Meth\\_GC48\\_%28ver04.0%29.pdf](https://cdm.unfccc.int/sunsetcms/storage/contents/stored-file-20151023152925068/Meth_GC48_%28ver04.0%29.pdf) (site visited 03/06/2020)

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The monitoring activity provides a framework for project preparation and monitoring processes that will be undertaken at the VPA level for each VPA. This schedule takes into account the key parameters that are needed during the crediting periods of the project. All required monitoring and documentation would be implemented, reported, consolidated and managed by the CME or a qualified expert partner to meet verification requirements. Monitored data will be stored in a suite of monitoring databases. These will be updated each monitoring period:

#### Summary:

1. Each PO keeps a record of all the CEPs it installs in the MEC Credit Tracker Platform. The record includes the name, date of installation, model of CEP and location of the product. All records are screened by the CME and cross-checked with the PO records to confirm the installation record is authentic and no double counting occurs.
2. The values of the emission reduction parameters required for ex-post ER calculation - project fuel consumption ( $p_{p,y}$ ) or efficiency of ICS, number of ICS still operating ( $U_{p,y}$ ), number of lamps distributed to end user ( $N_{i,j}$ ), percentage of project lamp operational ( $OF_{y,i,j}$ ), baseline lamp being replaced ( $Lamp_{baseline}$ ) are found from sampling of CEP installations.
3. The records kept in the MEC Credit Tracker Platform relate to paper copies of title transfer agreements received from individual households.

#### Quality assurance

To increase the precision of the estimates during the survey, it is necessary to establish sampling mechanisms for avoiding non-sampling errors (bias) include good questionnaire design, well-tested questionnaires, possibly pilot testing the data collection. To remedy the incomplete questionnaires, additional households or schools will be drawn randomly until the required number is met at per the sample size determined. Then, well-trained personnel will scrutinize all the questionnaires. This will be a procedure to find outliers, and then outliers may be excluded and/or replaced. If the outliers are found according to the above analysis it will be examined further to correct for possible transcription and data entry errors, but it will be omitted from the analysis if no such administrative errors exist. All monitored data such as name, date and contact information of the end-user will be archived in the electronic database tool. Hard copies of all documents will be kept at the office of CME or an alternative place nominated by CME. All the data will be used to calculate the sample size for parameters and emission reductions. All data stored to be kept for at least two years after the crediting period or the last issuance of CERs for the project activity.

## Generalities

The CME along with the PO will coordinate all ex-post monitoring activities in the PoA. The CME is ultimately responsible for implementing the monitoring plan, ensuring the quality of data obtained and the use of this data for emissions reduction calculations. The CME will provide the VVB with a single monitoring report for verification purposes for all VPA's requesting issuance together. However, the actual field measurements to be conducted during monitoring (e.g. project KPT or testing of ICS selected during sampling) will most likely be performed by enumerators trained by CME or third parties contracted to the CME and/or PO. In the case of using contractors, however, the CME will still be responsible for setting the procedures and providing oversight and training to the contractors. The choice between conducting the actual monitoring activities itself or employing another organization (for example, local marketing firm, university etc) will depend on location, operational factors and financial factors. In any case, a local partner will be important for providing local insight in questionnaire design, interview technique and for gaining physical access to project beneficiaries to obtain accurate results during monitoring.

CME has defined the project technology "use" versus "non-use" to determine who should be considered eligible for crediting. The criteria for defining the same has been listed below:

1. User is defined as someone who uses the stove daily. The same shall be captured in the survey questionnaire.
2. To define the use and non-use of project technology, CME has included questions in the survey questionnaire such as when was the stove last used, frequency of use, how many meals are prepared in a day.
3. Physical verification of the stove is conducted to check if the combustion chamber is intact and grate is available or not. Surveyor shall also observe physically that the stove feels warm and presence of ash in the stove.
4. Users will be asked questions on use of the baseline stove, how many meals prepared, presence or absence of the baseline stove.
5. CME shall refer to usage and project survey and Kitchen Performance Tests (KPTs) to determine if the stove was in use or not.

*Implementation* - The survey for collecting the details of monitoring parameters will be conducted at least annually at the end of the calendar year. The overall monitoring and the implementation of the sampling will be coordinated by the CME and the management staff. They will ensure successful monitoring of the emission reductions of the proposed project during its crediting period. Furthermore, the survey of the representative sample for the parameters will be carried out by the distributor together with the CME. The survey method that will be used by CME include: (a) Hard-copy questionnaires (b) Face to face interview (c) Telephone Interview.

All efforts of sampling will be conducted by qualified personnel who have undergone training as part of the programme. All the persons who carry out sampling plan will be required to speak the native language(s) allowing for a full understanding of any responses given by users and any questions therein. The date of all monitoring shall be recorded in the VPA database.

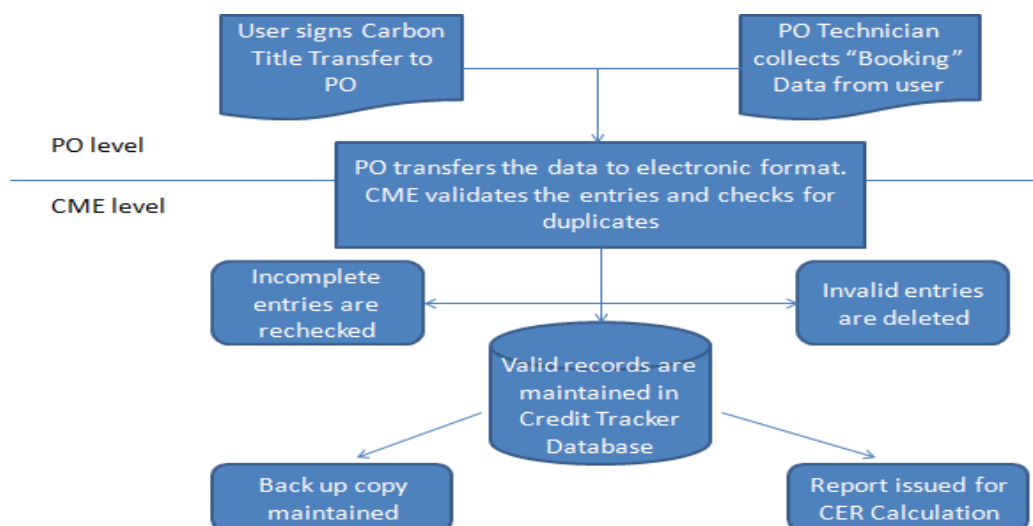
Primary data will be stored by the implementing entities/operators:

The MEC Credit Tracker Platform is used to keep detailed records of all installations under each VPA. Each installation is monitored annually to check usage status. The Project shall monitor a representative sample of households that have received both stoves and water technologies. All monitoring records are maintained in the Credit Tracker Platform.

1. The PO maintains in the Credit Tracker Platform a record of all clean energy products that are installed
2. The PO identifies the exact location of the CEP using GPS location and/or address of the household or organization.
3. The emissions parameters required for ex-post management are also maintained in the Credit Tracker Platform. These include the number of solar lighting systems still in operation, and then performance of the solar lighting systems. These parameters are determined through a sampling study as described above.
4. The CME uses the Credit Tracker Platform to cross-check the new records with the existing Platform in order to confirm that the installation record is authentic and that no double-counting occurs.
5. The electronic files holding installation records are backed up on the Internet, reducing risk of any loss of data.
6. All monitored data required for verification and issuance will be kept for two years after the end of the crediting period or the last issuance of CERs/VERs for the PoA, whichever occurs later. The unique system ID number which is linked to a GPS location and/or verified address eliminates any risk of double-counting between VPAs.

## **ORGANIZATIONAL DIAGRAM OF MONITORING PLAN**





#### Quality Assurance/Quality control

As the PoA is intended to include multiple regions within India with a high level of cultural diversity as well as different end user groups, there is no "one size fits all" approach for dealing with these issues. However, in order to avoid many of these problems the CME will undertake the following strategies, tailoring the specific approach to the local circumstances:

- 1) Ensuring end user awareness. At the time of sale, the CEP customer is made aware that they are required to participate in monitoring activities. This will be via training sales personnel to explain the importance of monitoring to each customer, and during regularly scheduled microfinance group meetings for end-users.
- 2) Questionnaire design. The design of the questionnaire will ensure that the questions are non-intrusive and easy to understand for both the interviewee and interviewer.
- 3) Drawing on local knowledge. The local contractors to be hired by the CME in each region will play an important role in tailoring the approach to suit local circumstances. For example, in some instances, it may be essential for a local person to conduct the interview in order to obtain accurate results.
- 4) Quality of contractors. Any third parties hired by the CME to carry out sampling will be required to demonstrate a high level of cultural awareness, local language skills and appropriate experience with data entry and data management. The CME will ensure that contractors are adequately trained for the tasks they are contracted for (eg. carrying out of WBTs in line with a methodology supported by an appropriate international body such as PCIA). Training will also be provided on how to deal with non-responses, refusals and other problems should these occur.

## SECTION C. DURATION AND CREDITING PERIOD

### **C.1. Duration of project**

#### C.1.1 Start date of project

>>

05/08/2013 i.e. date of sale of first clean energy product

#### C.1.2 Expected operational lifetime of project

>>

15 years 0 month

### **C.2. Crediting period of project**

#### C.2.1 Start date of crediting period

>>

20/03/2015 i.e. the date of inclusion of the VPA as CPA-DD under CDM. Since the start date of the GS crediting period shall be same as the start date of the CDM crediting period, GS crediting period start date – 20/03/2015.

However, since all transition VPAs shall be renewed every 5 years. Therefore, the second crediting period start date for this VPA is 20/03/2020.

CP1: 20/03/2015 to 19/03/2020

CP2: 20/03/2020 to 19/03/2025

#### C.2.2 Total length of crediting period

>>

15 years (renewable twice)

## SECTION D SUMMARY OF SAFEGUARDING PRINCIPLES AND GENDER SENSITIVE ASSESSMENT

### **D.1. Safeguarding Principles that will be monitored**

A completed Safeguarding Principles Assessment is in [Appendix 1](#), ongoing monitoring is summarized below.

## D.2. Assessment that project complies with GS4GG Gender Sensitive requirements

Question 1 - Explain how the project reflects the key issues and requirements of Gender Sensitive design and implementation as outlined in the Gender Policy?	<p>The local stakeholder consultation meeting was carried out following a gender sensitive approach.</p> <p>The project will incorporate measures to ensure that there is no discrimination based on gender.</p> <p>The improved cookstoves distributed to all willing customers within the project boundary and the project has positive impact on women considering that they will spend less time on cooking or fuel procurement and will be able to cook in cleaner environment.</p>
Question 2 - Explain how the project aligns with existing country policies, strategies and best practices	<p>The project contributes towards the goal of host country policy by providing women with efficient cookstoves, thereby spending less time on cooking or fuel procurement and able to cook in cleaner environment.</p>
Question 3 - Is an Expert required for the Gender Safeguarding Principles & Requirements?	<p>Not required. Improved cookstove projects not following Gender responsive approach do not require to contract an expert as per Gender Equality Requirements &amp; Guidelines.</p>
Question 4 - Is an Expert required to assist with Gender issues at the Stakeholder Consultation?	<p>N/A</p>

## SECTION E. SUMMARY OF LOCAL STAKEHOLDER CONSULTATION

The below is a summary of the 2 step GS4GG Consultation for monitoring purposes. Please refer to the separate Stakeholder Consultation Report for a complete report on the initial consultation and stakeholder feedback round.

## **E.1 Summary of stakeholder mitigation measures**

Under CDM, LSC was conducted for the VPA before VPA inclusion. Details of the same has been provided below:

Date: 18/05/2013

Place: Sahasra, Venkatadri Badavane, Turuvanur Road, near Reddy Choultry, Chitradurga - 577501

Time: 11:51am to 01:27pm

Language: Mostly Kannada and some English

Since there are no national regulations for carrying out local stakeholder consultation meeting, CME has followed the procedures laid down by the Gold Standard for carrying out the local stakeholder consultation.

Meeting Agenda:

Opening of the Local Stakeholder Consultation

Overview of Canara Bank and MicroEnergy Credits

Micro Energy Program Overview & Product Demonstration

Open Discussion and Comments about the Micro Energy Program

Closing Remarks

Supplementary stakeholder consultation meeting was conducted by CME to comply with the GS4GG requirements during transition. Physical stakeholder meeting was conducted on 20<sup>th</sup> September 2022 for Cedar (formerly ESAF) and 1<sup>st</sup> October 2022 for Muthoot. The email invitations were sent 30 days prior to the meeting. Relevant stakeholders were also invited via telephonic calls and hard copy invites. The agenda of the meeting was to explain the participants regarding the programme, technologies, benefits, carbon title transfer, social, economic, and environmental impacts, safeguarding principles and grievance mechanism.

### **ESAF**

Date: 20<sup>th</sup> September 2022

Place: Mangala Towers, Thrissur, Kerala

Time: 10:30am

Language: Mostly Malayalam and some English

Meeting Agenda:

- Opening of the Local Stakeholder Consultation
- Overview of Cedar(formarly ESAF) Microfinance and MicroEnergy Credits

- Micro Energy Program Overview & Product Demonstration
- Open Discussion and Comments about the Micro Energy Program
- Closing Remarks

Invitation to the stakeholder consultation meeting was sent out by email, phone. Signed list of attendees is available in the stakeholder consultation meeting report. Overall, in all meetings and in email communications, the project received significant interest from stakeholders and positive feedback. The stakeholders generally felt that the project offered significant environment, development, and empowerment impacts by making proven clean energy products affordable and accessible to low-income households and microentrepreneurs. Multiple stakeholders spoke enthusiastically about the potential for such technologies to have a transformative and empowering impact on the lives of people living in extreme poverty.

The consultation process included invitation to wide range of invitees to include effective and equal participation of both men and women. Prior to consultation, CME/PO had provided with the following documents in the language that allows local stakeholders to understand and engage with the project:

- Non-Technical summary with relevant information (local language)
- Summary of the economic, social and environmental impacts of the project
- Contact details of the CME/PO for technical and project related information
- Email ID of CME/PO was provided to the stakeholders

Meeting started with an opening remark by the PO followed by presentation on the clean energy programme. Subsequently, stakeholder feedback on SDG, monitoring plan, safeguarding principles were collected. Meeting was concluded by explaining the grievance mechanism system CME has put in place.

Stakeholder feedback round was initiated on 21<sup>st</sup> August 2022 for Cedar (formerly ESAF). The official closing email was sent on 27<sup>th</sup> September 2022. There were no negative comments which would need change in design of the project. Detailed SCR has been submitted

### **Muthoot**

Date: 1<sup>st</sup> October 2022

Place: Ravis Arcade, M.G Road, Padma Junction, Cochin

Time: 11am

Language: Mostly Malayalam and some English

Meeting Agenda:

- Opening of the Local Stakeholder Consultation
- Overview of Muthoot Microfinance and MicroEnergy Credits
- Micro Energy Program Overview & Product Demonstration
- Open Discussion and Comments about the Micro Energy Program
- Closing Remarks

Invitation to the stakeholder consultation meeting was sent out by email, phone. Signed list of attendees is available in the stakeholder consultation meeting report. Overall, in all meetings and in email communications, the project received significant interest from stakeholders and positive feedback. The stakeholders generally felt that the project offered significant environment, development, and empowerment impacts by making proven clean energy products affordable and accessible to low-income households and microentrepreneurs. Multiple stakeholders spoke enthusiastically about the potential for such technologies to have a transformative and empowering impact on the lives of people living in extreme poverty.

The consultation process included invitation to wide range of invitees to include effective and equal participation of both men and women. Prior to consultation, CME/PO had provided with the following documents in the language that allows local stakeholders to understand and engage with the project:

- Non-Technical summary with relevant information (local language)
- Summary of the economic, social and environmental impacts of the project
- Contact details of the CME/PO for technical and project related information
- Email ID of CME/PO was provided to the stakeholders

Meeting started with an opening remark by the PO followed by presentation on the clean energy programme. Subsequently, stakeholder feedback on SDG, monitoring plan, safeguarding principles were collected. Meeting was concluded by explaining the grievance mechanism system CME has put in place.

Stakeholder feedback round was initiated on 2<sup>nd</sup> September 2022 for Muthoot. The official closing email was sent on 10<sup>th</sup> October 2022. There were no negative comments which would need change in design of the project. Detailed SCR has been submitted.

## E.2 Final continuous input / grievance mechanism

Method	Include all details of Chosen Method (s) so that they may be understood and, where relevant, used by readers.
Continuous Input / Grievance Expression Process Book (mandatory)	Continuous input / Grievance Expression process book is available at the local partner offices.  By maintaining feedback book at the local office, it is ensured that stakeholders that don't have access to electronic media for expressing concerns / grievances are also able to share their concerns / feedback. Additionally, the end users always have an option to contact the partner organization (representative of MFI/ manufacturers etc.) in case of any feedback / complaints with the product post distribution
GS Contact (mandatory)	<a href="mailto:help@goldstandard.org">help@goldstandard.org</a>
Other	Email: <a href="mailto:info@cedarretail.in">info@cedarretail.in</a> , <a href="mailto:info@muthoot.com">info@muthoot.com</a>

## APPENDIX 1 - SAFEGUARDING PRINCIPLES ASSESSMENT

Complete the Assessment below and copy all Mitigation Measures for each Principle into [SECTION D](#) above. Please refer to the instructions in the [Guide to Completing](#) this Form below.

Assessment Questions/ Requirements	Justification of Relevance (Yes/potenti ally/no)	How Project will achieve Requirements through design, management or risk mitigation.	Mitigation Measures added to the Monitoring Plan (if required)
<b>Principle 1. Human Rights</b>			
1. The Project Developer and the Project shall respect internationally proclaimed human rights and shall not be complicit in violence or human rights abuses of any kind as defined in the Universal Declaration of Human Rights	No	The VPA and CME both respect human rights and are not complicit in violence or human rights abuses.	Not required
2. The Project shall not discriminate with regards to participation and inclusion	No	The VPA does not discriminate with regards to	Not required



		participation and inclusion	
<b>Principle 2. Gender Equality</b>			
3. The Project shall not directly or indirectly lead to/contribute to adverse impacts on gender equality and/or the situation of women a. Sexual harassment and/or any forms of violence against women – address the multiple risks of gender-based violence, including sexual exploitation or human trafficking.	No	Not relevant	Not required
b. Slavery, imprisonment, physical and mental drudgery, punishment or coercion of women and girls.	No	Not relevant	Not required
c. Restriction of women’s rights or access to resources (natural or economic).	No	Not relevant	Not required
d. Recognise women’s ownership rights regardless of marital status – adopt project measures where possible to support to women’s access to inherit and own land, homes, and other assets or natural resources.	No	Not relevant	Not required
1. Projects shall apply the principles of non-discrimination, equal treatment, and equal pay for equal work a. Where appropriate for the implementation of a VPA, paid, volunteer work or community contributions will be organised to provide the	No	Not relevant	Not required

conditions for equitable participation of men and women in the identified tasks/activities.			
b. Introduce conditions that ensure the participation of women or men in Project activities and benefits based on pregnancy, maternity/paternity leave, or marital status.	No	Not relevant	Not required
c. Ensure that these conditions do not limit the access of women or men, as the case may be, to VPA participation and benefits.	No	Not relevant	Not required
4. The Project shall refer to the country's national gender strategy or equivalent national commitment to aid in assessing gender risks	No	The Project takes into account the National Policy for the Empowerment of Women (2011) <sup>15</sup> in the "advancement of gender equality and empowerment of women". The Project is	Not required

<sup>15</sup> [National Policy for the Empowerment of Women| National Portal of India](#)- by Ministry of Women and Child Development

		designed to empower women and improve livelihoods. No gender risks are envisaged in the PoA	
5. (where required) Summary of opinions and recommendations of an Expert Stakeholder(s)	No	Not relevant	Not required
<b>Principle 3. Community Health, Safety and Working Conditions</b>			
1. The Project shall avoid community exposure to increased health risks and shall not adversely affect the health of the workers and the community	Yes	The VPA reduces exposure to indoor air pollutants and smoke levels, further reducing incidence of respiratory illness compared to cooking on traditional biomass stoves using solid biomass fuel.	Not required

<b>Principle 4.1 Sites of Cultural and Historical Heritage</b>			
1. Does the Project Area include sites, structures, or objects with historical, cultural, artistic, traditional or religious values or intangible forms of culture?	No	Not relevant	Not required
<b>Principle 4.2 Forced Eviction and Displacement</b>			
1. Does the Project require or cause the physical or economic relocation of peoples (temporary or permanent, full or partial)?	No	Not relevant	Not required
<b>Principle 4.3 Land Tenure and Other Rights</b>			
1. Does the Project require any change, or have any uncertainties related to land tenure arrangements and/or access rights, usage rights or land ownership?	No	Not relevant	Not required
<b>Principle 4.4 - Indigenous people</b>			
1. Are indigenous peoples present in or within the area of influence of the Project and/or is the Project located on land/territory claimed by indigenous people?	No	Since this is a Improved cookstove and Solar Lighting system project at household/institution level, there is no risk to land/territory claimed by indigenous people. The	Not required

		devices will be distributed to all willing customers within the project boundary.	
<b>Principle 5. Corruption</b>			
1. The Project shall not involve, be complicit in or inadvertently contribute to or reinforce corruption or corrupt Projects	No	The CME does not promote/ or is complicit in direct or indirect corruption.	Not required
<b>Principle 6.1 Labour Rights</b>			
1. The Project Developer shall ensure that all employment is in compliance with national labour occupational health and safety laws and with the principles and standards embodied in the ILO fundamental conventions	No	The VPA does not involve any forced labour and the CME/VPA Implementer ensures that all employment is in compliance with local labour regulations and laws.	Not required

2. Workers shall be able to establish and join labour organisations	No	The CME puts no constraints / limitation on employees to form a union.	Not required
3. Working agreements with all individual workers shall be documented and implemented and include: a. Working hours (must not exceed 48 hours per week on a regular basis), AND b. Duties and tasks, AND c. Remuneration (must include provision for payment of overtime), AND d. Modalities on health insurance, AND e. Modalities on termination of the contract with provision for voluntary resignation by employee, AND f. Provision for annual leave of not less than 10 days per year, not including sick and casual leave.	No	The CME's policies and employment contracts are compliant with the requirement	Not required
4. No child labour is allowed (Exceptions for children working on their families' property requires an Expert Stakeholder opinion)	No	The CME does not promote / or is complicit in child labour	Not required
5. The Project Developer shall ensure the use of appropriate equipment, training of workers, documentation and reporting of accidents and	No	Not relevant	Not required

incidents, and emergency preparedness and response measures			
<b>Principle 6.2 Negative Economic Consequences</b>			
1. Does the project cause negative economic consequences during and after project implementation?	No	No negative economic consequences are deemed applicable	Not required
<b>Principle 7.1 Emissions</b>			
1. Will the Project increase greenhouse gas emissions over the Baseline Scenario?	No	The VPA reduces GHG emissions relative to baseline scenario	Not required
<b>Principle 7.2 Energy Supply</b>			
1. Will the Project use energy from a local grid or power supply (i.e., not connected to a national or regional grid) or fuel resource (such as wood, biomass) that provides for other local users?	No	The project will reduce fuel resource consumption instead	Not required
<b>Principle 8.1 Impact on Natural Water Patterns/Flows</b>			
1. Will the Project affect the natural or pre-existing pattern of watercourses, ground-water and/or the watershed(s) such as high seasonal flow	No	Not applicable	Not required

variability, flooding potential, lack of aquatic connectivity or water scarcity?			
<b>Principle 8.2 Erosion and/or Water Body Instability</b>			
1. Could the Project directly or indirectly cause additional erosion and/or water body instability or disrupt the natural pattern of erosion?	No	The VPA shall result in reduction in demand of biomass fuel in the region putting less pressure of forests for deforestation and will hence indirectly avoid erosion associated with tree cutting/felling.	Not required
<b>Principle 9.1 Landscape Modification and Soil</b>			
1. Does the Project involve the use of land and soil for production of crops or other products?	No	Not applicable	Not required
<b>Principle 9.2 Vulnerability to Natural Disaster</b>			
1. Will the Project be susceptible to or lead to increased vulnerability to wind, earthquakes, subsidence, landslides, erosion, flooding, drought or other extreme climatic conditions?	No	Not applicable	Not required



<b>Principle 9.3 Genetic Resources</b>			
1. Could the Project be negatively impacted by or involve genetically modified organisms or GMOs (e.g., contamination, collection and/or harvesting, commercial development, or take place in facilities or farms that include GMOs in their processes and production)?	No	Not applicable	Not required
<b>Principle 9.4 Release of pollutants</b>			
1. Could the Project potentially result in the release of pollutants to the environment?	No	Not applicable	Not required
<b>Principle 9.5 Hazardous and Non-hazardous Waste</b>			
1. Will the Project involve the manufacture, trade, release, and/ or use of hazardous and non-hazardous chemicals and/or materials?	No	Not applicable	Not required
<b>Principle 9.6 Pesticides &amp; Fertilisers</b>			
1. Will the Project involve the application of pesticides and/or fertilisers?	No	Not applicable	Not required
<b>Principle 9.7 Harvesting of Forests</b>			
1. Will the Project involve the harvesting of forests?	No	The VPA does not involve harvesting of forests. The VPA shall result in reduction in demand of biomass fuel in	Not required

		the region putting less pressure of forests for deforestation and will hence indirectly avoid erosion associated with tree cutting/felling.	
<b>Principle 9.8 Food</b>			
1. Does the Project modify the quantity or nutritional quality of food available such as through crop regime alteration or export or economic incentives?	No	Not applicable	Not required
<b>Principle 9.9 Animal husbandry</b>			
1. Will the Project involve animal husbandry?	No	Not applicable	Not required
<b>Principle 9.10 High Conservation Value Areas and Critical Habitats</b>			
1. Does the Project physically affect or alter largely intact or High Conservation Value (HCV) ecosystems, critical habitats, landscapes, key biodiversity areas or sites identified?	No	Not applicable	Not required
<b>Principle 9.11 Endangered Species</b>			

<p>Are there any endangered species identified as potentially being present within the Project boundary (including those that may route through the area)?</p> <p>AND/OR</p> <p>Does the Project potentially impact other areas where endangered species may be present through transboundary affects?</p>	No	Not applicable	Not required
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## APPENDIX 2- CONTACT INFORMATION OF VPA IMPLEMENTER

Organization name	Micro Energy Credits Corporation Private Limited
Registration number with relevant authority	CIN U74999MH2012PTC331308
Street/P.O. Box	Saraswat Nagar, SV road, Santacruz West
Building	A203, Business Suites 9
City	Mumbai City
State/Region	Mumbai, Maharashtra
Postcode	4000054
Country	India
Telephone	+1.206.274.6457 +91 9884273950
E-mail	april@microenergycredits.com
Website	<a href="http://www.microenergycredits.com">www.microenergycredits.com</a>
Contact person	April Allderdice
Title	Ms.
Salutation	Chief Executive Officer (CEO)
Last name	Allderdice
Middle name	-
First name	April
Department	-
Mobile	-
Direct tel.	+1.206.274.6457
Personal e-mail	april@microenergycredits.com

Organization name	Evangelical Social Action Forum (ESAF)
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Registration number with relevant authority	
Street/P.O. Box	Mannuthy P.O., 680651, India
Building	Hepzibah Complex
City	Thrissur
State/Region	Kerala
Postcode	680651
Country	India
Telephone	+91-4872373813
E-mail	info@esafmicrofin.com
Website	www.esafmicrofin.com
Contact person	K.V. Christudas
Title	Mr
Salutation	
Last name	Christudas
Middle name	-
First name	K.V.
Department	-
Mobile	-
Direct tel.	+91-4872373813
Personal e-mail	info@esafmicrofin.com

## APPENDIX 3-SUMMARY OF APPROVED DESIGN CHANGES

NA

## Revision History

Version	Date	Remarks
1.0	01/04/2021	Initial adoption